

1 **Intraoperative analgesia guided by the Analgesia Nociception Index in bariatric**
2 **surgery: An unmatched case-control study**

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23 **Short Title:** ANI in bariatric surgery

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30 SL and AO helped to analyse and review the data, to provide statistical advice and to
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33

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37

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39 surgery

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49 **Benefits of intraoperative analgesia guided by the Analgesia Nociception**

50 **Index (ANI) in bariatric surgery: An unmatched case-control study**

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52 **Abstract (words count 246)**

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55 **Introduction** Analgesia Nociception Index (ANI) has been proposed for the evaluation of the
56 nociception-antinociception balance in the perioperative period. In obese patient, where the
57 management of analgesia may be rendered difficult by pharmacological changes, we
58 hypothesized that the monitoring of analgesia with ANI would reduce intraoperative opioid
59 consumption during bariatric surgery.

60 **Methods** This monocentric, observational, unmatched case-control study aimed to compare
61 perioperative data from obese subjects (body mass index $\geq 35 \text{ kg.m}^{-2}$) during bariatric surgery
62 with or without the use of ANI monitoring (ANI+ group versus ANI- group). Intraoperative
63 analgesia was provided by injection of sufentanil, which was performed according to the
64 clinician's assessment in the ANI- group or to the ANI value in the ANI+ group. The primary
65 outcome was the mean hourly intraoperative sufentanil requirement. Secondary outcomes
66 included the need for postoperative morphine titration, incidence of nausea and vomiting,
67 respiratory distress and pain scores in the first 24 hours.

68 **Results** Between December 2013 and September 2016, 60 obese patients (i.e. 30 per group)
69 were included. The mean hourly consumption of sufentanil was significantly lower in the
70 ANI+ group ($0.15 \pm 0.05 \text{ } \mu\text{g.kg}^{-1}.\text{h}^{-1}$ versus $0.17 \pm 0.05 \text{ } \mu\text{g.kg}^{-1}.\text{h}^{-1}$, $P=0.038$). We found no
71 difference between groups regarding the incidence of nausea and vomiting, acute respiratory
72 distress, the need for postoperative morphine titration, or pain scores in the first 24
73 postoperative hours.

74 **Conclusion** The use of ANI monitoring might reduce intraoperative consumption of
75 sufentanil during bariatric surgery but does not appear to be accompanied by a reduction in its
76 side effects.

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80 **Introduction**

81 Over the last decade, the number of anaesthesia for bariatric surgery has increased worldwide
82 [1,2]. Obesity, defined as a body mass index (BMI) $\geq 30 \text{ kg.m}^{-2}$, induces various
83 pathophysiological changes that affect anaesthetic and perioperative care. Due to the
84 significant obesity-related changes on the respiratory system and the high prevalence of
85 Obstructive Sleep Apnoea Syndrome (OSA) in this population [3,4], obese patients are at risk
86 of increased perioperative respiratory outcomes [5,6]. Furthermore, the pharmacokinetic and
87 pharmacodynamics profile of anaesthetic drug is profoundly modified in morbidly obese
88 population. Indeed, the increase in both lean and fat body mass, and the raise in volume of
89 distribution cause changes in the distribution and elimination of pharmacological agents,
90 particularly the lipophilic ones, such as opioids [7,8]. These pharmacological modifications
91 make it difficult to determine the optimal therapeutic range and expose the patient to a risk of
92 overdose, which may cause serious issues, particularly on the respiratory function. The
93 management of intraoperative analgesia in obese people is still poorly codified and varies
94 according to the opioid used and its method of administration.

95 During general anaesthesia, there is a balance between the response of the body to a
96 nociceptive stimulus and the antinociceptive component of anaesthesia [9]. Nociceptive
97 stimuli involve the autonomic nervous system and induce vegetative responses such as
98 tachycardia, hypertension, and lacrimation [10,11]. Despite being helpful to assess the
99 nociception—anti-nociception balance and to guide the intra-operative analgesia, these signs
100 are also unspecific [10]. The anaesthetist's aim is to avoid any underdosing of opioid
101 analgesics, which could be responsible for haemodynamic reactions and stress, as well as any
102 overdosing, which is potentially providing hyperalgesia and postoperative respiratory
103 complications [12]. All these considerations complicate the management of intraoperative
104 analgesia in the obese subject.

105 Recently, special attention has been paid to the monitoring of analgesia through the
106 development of new devices [10]. Among them, the ANI or Analgesia Nociception Index
107 based on the influence of the respiratory cycle on the R-R interval of the electrocardiogram,
108 makes it possible to quantify efficiently the nociception-antinociception balance [13–15]. The
109 ANI is expressed as an index ranging from 0 to 100. An ANI value close to 100 indicates a
110 predominant parasympathetic tone (low stress level, analgesia) while a value close to 0 means
111 a predominant sympathetic tone (high level of stress, nociception).

112 Here, we tested the hypothesis that the ANI monitoring of analgesia in obese patients during
113 bariatric surgery could reduce intraoperative opioid consumption. Therefore, we conducted an
114 unmatched case-control study on bariatric surgery patients before and after the introduction of
115 the ANI monitor in our institution.

116

117 **Material and methods**

118

119 *Study design*

120 This monocentric, observational, unmatched case-control study was conducted in patients
121 who underwent bariatric surgery (gastric bypass, sleeve-gastrectomy or gastric band removal)
122 from December 2013 to September 2016 and was approved by the Institutional Review Board
123 (Comité de Protection des Personnes Sud-Ouest et Outre-Mer III, protocol n° DC 2015/112).
124 Patients operated on between December 2013 and May 2015, i.e. prior to the introduction of
125 the ANI monitor, were retrospectively included (ANI- group), while patients operated on
126 between June 2015 and September 2016 were included prospectively (ANI+ group). Inclusion
127 criteria were: BMI ≥ 35 kg.m⁻², age ≥ 18 years and the intraoperative use of sufentanil as
128 opioid agent. Exclusion criteria included situations where the ANI measurement was not
129 interpretable, in accordance with the manufacturer's recommendations, such as rhythm
130 disorders, presence of a pacemaker, use of beta-blockers drugs, pathology of the autonomous
131 system, and patient with chronic pain treated with opioid drugs.

132

133 *Protocol*

134 All patients received standard intraoperative monitoring by included electrocardiogram, pulse
135 oximetry and non-invasive blood pressure measurement. In the ANI+ group, patients were
136 monitored by ANI device and Bispectral Index (BIS) for depth analgesia and anaesthesia,
137 respectively. Anaesthesia was induced by using propofol, sufentanil and succinylcholine. The
138 doses were left to the clinician's discretion. Anaesthetic maintenance was ensured by
139 halogenated anaesthetic (sevoflurane) or target-controlled infusion (TCI) of propofol and was
140 titrated to maintain BIS values between 40 and 60 throughout the intraoperative period. As
141 expected, intraoperative analgesia based on sufentanil differed between groups. In the ANI-
142 group, sufentanil injections were performed according to the attending clinician's assessment

143 based on clinical signs, pharmacology and his experience. In the ANI+ group, sufentanil
144 injections followed a pre-established protocol achieving an optimal level of analgesia, with an
145 ANI index between 50 and 70, according to the manufacturer's recommendations. An
146 injection of 5 µg of sufentanil was indicated when the ANI was less than 50 and greater than
147 30, and an injection of 10 µg was indicated when the ANI was less than 30. Protocol
148 deviations were allowed when the hemodynamic status contraindicated the injection or when
149 the intuition of the clinician was strong and opposed to ANI data. In both groups, the
150 postoperative prescriptions were left to the clinician's discretion.

151

152 *Outcomes*

153 The primary outcome was the mean intraoperative hourly sufentanil requirement, based on
154 the patient's weight and expressed in micrograms per kilograms per hour ($\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{h}^{-1}$). We
155 performed a subgroup analysis on the primary outcome according to the type of surgery
156 (gastric bypass, sleeve-gastrectomy or gastric band removal). Secondary outcomes included
157 the need for morphine titration in the Post-Anaesthesia Care Unit (PACU), the prevalence of
158 nausea and vomiting in PACU, adverse respiratory event in PACU defined by the need for
159 invasive or non-invasive ventilation (NIV), the maximum pain in the first 24 hours after being
160 discharged from PACU and returning to the conventional ward, evaluated by the Numerical
161 Rating Scale for pain (NRS) ranging from 0 to 10, and the consumption of opioid analgesic
162 and non-opioid analgesic within the first 24 hours after being discharged from PACU.

163

164 *Statistical analysis*

165 Continuous variables are expressed as mean \pm SD or median (interquartile range, 25th
166 percentile) according to the type of variable distribution. Categorical variables are presented
167 as number (percentage of patients). The sample size was determined from preliminary

168 retrospective analysis including 10 patients no receiving the ANI monitoring. In these patients
169 the mean hourly intraoperative consumption of sufentanil was $0.16 \pm 0.05 \mu\text{g.kg}^{-1}.\text{h}^{-1}$.
170 Considering a 25% decrease in patients with ANI as clinical relevant, a sample size of 30 per
171 group provided 85% power with a two-sided type I error of 0.05 to show this difference. Two-
172 sided Student's t-tests were used for normally distributed data, after testing normality of the
173 distribution using a Shapiro–Wilk test. Mann-Whitney U-test was used to compare non-
174 normally distributed data and Fischer's exact test was used to compare categorical data. As a
175 sensibility analysis, the primary outcome was also analysed using an analysis of covariance
176 adjusted for imbalanced baseline covariates. A *P*-value < 0.05 was considered statistically
177 significant. For the subgroup analysis, a *P*-value <0.025 was considered to indicate statistical
178 significance, with the use of Bonferroni adjustment.

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187 **Results**

188 Between December 2013 and September 2016, a total of 60 patients were included (30
189 patients in the retrospective ANI- group and 30 patients in the prospective ANI+ group) (Fig.
190 1). The patient's characteristics are summarised in Table 1. Patients were globally comparable
191 except for OSA prevalence significantly more frequent in the ANI- group and age
192 significantly lower in ANI+ group. The number of patients undergoing sleeve-gastrectomy,
193 gastric bypass and gastric band removal was respectively 29 (48%), 25 (42%) and 6 (10%).
194 The duration of interventions was comparable between both groups for gastric bypass (192
195 [168-216] min versus 216 [156-308] min, $P = 0.93$), for sleeve-gastrectomy (157 [133-183]
196 min versus 164 [130-178] min, $P = 0.84$) and for gastric band removals (162 [118-181] min
197 versus 82 [76-99] min, $P = 0.40$).

198 The mean dose of propofol use for induction was significant lower in ANI- group (1.8 ± 0.5
199 mg.kg^{-1} versus $2.2 \pm 0.7 \text{ mg.kg}^{-1}$, $P=0.03$). Conversely, the mean dose of succinylcholine used
200 for the induction was comparable in both groups ($0.96 \pm 0.15 \text{ mg.kg}^{-1}$ versus $0.94 \pm 0.16 \text{ mg.kg}^{-1}$,
201 $P=0.80$). The mean hourly consumption of sufentanil was significantly reduced in the ANI+
202 group ($0.15 \pm 0.05 \mu\text{g.kg}^{-1}.\text{h}^{-1}$ versus $0.17 \pm 0.05 \mu\text{g.kg}^{-1}.\text{h}^{-1}$, $P=0.038$) (Fig. 2). A subgroup
203 analysis showed that the difference in mean hourly consumption of sufentanil was mainly
204 observed in gastric bypass surgery (0.15 ± 0.04 in the ANI- group versus $0.11 \pm 0.03 \mu\text{g.kg}^{-1}.\text{h}^{-1}$
205 h^{-1} in the ANI+ group, $P=0.01$) (Fig. 3). These significant differences still remain after
206 adjusting for imbalanced baseline covariates (i.e. age and obstructive sleep apnoea syndrome)
207 within overall population ($P=0.022$) as well as subgroup analysis ($P=0.019$).

208 Profile of perioperative analgesia is summarized in Table 2. Treatments used for preventive
209 analgesia initiated in operating room were similar in both groups. In PACU, the proportion of
210 patients requiring morphine trends to be higher in ANI+ without reaching significance (43%
211 versus 20%, $P=0.09$). The prevalence of nausea and vomiting was similar in both groups. In

212 the ANI- group, one patient experienced alveolar hypoventilation requiring the use of NIV,
213 compared to none in the ANI+ group. After discharging from PACU, the median maximum
214 NRS score in the first 24 hours was 3.5 [3.0-5.0] in the ANI- group versus 3.5 [2.3-5.0] in the
215 group ANI+. Twelve patients (40%) in the ANI- group and nine (30%) in the ANI+ group had
216 an NRS > 4 score in the first 24 hours after discharge from PACU, P=0.59. The proportion of
217 patients requiring opioid analgesic within the first 24 hours after discharge from PACU was
218 similar.
219

220 **Discussion**

221 The main finding of our study is that a strategy of anaesthesia management using ANI
222 monitoring significantly reduces opioid consumption without increasing pain scores in the
223 first 24 postoperative hours, compared to a strategy without analgesia monitoring. However,
224 this sparing effect of opioids does not seem to decrease adverse effects of these drugs.

225 The opioids used in perioperative are incriminated for their side effects especially respiratory
226 depression and induced nausea and vomiting. Recent studies show that they are also providers
227 of healing failure [16], immunosuppression disorders [17] and secondary hyperalgesia
228 phenomena [12,18]. Current international recommendations on enhanced bariatric surgery
229 programs include the use of multimodal analgesia to reduce opioid use and side effects [19].

230 This strategy is particularly relevant in the obese patient population, where the use of opioid-
231 agent is associated with an increased risk of hypoventilation and hypoxemia due to respiratory
232 compliance disorders and the high incidence of OSA [3,20,21]. Nevertheless, minimising
233 intraoperative doses of opioid-agent should not lead to underdosing which exposes to the risk
234 of nociception, stress and autonomic and haemodynamic reactions. The challenging objective
235 for the attending anaesthetist is therefore to maintain a level of analgesia included in this
236 narrow therapeutic window.

237 The management of intraoperative analgesia in the obese subject must take into account the
238 pharmacological changes induced by morbidly obesity. Indeed, several parameters could
239 modify the pharmacokinetics of anaesthetic agents: an increase in fat mass, a lesser extent
240 lean body mass or an increase in cardiac output and thus circulating blood volume [7].

241 Lipophilic agents, such as sufentanil, are more stored in the adipose tissue [7], resulting in a
242 lower concentration peak and later concentration equilibrium. Moreover, the apparent volume
243 of distribution and the half-life elimination of sufentanil is increased in obese patients [22].

244 Consequently, there is a risk of an accumulation, a prolonged residual effect of the molecule

245 and therefore a risk of postoperative respiratory depression [8]. However, for some authors,
246 the volume of distribution related to the total body weight is comparable between obese
247 subjects and people with normal weight, indicating that the drug is similarly distributed in the
248 excess body mass and lean tissues [23]. Then they recommend to administer sufentanil as a
249 loading dose based on total weight and to reduce maintenance doses. Other authors, such as
250 Slepchenko, find that sufentanil pharmacokinetic models developed for non-obese subjects
251 are adapted to moderate obese subjects (i.e. BMI <40), but that in severe obese subjects,
252 sufentanil concentrations are overestimated [24].

253 All these considerations make difficult the management of intraoperative analgesia in obese
254 patient, hence the particular interest of monitoring analgesia in this population. Among the
255 various monitoring systems, the ANI provided by the MDoloris® monitor is based on the
256 heart rate respiratory variability principle. It offers a view on the autonomic nervous system
257 and is a reflection of the balance nociception-antinociception. Several studies have reported
258 ANI monitor's reliability and its ability to detect a nociceptive stimulus during general
259 anaesthesia [25–27]. Recently, Daccache et al. studied the feasibility and safety of a
260 remifentanil administration protocol, based on the ANI index during vascular surgery on 180
261 patients [28]. The authors showed good feasibility and safety of ANI use, and also reported
262 the use of low doses of remifentanil and the existence of low pain scores in the first 24 hours
263 postoperatively.

264 In our study, sufentanil injections were protocolised in the ANI+ group and performed
265 according to the ANI index, whereas in the ANI- group they were performed according to the
266 clinician's opinion. We demonstrated that the management of intraoperative analgesia based
267 on ANI monitoring is associated with a reduction in the consumption of sufentanil in bariatric
268 surgery. This reduction was more pronounced in the subset of patients who underwent bypass
269 surgery. This surgery has the particularity to last longer than procedure for sleeve gastrectomy

270 or gastric band removal. It also has longer periods with few nociceptive stimuli (realisation of
271 digestive sutures for example). This result could reflect a practice of systematic injection, not
272 adapted to these low pain-operating times. ANI's use highlights the possible over dosage
273 during these weak nociceptive stimulation periods where the administration of opioid-agents
274 is not necessary.

275 Furthermore, more patients in the ANI+ group than in the ANI- group required morphine
276 titration in PACU, although this difference was not statistically significant. This can be
277 explained by the absence of a standardised postoperative analgesia protocol. Indeed, in the
278 ANI- group, 3 patients had benefited from a morphine administration at the end of the
279 intervention. All patients from the ANI- group who received a morphine titration in PACU
280 had not received intraoperative NSAIDs, well known for being powerful analgesics [29]. In
281 the ANI+ group, 13 patients had received a morphine titration in PACU, 9 out of them had
282 not received intraoperative NSAIDs, whereas among those who did not receive morphine
283 titration, 70 % (12 out of 17 patients) received intraoperative NSAIDs.

284 Some limitations should be noted in this study. First, we used sufentanil as opioid agent for
285 induction and maintenance of intraoperative analgesia, due to local habits. Remifentanil could
286 have been a good alternative in the obese population because of its pharmacological
287 advantages, including a short elimination half-life and a high clearance and therefore a lower
288 risk of accumulation. Secondly, data from the control group were collected retrospectively.
289 Unfortunately, there are some missing data especially in PACU, that's why it was not possible
290 to reliably compare pain score in PACU and length of extubation between the 2 groups.
291 Finally, we could observe statistical difference in hourly sufentanil requirement. However, we
292 must recognize that the observed difference is clinically few relevant.

293

294 **Conclusion**

295 The use of ANI monitoring might reduce intra-operative sufentanil consumption in bariatric
296 surgery. However, this benefit does not seem to be accompanied by a reduction in its side
297 effects. Our results need to be confirmed by a randomised controlled prospective study to
298 encourage the use of ANI monitoring in bariatric surgery or any other surgery performed in
299 obese patients.

300

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Retrospective cohort
(17 months)

Prospective Cohort
(12 months)

Patients undergoing bariatric surgery

N = 113

N = 90

BMI < 35 kg.m⁻² (n=18)
Intraoperative use of Remifentanyl (n=6)
Data unavailable (n=33)

BMI < 35 kg.m⁻² (n=9)
Logistic failure to include (n=35)
Intraoperative use of Remifentanyl (n=6)
Data unavailable (n=2)

Patients assessed for eligibility

N = 56

N = 38

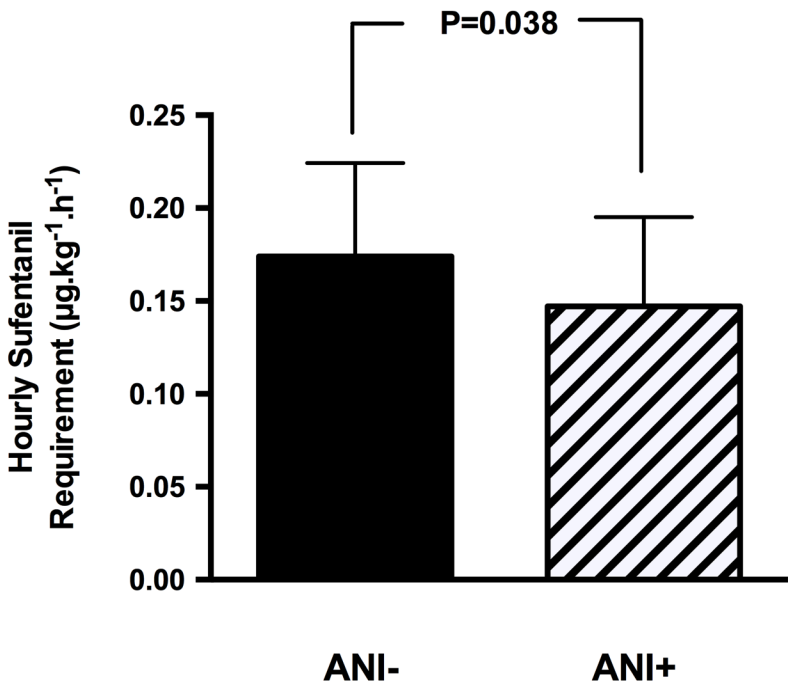
27 patients were excluded :
B-blockers use (n=23)
Arrythmia (n=1)
Opioid chronic use (n=2)

7 patients were excluded :
B-blockers use (n=7)
Arrythmia (n=1)

Patients included in the analysis

N = 30

N = 30



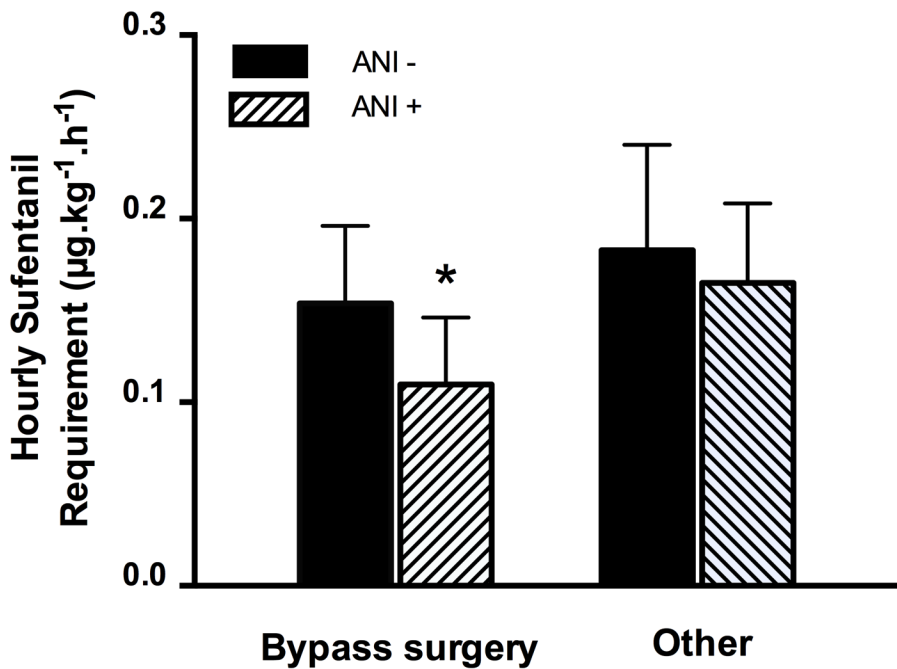


Table 1 Patients baseline characteristics of patients (n=60)

Variables	ANI- (n=30)	ANI+ (N=30)
Age (years)	50 (39-56)	40 (34-50)*
Female	27 (90)	26 (87)
Body Mass Index (kg.m ⁻²)	43 (41-45)	47 (41-48)
Body Mass Index severity		
Obesity class II †	3 (10)	6 (20)
Obesity class III ‡	27 (90)	24 (80)
Weight (kg)	115 (107-126)	122 (110-142)
ASA status		
ASA II	6 (20)	3 (10)
ASA III	24 (80)	27 (90)
Comorbidities		
Obstructive Sleep Apnea Syndrome	13 (43)	5 (17)*
Diabetes mellitus	8 (27)	7 (23)
Type of surgery		
Sleeve gastrectomy	12 (40)	17 (57)
Gastric bypass	15 (50)	10 (33)
Gastric band removal	3 (10)	3 (10)

Data are expressed as median (25-75th percentile) or n (% of patient). ANI= Analgesia Nociception Index; Obesity Class 2 † (moderate) : $35 \leq \text{BMI} < 40$ and class 3 ‡ (severe): $\text{BMI} \geq 40$. *: P value < 0.05 ANI-

Table 2 Post-operative analgesia profile

	ANI- (n=30)	ANI+ (n=30)
Preventive analgesia drug started in the operative room		
- Paracetamol	28 (93)	30 (100)
- Nefopam	11 (37)	16 (53)
- Tramadol	23 (77)	19 (63)
- Ketoprofen	12 (40)	16 (53)
- Chirocaine infiltration	2 (6)	6 (20)
Post-operative analgesia within 24 first hour		
- Paracetamol	30 (100)	30 (100)
- Nefopam	13 (43)	14 (47)
- Tramadol	24 (80)	20 (67)
- Ketoprofen	16 (53)	14 (47)
- Morphine	3 (10)	3 (10)
Maximal NRS in the first 24h after recovery room (NRS>4)	12 (40)	9 (30)

Data are expressed as n (% of patient). ANI= Analgesia Nociception Index; NRS = Numerical Rating scale. No significant difference between groups.