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- 1 Sources and sinks of dissolved inorganic carbon in an urban tropical coastal bay revealed by δ^{13} C-
- 2 DIC signals
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31 Abstract

32 Dissolved inorganic carbon (DIC), its stable isotope composition (δ^{13} C-DIC) and ancillary parameters of the water column were investigated in a eutrophic tropical marine-dominated 33 estuary surrounded by a large urban area (Guanabara Bay, Rio de Janeiro, Brazil). Most negative 34 δ^{13} C-DIC signatures (down to -6.1%) were found in polluted regions affected by direct sewage 35 discharges where net heterotrophy induces high partial pressure of CO₂ (pCO₂) and DIC 36 concentrations. Keeling plot was applied to this polluted region and determined the δ^{13} C-DIC 37 sewage signature source of -12.2‰, which is very consistent with isotopic signature found in 38 wastewater treatment plans. These negative δ^{13} C-DIC signatures (i.e., DIC depleted in 13 C) were 39 40 restricted to the vicinity of urban outlets, whereas in the largest area of the bay δ^{13} C-DIC 41 signatures were more positive (i.e., DIC enriched in ¹³C). The most positive δ^{13} C-DIC signatures (up to 4.6%) were found in surface waters dominated by large phytoplankton blooms, with 42 43 positive correlation with chlorophyll a (Chl a). In the largest area of the bay, the preferential uptake of the lighter stable carbon isotope (¹²C) during photosynthesis followed the Rayleigh 44 distillation, and appeared as the most important driver of δ^{13} C-DIC variations. This reveals an 45 important isotopic fractionation (ϵ) by phytoplankton due to successive algal blooms that has 46 turned the remaining DIC pool enriched with the heavier stable carbon isotope (^{13}C) . The 47 48 calculated diel apparent ε showed higher values in the morning (18.7% to 21.6%) and decreasing 49 in the afternoon (6.8% to 11.1%). ε was positively correlated to the pCO₂ (R² = 0.88, p = 0.005) and DIC concentrations ($R^2 = 0.73$, p = 0.02), suggesting a decline in carbon assimilation 50 efficiency and decreasing uptake of the lighter carbon under CO₂ limiting conditions. The 51 eutrophic coastal waters of Guanabara Bay have δ^{13} C-DIC signatures well above that found in 52 53 estuaries, shelf and ocean waters worldwide.

54 Keywords: δ^{13} C-DIC signatures; coastal eutrophication; carbon cycling; Guanabara Bay

55 1. Introduction

56 The coastal zone is one of the most biologically active areas of the biosphere, and plays an important role in the global carbon cycle (Gattuso et al. 1998). Estuaries are considered prominent 57 coastal environments, which receive large amounts of organic matter from land, and exchange 58 material with the adjacent ocean and the atmosphere (Borges and Abril, 2011; Chen et al. 2013). 59 60 The most recent global estimation of estuarine CO_2 emissions to the atmosphere is about 0.1 Pg C yr⁻¹ (Chen et al. 2013). These emissions occur because in estuaries the consumption of organic 61 carbon exceeds net primary production, and the net heterotrophy in the ecosystem leads to high 62 pCO_2 levels (Gazeau et al. 2004; Borges and Abril, 2011), together with lateral CO₂ inputs from 63 64 tidal wetlands (Cai and Wang 1998., Bouillon et al. 2003; Bouillon et al. 2011) and rivers 65 (Frankignoulle et al. 1998; Hunt et al. 2011; Joesoef et al. 2017). However, it must be highlighted that the high diversity of estuarine morphological types and associated ecosystems creates strong 66 local and regional differences that hinders the extrapolation of results for global estimations, 67 which remain uncertain (Borges, 2005). 68

69 The δ^{13} C-DIC is a helpful tool understanding biogeochemical cycling and tracing the sources, 70 sinks and transformations of carbon in aquatic ecosystems (Gillikin et al. 2006; Burt et al. 2016). 71 During photosynthesis, plants use preferentially the lighter stable carbon isotope $({}^{12}C)$ than the 72 heavier stable carbon isotope (¹³C). This carbon stable isotope discrimination leads to an isotopic 73 fractionation and, thus, organic carbon in marine plants and algae are depleted in ¹³C relative to their DIC source (Burkhardt et al. 1999). Therefore, aquatic primary production tends to leave the 74 75 water rich in ¹³C, increasing δ^{13} C-DIC signatures (Zeebe and Wolf-Gladrow, 2001). On the other 76 hand, the degradation of organic carbon by respiring heterotrophic organisms, either in pelagic or in benthic compartments, produces CO₂ with approximately the same isotopic signature of the 77 78 respired organic matter, decreasing δ^{13} C-DIC signatures (Kendall and Doctor, 2004; Miyajima et 79 al. 2009; Bouillon et al. 2011; Bhavya et al. 2018).

80 The freshwater δ^{13} C-DIC endmember in estuaries could present very different values, and related to distinct isotopic signatures from the major sources and sinks, including biogenic and 81 82 lithological sources, air-water CO₂ exchanges, and *in situ* metabolism (Mook and Tan, 1991; Campeau et al. 2017). This large heterogeneity leads to freshwater end-members generally 83 84 ranging between -5% and -25%, depending on the intensity of the different δ^{13} C-DIC sources and sinks (Kendall and Doctor, 2004; Finlay and Kendall, 2007). This results in marked changes 85 in the stable isotope carbon composition across estuarine salinity gradients from freshwater to the 86 sea (Fry, 2002). Very negative δ^{13} C-DIC signatures (down to – 20% or range), which shows a 87 88 strong depletion of 13 C in the DIC pool, were documented in several estuaries, especially at low salinity regions, which are usually highly heterotrophic (Bouillon et al. 2007; Bouillon et al. 89 2011). Contrary to low salinity regions, the marine-dominated regions of estuaries exhibit higher 90 91 δ^{13} C-DIC signatures that are usually in the range of -2‰ to +2‰ (mean approaching 0‰) due to 92 a predominance of marine DIC and a limited influence of DIC from terrestrial sources (Mook and 93 Tan, 1991; Chanton and Lewis, 1999; Gruber et al. 1999). Generally, the stable isotope signature 94 of DIC in estuaries follows mixing process between marine and freshwater end-members (Mook 95 and Tan, 1991), attesting that DIC levels are mainly controlled by physical processes (Wang et 96 al. 2016). However, deviation from mixing curves are frequently reported (Coffin and Cifuentes, 97 1999; Bouillon et al. 2003; Gillikin et al. 2006; Miyajima et al. 2009; Bouillon et al. 2011; Bhavya et al. 2018). The δ^{13} C-DIC data below conservative mixing suggest prevalence of respiration that 98 99 adds depleted δ^{13} C-DIC (Bouillon et al. 2003; Bouillon et al. 2011). On the other hand, in estuaries 100 with important levels of photosynthesis, deviation above to the mixing curve can occur due to the 101 preferential uptake of the lighter stable carbon isotope (Coffin and Cifuentes, 1999; Zeebe and Wolf-Gladrow, 2001; Gillikin et al. 2006). In addition to the metabolic controls (respiration and 102 photosynthesis), the δ^{13} C-DIC dynamic is also affected by physical controls such as the CO₂ 103 exchange with the atmosphere and dissolution/precipitation of carbonate minerals (Finlay and 104 105 Kendall, 2007). It is important to point out that when DIC reaches the equilibrium with atmospheric CO₂ concentrations, the δ^{13} C-DIC becomes close to the value of 0% (Bouillon et al. 106 107 2011). However, there is an important temperature-dependent equilibrium isotope fractionation 108 of δ^{13} C-DIC (Zhang et al. 1995), and this can cause regional deviations from 0% depending on 109 aquatic and atmospheric temperatures.

110 In addition to the high natural variability of δ^{13} C-DIC values in estuaries and coastal zones, the 111 isotopic signature of the DIC can change in response to anthropogenic forcing (Finlay and Kendall, 2007; Yang et al. 2018). Human activities, such as land-use changes, wastewater 112 113 discharges, and wetlands destruction, are altering carbon sources, sinks, cycling and budgets (Bauer et al. 2013). Although the use of δ^{13} C-DIC is well established for investigations of 114 ecosystem metabolism and water mixing processes in estuaries, this parameter has been rarely 115 used to describe the occurrence of anthropogenic perturbations such as eutrophication. In the 116 117 tropics, the development of coastal megacities with inefficient treatment of wastewaters, 118 combined with enhanced biological activity due to specific climatic features, leads to drastic 119 modifications of the regional carbon cycle (Carreira et al. 2002; Cotovicz et al. 2015), which 120 should impact the isotopic signature of the DIC. Here, we present the first measurements of δ^{13} C-121 DIC in a tropical coastal embayment that receives large amounts of untreated wastewaters from surrounding urban areas, which hosts a population of about 9 millions of inhabitants. Large inputs 122 123 of domestic effluents into the bay enhanced the levels of aquatic primary production (Rebello et 124 al. 1988), and turned the bay into a marked sink of CO₂ (Cotovicz et al. 2015). We hypothesized 125 that these human perturbations of the estuarine system will have important impacts on the isotopic signature of the DIC that need a detailed characterization. We also expected uncommon isotopic 126 127 signatures of these coastal waters compared to other estuarine and marine environments.

128

129 2. Materials and Methods

130 2.1. Study Area

Guanabara Bay (22°41–22°58 S and 43°02–43°18 W) is a tropical coastal embayment located at 131 the SE-Brazilian coast (Fig. 1). The surface area is 384 km², the mean depth is 5.7 m, and the 132 133 water volume is about 1870 x 10^6 m³. The bay has a microtidal regime, and is a partially mixed 134 estuary (Kjerfve et al. 1997), but under conditions of high solar incidence and high freshwater 135 discharge the bay could present a strong vertical thermohaline stratification (Cotovicz et al. 2015). The annual freshwater inputs by the rivers is only about 100 m³ s⁻¹ (Kjerfve et al. 1997). The large 136 difference between the high water volume and the low amount of freshwater inputs are reflected 137 138 in high salinities along the Bay (averaging 29.5 ± 4.8), with few lower values (down to 15) at the 139 vicinity of the small river mouths. Considering the bay as a whole, more than 85% of the water 140 volume corresponds to seawater, whereas only 15% is attributed to freshwaters (Costa-Santos, 141 2015). The average time necessary to renew 50% of the total water volume with the tidal 142 movements is 11.4 days, but with significant spatial differences, especially at the most confined regions (Kjerfve et al. 1997). Guanabara Bay is located in the intertropical zone, and the climate 143 144 is characterized by a warm and wet summer, and a cooler and drier winter (Bidone and Lacerda,

- 145 2004). The annual freshwater input to the bay is approximately $100 \pm 59 \text{ m}^3 \text{ s}^{-1}$, and modest 146 compared to the bay's water volume, which contributed to the predominance of polyhaline to 147 euhaline waters. The bay is one of the most polluted coastal systems in the world that receives 148 large inputs of untreated domestic and industrial effluents at approximately 25 m³ s⁻¹ (Kjerfve et 149 al. 1997; Bidone and Lacerda, 2004). We compartmentalized the bay into five domains (sectors 150 1, 2, 3, 4, and 5) as described by Cotovicz et al. (2015; 2018a) for the treatment, computations
- and interpretation of the data (Fig. 1).

152 2.2. Experimental Design, Sampling Procedures and Laboratory Analysis

- 153 In 2013 and 2014, nine sampling campaigns were conducted for the analysis of δ^{13} C-DIC and 154 ancillary parameters of the water column in Guanabara Bay. The water parameters were sampled 155 in continuous on-line and/or discrete procedures. Continuous measurements were performed to analyze the water temperature, salinity, DO and pCO_2 , as described by Cotovicz et al. (2015). 156 Briefly, one submersible water pump was positioned at the side of the boat (depth of 0.5 m), 157 158 providing continuous water flow to a measurement system located inside the boat. The continuous 159 measurements of pCO_2 followed the equilibration technique using a marble-type equilibrator 160 coupled to a non-dispersive infrared gas detector (LICOR 820) (Frankignoulle et al. 2001; 161 Cotovicz et al. 2016a). The equilibrator had a response time lower than 4 minutes, and, as the boat speed during measurements was about 6 km h⁻¹, pCO₂ measurements were averaged over 162 approximately 300m of the boat's track. One calibrated YSI 6600 V2 multiparameter probe 163 164 measured continuously the water temperature, salinity and DO.
- Discrete water samples were taken for δ^{13} C-DIC, chlorophyll *a* (Chl *a*), pheo-pigments, total 165 166 alkalinity (TA), and dissolved inorganic phosphorus (PO_4^{3-}), accounting to about 16-19 stations 167 distributed across the bay, except in December 2013, when only 8 stations could be sampled. Sub-168 surface water samples were collected at 0.5 m depth with a Niskin bottle, and conditioned (i.e. fixed and/or kept on ice in the dark) for further chemical analysis at the laboratory. The 169 170 comparison between surface and bottom waters in terms of δ^{13} C-DIC concentrations and other 171 physico-chemical parameters were performed at some stations, during the summer period, and during conditions of maximal vertical stratification at summer period in sectors 3, 4, and 5 172 173 (Cotovicz et al. 2016b).
- The water was filtered with whatman GF/F glass-fibre filters (porosity 0.45 µm) followed by 174 175 determination of suspended particulate material (SPM), Chl a and pheo-pigments. The filters were 176 pre-combusted (at 500° C during 6 hours) and pre-weighted before utilization. After filtration, 177 filters were dried in an oven at 50°C and then weighed. SPM was determined gravimetrically. Chl 178 a and pheo-pigments were extracted in 90% acetone and quantified spectrophotometrically before 179 and after acidification of the samples, according to Strickland and Parsons (1972). PO₄³⁻ was 180 quantified by the colorimetric method as in Grasshoff et al. (1999). For the stable isotope 181 composition of the DIC, the water was sampled and transferred directly to 150 mL serum vials, 182 which were poisoned by adding 0.2 mL of a solution saturated with HgCl₂ and carefully sealed, 183 taking care that no air remained in contact with samples. Vials were also stored in the dark to prevent photo oxidation. In the laboratory, the δ^{13} C-DIC signature was determined following the 184 protocol of Bouillon et al. (2007). We injected 40 mL of helium gas inside the bottles to create a 185 186 headspace, maintaining the bottles bottom-up and simultaneously expelling water by a second 187 needle.. Then, 0.2 mL of ultrapure and concentrated H₃PO₄ was introduced to convert all 188 inorganic carbon to CO₂. Samples were shaken vigorously and kept 12h in the dark at a controlled 189 temperature of 25°C. The δ^{13} C of CO₂ in the headspace was determined by injecting between 0.5

190 mL and 1 mL of the headspace gas in an isotopic ratio mass spectrometer (IRMS, Micromass IsoPrime), equipped with a manual gas injection port. δ^{13} C-DIC was calibrated using a laboratory 191 standard, which was prepared adding 45 mg of Na_2CO_3 in a sealed vial flushed with helium and 192 dissolved with 3 mL of 85% H₃PO₄, as described in Deirmendjian and Abril (2018). This standard 193 194 was calibrated against certified standard (NBS19, -1.96%) using a dual-inlet IRMS. The isotopic value of the Na₂CO₃ standard was -4.5±0.2%. The obtained δ^{13} C values were corrected for the 195 partitioning of CO₂ between the gaseous (headspace) and water phase in each sample using the 196 197 algorithm of Miyajima et al. (1995). The repeatability of the analysis was approximately 0.1%. The δ^{13} C-DIC signatures are reported in % relative to the standard Vienna Pee Dee Belemnite 198 199 (V-PDB) scale. TA was measured on 70 mL of filtrate samples, by the classical Gran titration method (Gran, 1952) using an automated titration system (Mettler Toledo model T50). The 200 201 reproducibility of the titration was $\pm 3 \mu \text{mol kg}^{-1}$ (n = 5), and the accuracy was estimated at ± 5 202 µmol kg⁻¹ (inferred from certified material reference, CRM, provided by A. G. Dickson, Scripps 203 institution of Oceanography). To compare the pCO_2 with discrete sampling, we used the value of 204 pCO_2 exactly the moment of the discrete sampling at the fixed station (after achievement of 205 equilibration). As such, we obtained the values of pCO_2 and TA at same time and place. DIC 206 concentrations were calculated from the values of pCO_2 and TA, and were very consistent with 207 the DIC calculated from the values of measured pH and TA (Cotovicz et al. 2015). Calculations 208 of DIC were made using the carbonic acid constants proposed by Mehrbach et al. (1973) refitted by Dickson and Millero (1987) as implemented in the CO2Calc V 4.0.9 program (Robbins et al. 209 210 2010).

211

212 2.3. Calculation of DIC and δ^{13} C-DIC addition or loss

Guanabara Bay did not present a marked saline gradient (Tab. 1; range was 14 to 35). Instead, this bay presents salinities generally higher than 30, and the low salinity waters are restricted to locations close to the river water and effluent discharges. When the river DIC inputs are weak or negligible, the conservative mixing of DIC (DIC_{mixing}) can be calculate using the marine endmember as follows (Jiang et al. 2008):

218
$$DIC_{mixing} = S_i/S_{ocean} * DIC_{ocean}$$
 (1)

219 Where S_i is the measured salinity, S_{ocean} the salinity of the ocean end-member, and DIC_{ocean} the 220 DIC concentration of the ocean end-member. The DIC_{mixing} is the DIC concentration after the 221 ocean end-member is diluted by a zero DIC freshwater; however, this equation also includes 222 possible DIC inputs from river (Jiang et al. 2008).

223 The excess of DIC (Δ DIC_{excess}) is defined as the DIC addition or loss relative to the conservative 224 mixing (Jiang et al. 2008), and can be expressed as:

$$225 \qquad \Delta DIC_{excess} = DIC_i - DIC_{mixing} \qquad (2)$$

226 Where DIC_i is the measured DIC. In the same way, the excess of total alkalinity (ΔTA_{excess}) can 227 be calculated as the deviation from the conservative mixing:

228
$$\Delta TA_{excess} = TA_i - TA_{mixing}$$
 (3)

In a similar approach developed by Yang et al. (2018), the difference between the δ^{13} C-DIC of the sample (δ^{13} C-DIC_i) and the δ^{13} C-DIC of the marine end-member (δ^{13} C-DIC_{ocean}) represent the

- stable isotopic deviation from the marine end-member ($\Delta \delta^{13}$ C-DIC) linked to local processes, as follows:
- 233 $\Delta \delta^{13}$ C-DIC = δ^{13} C-DIC_i δ^{13} C-DIC_{ocean} (4)

When DIC is altered by processes of organic carbon degradation, primary production, and/or airwater exchanges, its isotopic composition is also altered, following mass balance equations
(complete set of equation can be found in Yang et al. 2018). The simplified equation is:

237 $\Delta \delta^{13}$ C-DIC = Δ DIC_{excess}/DIC_i*(δ^{13} C_{excess}- δ^{13} C_{ocean}) (5)

The $\delta^{13}C_{excess}$ represent the stable isotopic composition of the added or lost DIC whereas the $\delta^{13}C_{ocean}$ is the stable isotopic composition of the marine end-member. In coastal waters with limited river inputs, the DIC_i and DIC_{ocean} are approximately the same. Then, the equation 5 can be adjusted to:

242 $\Delta \delta^{13}$ C-DIC = $\Delta DIC_{excess}/DIC_{ocean}^{*}(\delta^{13}C_{excess}-\delta^{13}C_{ocean})$ (6)

This equation above means that $\Delta DIC_{excess}/DIC_{ocean}$ and $\Delta \delta^{13}C$ -DIC are linked and linearly related. In this way, the slope of this relationship can be used to infer the isotopic composition of the added or lost DIC and the ocean end-member value (Yang et al. 2018).

The δ^{13} C signature of the added or lost DIC due to the organic carbon production or respiration was taken as the average of δ^{13} C-POC value in the bay, which is about -20% (Kalas et al. 2009). The fractionation factor (α CO₂) due to outgassing of CO₂ was calculated following the procedures described by Alling et al. (2012) and Samanta et al. (2015). We applied the equation of Rau et al. (1996) to estimate the fractionation factor (α) between DIC and the dissolved CO₂ in water, according to:

252 δ^{13} C-CO₂ = δ^{13} C-DIC + 23.644 – 9701.5/T (7)

This equation gives the equilibrium fractionation factor (ϵ CO₂), which is the difference between δ^{13} C-CO₂ and δ^{13} C-DIC. This calculation provides a value of ϵ CO₂ of -9.2‰, representing the averaged found in the sector 2 (the only sector that is a net source of CO₂ to the atmosphere). Defining fCO₂ as the fraction of DIC remaining in the water after outgassing of CO₂, we can calculate the DIC concentration after CO₂ loss (DIC_F, which is equivalent to the measured DIC, DICi), according:

 $259 \quad DIC_F = fCO_2 * DIC_I \qquad (8)$

where DIC_I represents the initial DIC concentration before outgassing, which is equivalent to the calculated DIC based on equation 1, DIC_{mixing} . The ¹³C/¹²C ratio in the remaining waters (R_F) will be fractionated during progressive outgassing by the Rayleigh distillation process, according:

263
$$R_F = R_I (fCO_2)^{\alpha CO_2 - 1}$$
 (9)

- Where R_1 is the initial ${}^{13}C/{}^{12}C$ ratio before outgassing. This equation is equivalent to (Alling et al. 2012):
- 266 $\delta^{13}C_F = \delta^{13}C_I + 10^3 (\alpha_{CO2} 1) \ln(f_{CO2})$ (10)

If we consider that the amount of DIC that is lost by outgassing is small compared to the total pool of DIC (Alling et al. 2012; Samanta et al. 2015), the DIC_F tend to be close to DIC_{mixing} (in fact this ratio is close to 1 in Guanabara Bay, and consistent with other studies). In this way, equations 1, 4, 8 and 9 can be combined:

271 $\Delta \delta^{13}$ C-DIC ~ $\Delta DIC_{exccess} (\alpha_{CO2} - 1)10^3$ (11)

272 Considering that $\alpha CO_2 \sim 0.991$ in Guanabara Bay, there is a near linear relationship between 273 $\Delta \delta^{13}$ C-DIC and ΔDIC_{excess} , with a slope of -9.2 value of outgassed CO₂. As the bay is a net sink 274 of CO₂ (Cotovicz et al. 2015), we also calculate a slope representing the uptake of atmospheric 275 CO₂. During uptake, $\alpha CO_2 \sim 0.998$ (Siegethaler and Münnich, 1981; Inoue and Sugimura, 1985), 276 giving a slope of approximately -2.0 that represents the process of CO₂ uptake.

- The average δ^{13} C -DIC signature of the wastewater DIC input was calculate with the keeling plot for the most polluted region (Fig. S1, supplementary file), which give a value of -12.2%. This
- stable isotopic signature is very consistent with that found in wastewater samples (-12.0%).
- 280
- 281 2.4. Estimates of the apparent photosynthetic fractionation factor of DIC (ε-DIC)

Photosynthesis leads to the ¹³C enrichment of the remaining DIC pool as the phytoplankton uses 282 283 preferentially the ¹²C (Mook 2001). The photosynthetic fractionation factor of DIC consumed (ε_{p} -DIC) was calculated using the diurnal variations in the DIC concentrations and its stable isotope 284 285 composition. In Sep.2013, Jan.2014 and Apr.2014, diurnal variations in water δ^{13} C-DIC were estimated within the upper sectors (sector 4 and 5) by performing lateral trajectories back and 286 forth across the sectors from dawn to noon (further referred as morning period) and from noon to 287 288 dusk (further referred as afternoon period). The stable isotope composition of the DIC used by phytoplankton ($\delta^{13}C_{used}$) from dawn to noon period is likened to the DIC concentrations and its 289 290 stable isotope composition observed between these two periods considering a simple conservative 291 mixing by a mass balance equation:

292
$$\delta^{13}C_{used} = (DIC_{dawn}\delta^{13}C_{dawn} - DIC_{noon}\delta^{13}C_{noon}) / (DIC_{dawn} - DIC_{noon})$$
(12)

where $\delta^{13}C_{dawn}$ and $\delta^{13}C_{noon}$ refer to the stable isotope composition of the DIC at dawn and noon, respectively, and DIC_{dawn} and DIC_{noon} refer to their respective concentrations. This formulation was also applied to investigate the stable isotope composition of the DIC used by phytoplankton during the afternoon. Then, this apparent photosynthetic fractionation of DIC (ϵ_p -DIC) was then estimated as the difference between the initial composition of DIC (δ^{13} C-DIC_i) and the calculated $\delta^{13}C_{used}$ for each period (morning and afternoon), as follows:

299
$$\varepsilon_{p}$$
-DIC = δ^{13} C-DIC_i - δ^{13} C_{used} (13)

In addition, we calculated the plots of Rayleigh Distillation, where the increase in δ^{13} C-DIC was plotted against the consumed DIC at the diel scale, in a similar approach of Dam et al. (2018).

302

303 2.5. Statistical Analysis

The Shapiro-Wilk test showed that data of pCO₂, TA, DIC, δ^{13} C-DIC and Chl *a* did not follow a normal distribution. Consequently, non-parametric statistical tests were performed. The paired Wilcoxon test was used to compare concentrations of the measured parameters between surface and bottom waters. The seasonal differences were analyzed by the Mann-Whitney test. Spearman rank coefficient was used to calculate the coefficient correlations between the measured parameters. Linear and non-linear (second order polynomial) regressions were also calculated. All statistical analysis were based on $\alpha = 0.05$ and were performed with the GraphPad Prism 6 software.

312 2.6. Data Compilation and Literature Survey

A compilation of δ^{13} C-DIC signatures from global databases such as the *Institute National des* 313 Sciences de L'Univers (INSU-France) and the Carbon Dioxide Information Analysis Center 314 315 (CDIAC) was carried out to obtain δ^{13} C-DIC data of other estuarine, coastal and open ocean 316 waters worldwide. We also used the search engines Google Scholar and Web of Science to compile data from literature. We recorded the available data directly from tables and/or 317 318 interpolated from figures using the data extraction program PlotDigitalizer. The different δ^{13} C-319 DIC data obtained from this compilation work were ranked according to their salinity in order to 320 compare it with the data obtained in this study.

321 3. Results

The Table 1 showed the average concentrations with standard deviations as well as the ranges of the main water parameters analyzed in this study, separated by sectors. The upper sectors of the bay (sectors 4 and 5) presented lower salinities $(27 \pm 4.3 \text{ and } 27.2 \pm 3.5, \text{ respectively})$ and higher temperatures $(26.8 \pm 2.6 \text{ °C} \text{ and } 26.7 \pm 2.2 \text{ °C}, \text{ respectively})$, associated to waters with longest residence times (Tab. 1). To the contrary of upper sectors, sector 1 which is located near the mouth of the bay, showed lower temperatures $(23.8 \pm 1.7 \text{ °C})$ and higher salinities (32.2 ± 2.1) as expected due to the major influence of shelf waters.

З	2	q
J	2	9

	Sector 1	Sector 2	Sector 3	Sector 4	Sector 5
Temperature	23.8 ± 1.7	25.5 ± 2.2	25.4 ± 2.1	26.8 ± 2.6	26.7 ± 2.2
(°C)	(21.0 - 29.3)	(22.1 - 32.4)	(22.1 - 31.5)	(22.0 - 32.3)	(22.6 - 33.9)
Salinity	32.2 ± 2.1	30.3 ± 2.4	29.8 ± 3.0	27.0 ± 4.3	27.2 ± 3.5
-	(25.4 - 34.9)	(17.7 - 33.7)	(15.1 – 33.8)	(14.6 – 33.2)	(16.6 - 32.9)
δ ¹³ C-DIC	1.59 ± 0.84	0.43 ± 1.93	1.68 ± 1.25	1.15 ± 1.50	(0.99 ± 1.66)
(‰)	(-0.02 / 3.03)	(-6.17 / 3.24)	(-1.88 / 4.57)	(-2.50/3.81)	(-4.87 / 3.71)
pCO ₂	411 ± 145	711 ± 561	286 ± 157	307 ± 256	272 ± 293
(ppmv)	(104 - 747)	(50 – 3715)	(41 – 660)	(29 – 2222)	(22 - 2203)
pН	8.20 ± 0.16	8.15 ± 0.32	8.35 ± 0.23	8.34 ± 0.29	8.44 ± 0.31
(NBS)	(7.90 - 8.71)	(7.33 – 8.96)	(7.88 - 8.96)	(7.39 – 9.01)	(7.51 – 9.23)
ТА	2240 ± 92	2291 ± 99	2168 ± 177	2045 ± 369	2137 ± 166
(µmol.kg ⁻¹)	(1942 - 2320)	(1890 - 2488)	(1507 - 2500)	(2111 - 3920)	(1479 - 2314)
DIC	1985 ± 120	2044 ± 268	1847 ± 257	1658 ± 259	1758 ± 264
(µmol.kg ⁻¹)	(1720 - 2127)	(1526 - 2523)	(1332 - 2290)	(1095 - 2118)	(1198 - 2190)
DO	103 ± 29	97 ± 59	138 ± 51	142 ± 62	160 ± 69
(%)	(48 - 221)	(2 - 263)	(56 - 357)	(30 - 361)	(46 - 370)
Chl-a	19.1 ± 22.0	46.2 ± 51.4	57.6 ± 90.0	69.2 ± 60.2	107.7 ± 101.8
(µg.L ⁻¹)	(2.0 - 128.0)	(3.3 - 212.9)	(1.6 - 537.2)	(13.1 - 288.8)	(1.5 - 822.1)
PO4 ³⁻ -P	1.11 ± 0.60	5.28 ± 3.88	1.51 ± 1.07	1.10 ± 0.79	2.23 ± 2.17
(µM)	(0.11-2.44)	(0.17 - 20.79)	(0.17 - 1.10)	(0.03 - 2.96)	(0.02 - 8.72)

Table 1: Mean (± standard deviation) and ranges of the principal parameters investigated in the

331 waters of Guanabara Bay, separated by sectors.

332 The δ^{13} C-DIC signatures in Guanabara Bay did not present conservative distributions with salinity gradient (Fig. S2; supplementary file). Indeed, DIC in the bay was enriched in ¹³C in some parts 333 (mainly sectors 3, 4 and 5) relative to the marine end-member that presented a stable isotopic 334 signature of 1.5% (Tab. 1), whereas in some restricted parts the bay showed ¹³C depletion (mainly 335 336 sector 2) (Tab. 1, Fig. 1). Spatially, the sectors 1 and 3 presented the higher averages of δ^{13} C-DIC signatures, which were 1.6% and 1.7%, respectively (Tab. 1). However, some extreme high 337 values (δ^{13} C-DIC > 3‰) were also present at the most shallow-confined regions of the bay 338 (sectors 4 and 5) (Tab. 1). Sector 2, the most polluted region, presented the lowest average of 339 δ^{13} C-DIC, which was 0.4% (Tab. 1). Some more negative values of δ^{13} C-DIC were also found in 340 341 sectors 4 and 5 close to the outlet of rivers and urban sewage networks (Tab. 1; Fig. 1). Considering all sectors, the range of δ^{13} C-DIC signature in the entire bay was -6.1% (Sector 2) 342 343 to 4.6% (Sector 3). Temporally, the δ^{13} C-DIC also showed important seasonal variations, 344 especially between winter and summer periods (Fig. 1). Significantly (Mann-Whitney test, p < 345 0.001), the summer period (considered the months of Apr. 2013, Jul. 2013, Aug. 2013, Sep. 2013 and Ap. 2014) presented more positive δ^{13} C-DIC signatures than the winter period (Oct. 2013, 346 347 Dec. 2013, Jan. 2014 and Feb. 2014). Indeed, the average signatures of δ^{13} C-DIC for the entire bay in winter and summer were respectively $1.0\% \pm 1.4\%$ and $1.5\% \pm 1.7\%$. Moreover, the 348 differences between surface and bottom waters for δ^{13} C-DIC during summer were of high 349 statistical significance (p < 0.001; Wilcoxon test). DIC pool was significantly enriched in ¹³C at 350 the surface layer, as δ^{13} C-DIC averaged at 1.8% $\pm 0.6\%$ in surface waters and 1.2% $\pm 1.0\%$ in 351 352 bottom waters (Tab. S1, supplementary file).

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Figure 1. Composite maps showing the study area and the spatial distributions of the δ^{13} C-DIC signatures in winter period (a) and summer period (b) in surface waters of the Guanabara Bay. The bay was divided into five sectors (S1 to S5). The black dots represent the sampled sites.

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The Spearman correlation matrix was calculated for all the parameters considered in this study: δ^{13} C-DIC, salinity, temperature, Chl *a*, DIC, *p*CO₂, DO, photosynthetically active radiation (PAR), suspended particulate material (SPM), and particulate organic carbon (POC) (Tab. S2, supplementary file). The values were established with averages for each sampling campaign. Interestingly, δ^{13} C-DIC was significantly and positively correlated to temperature, Chl *a*, PAR, DO, SPM and POC concentrations, while negatively correlated to pCO₂ levels and DIC concentrations.

Fig. 2 showed the calculated diel photosynthetic fractionation factor (ε-DIC) plotted against the 367 discrete values of pCO_2 and DIC concentrations. This approach was applied only at the diel scale, 368 369 assuming that the phytoplankton blooms realized isotopic fractionation by incorporating 370 preferentially the lighter stable carbon isotope. We compare the fractionation between the morning and the afternoon. The isotopic fractionation was higher during the morning, ranging 371 372 from 18.7% to 21.6% whereas lower fractionations occurred during the afternoon, and ranged 373 between 6.8% to 11.1% (Fig. 2). The photosynthetic fractionation factor was strongly and positively correlated to the concentrations of DIC ($R^2 = 0.73$, p = 0.02) and pCO_2 ($R^2 = 0.88$, p < 0.02) 374 0.005) (Fig. 2). Fig. 3 showed the plots of Rayleigh distillation that aimed to better analyze the 375 diel isotopic fractionation dynamic. The increase of δ^{13} C-DIC was related to a given change in 376 377 the fraction of consumed DIC. The diel cycles of production and respiration caused δ^{13} C-DIC to 378 vary between 2.1% and 2.8% (Fig. 3). The increase of δ^{13} C-DIC signatures along the day was 379 proportional to the decrease of DIC concentrations (Fig. 3). The relationship between δ^{13} C-DIC 380 and the Chl a concentrations was plotted using the sector-averaged values for each sampling 381 survey (Fig. 4). This figure shows a positive relationship between the phytoplankton biomass and δ^{13} C-DIC, especially at the most productive regions of Guanabara Bay (sectors 3, 4 and 5), where 382 383 the extreme positive δ^{13} C-DIC signatures occurred in dense phytoplankton blooms with Chl a concentrations above 50 μ g L⁻¹ (Fig. 4). 384



Figure 2. Calculated apparent diel phytoplankton fractionation of δ^{13} C-DIC (ε-DIC) plotted against a) *p*CO₂ values and b) DIC concentrations. The blue circles represent the ε-DIC for the period from dawn to noon, and the green circles represent the ε-DIC for the period from noon to dusk.



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Figure 3. Plots showing the diel variations of δ 13C-DIC and DIC (Rayleigh Distillation), where the increases of δ ¹³C-DIC values are equivalent to decreases in the DIC concentrations. Graphs a, b and c are represent the diel surveys (from dawn to dusk) in the months of Sep.2013, Jan.2014 and Apr.2014, respectively.



Figure 4. Relationship between δ^{13} C-DIC signatures and Chl a concentrations. The graph present the averaged-sector values for each sampling campaign.

401 The comparison of δ^{13} C-DIC signatures of Guanabara Bay with other ecosystems worldwide was 402 provided in Fig. 5. Guanabara Bay showed an inverse tendency between δ^{13} C-DIC and pCO₂ 403 values (Fig. 5a), where phytoplankton-dominated waters presented the highest δ^{13} C-DIC and the lowest pCO₂ values. The values of pCO₂ in Guanabara Bay were generally lower than the 404 compiled data, whereas δ^{13} C-DIC signatures were much higher (Fig. 5a). This comparison of 405 406 Guanabara Bay with other coastal and open ocean waters worldwide was also performed 407 considering the salinity (Fig. 5b and 5c). These graphs showed a high scattering in the 408 distributions of the δ^{13} C-DIC according to salinity. For a same salinity, the δ^{13} C-DIC may exhibit 409 variation up to 20%. The range of δ^{13} C-DIC was higher in low salinity regions and decreased 410 progressively when freshwaters mixed with ocean waters. The salinity range between 20-30 presented the highest δ^{13} C-DIC enrichment in Guanabara Bay compared to the data from other 411 412 estuaries and coasts, with a difference of about 3.20%, on average (Fig. 5b). Compared to mangrove-dominated estuaries with salinities ranging from 15 to 20, Guanabara Bay showed an 413 414 significant (Mann Whitney test, p < 0.0001) increase in δ^{13} C-DIC of 6.75% (Fig. 5b).



Figure 5. Comparison of Guanabara Bay with other systems worldwide: a) relationship between δ^{13} C-DIC and *p*CO₂ values; b) distributions of δ^{13} C-DIC according to salinity intervals; c) distributions of δ^{13} C-DIC values against salinity. The references of the compiled data set are

- 419 provided in the supplementary file.
- 420
- 421 4. Discussion
- 422 4.1 Sewage inputs of depleted δ^{13} C-DIC

423 As a coastal embayment dominated by saline waters, the inputs of freshwater to Guanabara Bay are very low compared to its water volume (Kjerfve et al. 1997). Taking account this hydrological 424 425 characteristic, we calculated the sinks and sources of DIC and TA to the system for a special case, 426 i.e., when the freshwater inputs are weak, following the procedures described by Jiang et al. (2008). Thus, it is possible to infer the gains and losses of DIC (Δ DIC_{excess}) and TA (Δ TA_{excess}) 427 428 relative to the conservative mixing (see materials and methods for details). The maximum values 429 of ΔDIC_{excess} and ΔTA_{excess} were coincident with the highest values of pCO_2 and no related to 430 salinity (Fig. S2; supplementary file). Oversaturation of pCO_2 in the bay was restricted to sites 431 close to the small river mouths and sewage channels (Cotovicz et al. 2015). These polluted sites 432 present occasional occurrence of hypoxia and anoxia events, sustaining heterotrophic metabolism 433 (Ribeiro and Kjerfve, 2002; Cotovicz et al. 2015). Anaerobic processes including 434 ammonification, denitrification and sulphate reduction can contributes to the production of 435 alkalinity (Abril and Frankignoulle, 2001; Hu and Cai, 2011).

436 The spatial distributions of δ^{13} C-DIC along Guanabara Bay shows a higher 13 C depletion (down to -6.17%) only closest to the locations that receive these direct inputs of DIC from effluent 437 discharges (Fig. 1). Fig. 6 shows the plot of $\Delta DIC_{excess}/DIC_{ocean}$ against $\Delta \delta^{13}C$ -DIC, and the slopes 438 of this relationship can be used to infer the main biogeochemical processes affecting the 439 440 distributions of DIC and δ^{13} C-DIC (see the figure caption for further explanations). The highest 441 DIC additions occurred closest to Rio de Janeiro city at the northwestern region of Guanabara 442 Bay (Sector 2). These samples from polluted sites fall within the III quadrant of the graph, 443 indicating processes of organic carbon degradation (Samanta et al. 2015; Yang et al. 2018). For very high pCO₂ values, the data in quadrant III follows the theoretical slopes of wastewater 444 445 contribution (slope = -0.012; vector D) and the degradation of organic carbon (slope = -0.020; vector C), and confirms the DIC inputs more depleted in ¹³C. These polluted regions present the 446

highest bacterial and virus contents (Fistarol et al. 2015), are sources of CO_2 and CH_4 (Cotovicz et al. 2015; 2016b), and show episodic evidence of corrosive waters with low saturation state of calcium carbonates (Cotovicz at el., 2018b). Similar results were found in the urbanized temperate Jiaozhou Bay-China (Yang et al.; 2018), where the authors found an important input of depleted δ^{13} C-DIC in waters that receive direct discharge from wastewater plans.

The strong negative correlation ($R^2 = 0.8$; p-value<0.001) between $\delta^{13}C$ -DIC and PO₄³⁻ in sector 452 2 reinforce the role of wastewaters as the main source of PO₄³⁻ and DIC depleted in ¹³C in this 453 region (Fig. S3; supplementary file). Studies have shown that, in general, the PO₄³⁻-P was the 454 455 dominant limiting nutrient in the bay, presenting sometimes an almost depletion and related to 456 the strong phytoplankton uptake (Costa-Santos 2015; Brandini et al. 2016). The calculated 457 isotopic signature of the added DIC for the samples located in these polluted regions (excluding 458 the data with Chl a concentrations > 50 μ g L⁻¹) gives a stable isotopic signature of sewage source 459 of -12.2% (Fig. S2). This value is very consistent with that found in wastewater treatment plants 460 in China (average of -12%; Yang et al. 2018), and with values found in a domestic sewage emissary in another region of Rio de Janeiro city (-13%; unpublished data). Taking into account 461 462 this, we quantified the contribution of sewage discharges for the two most polluted regions 463 (sectors 2 and 5; Fig. 1) by applying a simple two-source mixing model (Phillips and Gregg, 464 2001). We used the value of -12.2% as the δ^{13} C-DIC signature of sewage source, and the value 465 of 1.5% as the δ^{13} C-DIC-signature source of marine source (considered the average δ^{13} C-DIC 466 signature of sector 1). The model calculated a sewage contribution of about 10% in sector 2 and 5% in sector 5. The depleted δ^{13} C-DIC signature from polluted sources is lost very fast in the bay, 467 confirming the sewage-derived DIC is rapidly degassed and/or assimilated by phytoplankton 468 blooms, as we will discuss in the next section of the manuscript. Important to point that the 469 470 degassing is a process that turns the water more enriched in the heavier carbon isotope (^{13}C) , since that during CO_2 emissions the lighter carbon (¹²C) is preferentially emitted due to the kinetic 471 472 isotope effect.

Another source of DIC depleted in ¹³C to the water column in Guanabara Bay could be the 473 474 mangrove forest located at the northeastern region (Fig. 1); however, we could not find a clear 475 contribution into the bay (except for one sample collected close to the region, during low tide, 476 which presented a negative δ^{13} C-DIC signature of -2.5%). This value is very far from that found in mangrove-dominated waters, for example, in a tidal creek in Gazi Bay (Kenya) where the δ^{13} C-477 478 DIC values were very depleted (~ -8%) even for salinities higher than 30 (Bouillon et al. 2007) 479 (Fig. 5). This low influence of mangrove in Guanabara Bay occurs probably because of low tidal pumping related to the microtidal character of the bay (Cotovicz et al. 2018a). In addition, the 480 481 less ¹³C-enriched sediments were restricted to the area very close to the mangrove forest (Carreira 482 et al. 2002).

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484 4.2 Influence of the phytoplankton fractionation on the δ^{13} C-DIC dynamics

485 Despite the influence of wastewater contribution closest to sites that receive direct sewage 486 discharge, most of δ^{13} C-DIC values are positive, indicating an isotopic fractionation of DIC by 487 marine phytoplankton by a preferential use of ¹²C during photosynthesis (Fig. 6). Many data 488 points follow the theoretical slope of primary production (represented by vector A) that decreases 489 the ratio Δ DIC_{excess}/DIC_{ocean} and increases the $\Delta\delta^{13}$ C-DIC in the quadrant I (slope of -0.020). The 490 high incidence of PAR, especially during summer, associate with high nutrient availability and 491 formation of thermohaline stratification, increases the rates of primary production (Rebello et al 492 1988), associated with development of massive phytoplankton blooms and strong CO₂ uptake 493 (Cotovicz et al. 2015). This uptake of DIC removes preferentially the lighter ¹²C, enriching the 494 waters with the heavier stable carbon isotope (Mook, 2001). Successive algal blooms could 495 consumes more of the DIC pool, and the residual DIC becomes progressively ¹³C-enriched due to the isotopic fractionation (Finlay and Kendall, 2007). Guanabara Bay showed persistent 496 497 phytoplankton blooms in all the sampling campaigns, which spread to the entire bay and cover 498 larger areas during summer months (Cotovicz et al. 2015). Fig. 4 corroborates this result, with a positive tendency between the δ^{13} C-DIC and Chl *a* concentrations, suggesting that the seasonal 499 variation of the δ^{13} C-DIC signature is related to changes in the extension of the phytoplankton 500 501 dominance. This feature was also reported in the Perdido Estuary (Florida, USA) during periods 502 of higher phytoplankton production (Coffin and Cifuentes 1999), in the Scheldt Estuary 503 (Netherlands and Belgium) when the δ^{13} C-DIC showed higher signatures during phytoplankton 504 bloom periods (Hellings et al. 2001; Gillikin et al. 2006).

505 Fig. 6 present some points located in the quadrant IV, which represents the carbonate dissolution. 506 The process of carbonate dissolution consumes DIC of the water column, and adds δ^{13} C with an isotopic signature closest to that of marine carbonates (0%), turning the waters enriched in ¹³C 507 508 relative to the conservative mixing (Alling et al. 2012). However, this process is unlikely to occur 509 in the bay since that the values of pCO_2 in quadrant IV are low and the pH values are high 510 (Cotovicz et al. 2018b). Actually, DIC and δ^{13} C-DIC can be subjected simultaneously to more 511 than one process and not just by a specific one (Samanta et al. 2015). This means that if one 512 sample was subject to degradation of organic carbon (represented by quadrant III) followed by primary production (represented by quadrant I), this sample could finally be located in quadrant 513 514 IV (the deviation is represented by the blue arrow, which is drawn parallel to vector A). Similarly, 515 if a sample was subject to the DIC uptake by primary production (vector A) and thereafter occurs an intrusion of atmospheric CO_2 due to the gradient at the air-water interface (CO_2 sink, vector 516 517 E), this sample could also be deviated to the quadrant IV (green arrow). The red arrow is drawn 518 parallel to vector B, representing the effect of organic carbon degradation followed by outgassing 519 of CO₂. This is probably occurring in samples mainly from sector 2 that are located on quadrant 520 IV that present high values of measured pCO₂, when the outgassing could be important due to 521 important air-water gradient of CO₂. Another example is the quadrant I, where exists two vectors 522 (A and B), representing the slopes of biological production and outgassing, respectively. Both these processes lead to loss of DIC and increase of δ^{13} C-DIC. However, the process of outgassing 523 in these samples are unlikely since that the values of pCO₂ in this quadrant are highly under-524 525 saturated.



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Figure 6. Plot of $\Delta\delta^{13}$ C-DIC vs. Δ DIC_{excess}/DIC_{ocean} in the Guanabara Bay. The origin represents 528 529 the conservative mixing with sample values equal to the ocean end-member value (see material and methods for further explanation). The four quadrants (I, II, III and IV) indicate additional 530 processes than could influence the DIC and δ^{13} C-DIC distributions. The quadrant I represents the 531 primary production / outgassing of CO₂, when DIC concentrations increase and δ^{13} C-DIC values 532 533 decrease. The quadrant II represents the calcite precipitation, when DIC concentrations and δ^{13} C-534 DIC values decrease. The quadrant III represents the degradation of organic carbon and inputs from wastewater, when DIC concentrations increase and δ^{13} C-DIC decrease. The quadrant IV 535 represents the carbonate dissolution, when the DIC concentrations and the vales of δ^{13} C-DIC 536 increase. The vectors A, B, C, D and E represent the slopes of specific processes affecting the 537 538 DIC and δ^{13} C-DIC distributions, that are, respectively, primary production (slope = -20.0%), the 539 outgassing of CO_2 (slope = -9.2%), degradation of organic carbon (slope = -20.0%), wastewater input of DIC (-12.2%) and intrusion of atmospheric CO₂ (slope = -2.0%). The red, blue and green 540 541 arrows represent the direction in which the samples will follow if they are subject to more than one process. The red arrow represents the effect of organic matter degradation followed by 542 543 outgassing of CO₂, which is drawn parallel to vector B. The blue arrow represents the effect of 544 degradation of organic carbon followed by biological production, which is drawn parallel to 545 vector A. The green arrow represents the effect of primary production followed by intrusion of 546 atmospheric CO_2 due to the air-water gradient, which is drawn parallel to vector E.

547 The apparent phytoplankton isotopic fractionation (ϵ -DIC) was higher under conditions of high availability of dissolved CO₂, with higher fractionation during the morning than the afternoon 548 (Fig. 2). During morning, the values of pCO_2 were higher in Guanabara Bay as the results of the 549 accumulated CO_2 respired during the nighttime (Cotovicz et al. 2015). This suggests that the 550 551 discrimination against ${}^{13}C$ is higher when the availability of dissolved CO₂ is higher. Previous studies showed similar results, both in culture experiments (Fogel and Cifuentes, 1993), and in 552 553 *situ* at a Chinese hypereutrophic lake (Van Dam et al. 2018). The δ^{13} C-DIC fractionation by 554 phytoplankton in Guanabara Bay follows a Rayleigh distillation (Fig. 3), where the increasing removal of DIC fractions is accompanied by the isotopic fractionation of δ^{13} C-DIC, turning the 555 water enriched in 13 C. The values of ε -DIC found during the morning period in Guanabara Bay 556 were about 20%, a classical value for the marine phytoplankton (Fontugne and Duplessy, 1981, 557 558 Fogel and Cifuentes, 1999; Mook, 2001). Under conditions of low pCO₂, the δ^{13} C-DIC 559 fractionation decreases during the afternoon, reflecting the decline in carbon assimilation 560 efficiency. This decrease of fractionation under CO₂ limitation was showed experimentally for 561 marine diatoms (Burkhardt et al. 1999), and it is consistent with results found in a hypertrophic 562 Chinese lake under conditions of CO_2 sub saturation (Van Dam et al. 2018). In addition, under 563 low availability of dissolved CO₂, the phytoplankton can consume bicarbonate (HCO₃⁻) (Burns 564 and Beardall, 1987), and this could contribute to decrease the isotopic fractionation factor since 565 that the isotope ratio of HCO_3^- is about 8% more positive than that of dissolved CO_2 (Fogel and 566 Cifuentes, 1999). Previous studies suggested an active uptake of HCO_3^- in Guanabara Bay due to 567 the enrichment of the δ^{13} C-POC pool (δ^{13} C-POC reaching -15.1%; Kalas et al. 2009; Martins et 568 al. 2016). Cyanobacteria blooms, which have already been documented in Guanabara Bay, can 569 use bicarbonate under low pCO₂ availability (Miller et al. 1990).

570

571 4.3 Comparison with other ecosystems worldwide

The plot of δ^{13} C-DIC versus pCO₂ (Fig. 5a) shows that the blooms-dominated waters present 572 strong pCO₂ under-saturation and are as well enriched in 13 C, that is intrinsically related to the 573 574 extreme levels of primary production. Guanabara Bay presented values of δ^{13} C-DIC high above 575 than those of the compiled data in estuaries and coasts, revealing the advanced process of 576 eutrophication in the bay. The compiled data in estuaries presented a high scattering compared to the open ocean waters, especially for values of δ^{13} C-DIC lower than 0% (Fig. 5c). This reflects a 577 combination of processes such as respiration of terrestrial organic carbon from multiple sources 578 579 with different $\delta^{13}C$ signatures, weathering and the contribution of carbonate rocks in the 580 watershed, primary production, gas exchange and water mixing along the land-ocean aquatic continuum (Mook, 2001). The matrix correlation (Tab. S2) shows that higher values of δ^{13} C-DIC 581 582 are related to high levels of DO, POC, SPM, and Chl a, and low concentrations of DIC. Recent 583 findings in Guanabara Bay showed that the DOC and POC fractions present a large phytoplankton 584 dominance, surpassing the contribution of terrestrial sources (Cotovicz et al. 2018a). Compared to other estuaries, Guanabara Bay presented the highest enrichment of ¹³C-DIC in the salinity 585 586 range between 20 and 30 (Fig. 5b). In Guanabara Bay, this salinity interval is present in confined 587 stratified waters of the sectors 3, 4 and 5, which are net autotrophic and phytoplankton-dominated 588 (Rebelllo et al. 1988; Cotovicz et al. 2015; 2018a). This behavior is in contrast with most of other 589 estuarine studies, which are mostly located in temperate regions and river-dominated ecosystems, 590 where the effects of respiration, either in the water column or in sediments, are often much more 591 pronounced than photosynthesis (Mook, 2001; Bouillon et al. 2003). The relative enrichment of 592 13 C–DIC is also important for salinities > 30, suggesting that Guanabara Bay can export 13 C-DIC

enriched waters to the coastal ocean. There is a marked depletion of δ^{13} C-DIC in estuaries dominated by mangroves. The net inputs of ¹³C-depleted DIC in mangroves are attributed to the predominance of respiration in tidal creeks, as this process adds DIC to the water with a signature similar to that of the organic matter being respired (C3 plants with signature ranging between – 24% to -30% (Bouillon et al. 2003; Bouillon et al. 2011; Miyajima et al. 2009).

In open ocean waters, the compiled data of δ^{13} C-DIC showed a range between -1.13% and 2.31%, 598 averaging at 0.70% \pm 0.57% (Fig. 5). This range of δ^{13} C-DIC is in accordance with previous 599 600 studies in seawaters with a limited influence from land carbon sources (-2% and 2%; Mook and 601 Tan, 1991). However, the average of 0.71% is slightly lower than previous averages reported in 602 literature (Kroopnick, 1985; Mook, 2001). According to Mook (2001), the δ^{13} C-DIC in seawater 603 varies between +0% and +2.5%, with the majority of data between 1% and 2%. According to 604 Kroopnick (1985), the δ^{13} C-DIC of surface oceanic waters are generally around 2‰. Differences 605 in the averages and ranges of these studies can be attributed to the specific conditions during 606 sampling collection, since that consider regions with distinct biological, air-sea exchange 607 processes and different *in situ* temperatures, which could alter the δ^{13} C-DIC signature. In addition, 608 δ^{13} C-DIC could substantially differ considering the differences between surface and bottom waters. δ^{13} C-DIC can present vertical stratification attributed to the oxidation of the organic 609 610 material produced at the surface waters (majority from phytoplanktonic origin) as it falls through 611 the water column and remineralizes at depth, with addition of isotopically light respiratory CO₂ 612 to the DIC pool below the pycnocline (Kroopnick, 1985, Koné et al. 2009; Eide et al. 2017). 613 Lower δ^{13} C-DIC values in bottom waters were reported in several estuarine, coastal and open 614 ocean waters (Chou et al 2007; Burt et al 2016; Humphreys et al. 2016; Filipsson et al. 2017). Guanabara Bay also present a significant vertical δ^{13} C-DIC stratification during summer, with 615 616 higher values at surface waters, reflecting the enrichment by phytoplankton blooms, and the 617 depletion in bottom waters due to the predominance of respiration of organic matter (Tab. S1).

618

619 5. Conclusion

Our results showed a strong control of δ^{13} C-DIC dynamics by biological processes in the highly 620 polluted and eutrophic Guanabara Bay. Indeed, the extreme high Chl a concentrations 621 622 concomitant with heavier δ^{13} C-DIC signatures and low pCO₂ values indicate a strong carbon 623 isotopic fractionation by primary production, especially at mid-inner shallow regions of the bay. The isotopic fractionation induced by primary production is accentuated during summer 624 625 conditions when the vertical thermohaline stratification, the nutrients availability and the 626 photosynthetically active radiation were at their highest. Our calculated apparent phytoplankton 627 fractionation based on diel variations of δ^{13} C-DIC signatures and DIC concentrations showed 628 higher ¹³C discrimination from morning to noon period, decreasing during afternoon, following a 629 Rayleigh distillation process. Overall, the *in situ* δ^{13} C-DIC concentrations were well above than 630 the values expected in equilibrium with atmospheric CO_2 concentrations. The lower/negative 631 δ^{13} C-DIC signatures were restricted to the regions under direct influence of domestic effluent discharges, where high inputs of organic matter stimulate the microbial respiration that adds 632 depleted δ^{13} C-DIC into the waters. The process of air-water exchange seems also to exert 633 634 influence on the isotope signatures; however, in a lower magnitude compared to the biological 635 activities. Compared to the compiled data from several estuaries and open ocean waters 636 worldwide, Guanabara Bay showed a marked enrichment of ¹³C, increasing δ^{13} C-DIC signatures. The highest signature of δ^{13} C-DIC in Guanabara Bay reached 4.57‰, and to the best of our 637

638 knowledge, this is the highest value reported in coastal and open waters worldwide. These results

- 639 indicate that the eutrophication process can deeply modify the isotopic signature of the dissolved
- 640 inorganic carbon pool in coastal waters dominated by large algal blooms.
- 642 Acknowledgments

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Graphical Abstract

