1	Fluid dynamics characterisation of a rotating bioreactor for tissue
2	engineering
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13 14	ABSTRACT : (155 words)
15 16	Biological scaffolds composed of extracellular matrix (ECM) derived from decellularised
17	tissue are increasingly used in regenerative medicine. In this project, a flow perfusion
18	bioreactor (the rotary cell culture system (RCCS), commercially available from Synthecon
19	(Houston, TX)) is used in order to obtain some esophageal extracellular matrix. A theoretical
20	mechanical characterisation of this experimental set-up is provided. Due to the combination
21	of rotation and perfusion, some spiral Poiseuille flow is created inside the tubular esophagus.
22	In a transverse section, a particle (or cell) experiences simultaneously gravitational,
23	Archimedes, centrifugal, Coriolis, and drag forces. In a frame of reference rotating with
24	angular velocity ω , the particle follows a periodic nearly circular path in the clockwise
25	direction, associated with a very slow centrifugal drift towards the esophagus wall. It appears
26	that moderate perfusion rate and rotation speed (ω < 20 rpm and Q < 30 ml/min) are
27	appropriate experimental conditions for esophagus tissue engineering using the RCCS
28	Synthecon bioreactor.

Keywords : rotating bioreactor; spiral Poiseuille flow; esophageal substitute; tissue engineering

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1. Introduction

The concepts of tissue engineering and regenerative medicine are used to develop therapeutic 37 alternatives in order to provide viable solutions for patients waiting for a transplant of a 38 failing organ in terminal phase [1]. Increasingly used in regenerative medicine, biological 39 scaffolds composed of an extracellular matrix (ECM), derived from decellularised tissues, are 40 able to satisfy some clinical needs [2]. However, the decellularisation process must not 41 42 compromise the integrity of the native organ's overall three-dimensional architecture, structural components and biomechanical properties. Different methods have been developed 43 to this aim [1]. 44

Esophageal tissue engineering is a promising approach to the treatment of esophageal 45 pathologies such as esophageal atresia, which affects one newborn for every 3,500 births [3], 46 47 esophageal cancer, which is the eight most common cancer in the world [4], accidental or intentional burns, and perforations. Currently, the restoration of digestive continuity after 48 esophagectomy is achieved through the interposition of a segment of the colon or by the 49 50 tubulation of the stomach (Lewis Santy intervention); however there are many post-operative complications such as anastomotic leaks, infections, etc. [5]. There has been interest shown in 51 the development of a tissue-engineered esophageal substitute, constituted of an acellular 52 matrix and seeded cells, for the treatment of esophageal pathologies. It is necessary to develop 53 optimal decellularisation techniques in order to obtain a clinical grade esophageal ECM for 54 full thickness esophageal replacement. Previous studies have developed an acellular 55 esophageal substitute from skin, urinary bladder, intestinal submucosa from various animal 56 and human models; however, the use of porcine esophagus has been shown to be the most 57 58 adapted tissue engineering support for a full thickness esophageal replacement. The use of decellularisation solutions in contact with native esophageal tissue ensures the removal of 59

cellular content and DNA. In recent years, different decellularisation solutions have been 60 explored such as sodium dodecyl sulfate, sodium deoxycholate, Triton X-100, and Chaps [6]. 61 The most effective decellularisation procedure for engineering an acellular scaffold from a 62 porcine esophageal sample was found to be the use of sodium deoxycholate for cell lysis 63 coupled with DNAse I for the removal of DNA [7]. The action of these chemical and 64 enzymatic solutions can be amplified by a mechanical action provided by immersion under 65 constant agitation, or by using the esophageal lumen as a perfusion way [8], [9]. In this study, 66 the decellularisation of a porcine esophagus sample is made possible by the perfusion of 67 sodium deoxycholate solutions coupled to DNase I, in order to provide biological scaffolds 68 69 able to be recellularised by human stem cells [9]. The functionalisation of an esophageal substitute by a recellularisation method is a significant challenge in tissue regeneration after 70 transplantation of a tissue engineered organ [1]. It is possible to recellularise esophageal 71 decellularised matrices (DM) with several cell types: autologous or allogeneic, differentiated 72 or non-differentiated. The use of cell sheets [9] allows the recellularisation of DM under 73 static conditions, whereas recellularisation with suspended cells requires a dynamic 74 environment to overcome the sedimentation phenomenon [6]. For this purpose, a flow 75 76 perfusion bioreactor is used: the rotary cell culture system (RCCS), commercially available 77 from Synthecon (Houston, TX) [10]. This device allows liquid flow within the tubular esophagus as well as a mechanical rotation in and around the tissue in two successive closed 78 chambers. The flow of this liquid is thus controlled according to a perfusion flow rate and a 79 80 rotation of the chamber. Thanks to this dynamic environment in the bioreactor the distribution of the seeded cells in the DM is performed in a homogenous way [6]. The aim of 81 this paper is to provide a theoretical mechanical characterization of this experimental set-up 82 in order to determine: i) the velocity fields, pressures, shear stresses in the fluid without 83 suspended cells, ii) the forces that act on a suspended cell and determine its motion. 84

Although several papers [11-15] mention the basic principle of rotating wall bioreactors the
literature survey did not allow us to find a convenient detailed mechanical and mathematical

analysis of the combined rotation and perfusion movement in such devices. Pollack et al. [16] 87 88 provided the equations of motion for microcarriers in a rotating bioreactor. They validated their analysis with some experimental and numerical results. However, they used a High 89 Aspect Ratio Vessel (HARV). This type of bioreactor has a large radius to depth ratio, it looks 90 like a disk and not like a cylinder. Their analysis was 2D (no longitudinal motion). The 91 HARV was also used by Mazzoleni et al. [17] as an in-vitro model of osteocytes' 92 differentiation and bone matrix formation, and by Ferrarini et al. [18] as a tool to study 3-D 93 tumor (myeloma) models. Varley et al. [19] used a more common type of bioreactor, named 94 RWV (Rotating Wall Vessel), to improve osteoblasts proliferation in floating scaffolds. They 95 proposed a dual-axis rotating system with rotation speeds of each axis in the range 5- 35 rpm. 96 They performed some numerical calculations but they did not provide information about their 97 numerical procedure. They considered the case where the bioreactor chamber is not 100% 98 filled with culture medium. Consequently, they had to take into account a fluid /air interface 99 with its specific boundary condition. Liu et al. [20] published an analysis on forces and 100 101 movement of cultivated particles in a rotating wall vessel bioreactor. They considered the case where the rotating speeds (in the range 10 r.p.m. to 65 r.p.m.) of the inner and outer cylinders 102 103 are not the same. No longitudinal flow exists in their study and the sizes of the suspended particles are in the range 100 µm to 1 mm. Their equations and mathematical solution seem 104 very questionable. Some longitudinal flow is considered in [21] but the bioreactor used by 105 these authors is very different from the one we use. Their bioreactor is composed of two 106 107 concentric cylinders that can be independently rotated (15-35 r.p.m.). The fluid is tangentially 108 perfused between the inner and outer cylinders. Since the inner cylinder wall is porous, the 109 fluid is collected in the inner cylinder and goes back to the external flow loop. Their perfusion rate is typically 10 ml/min. They provide a numerical solution for the flow fields and shear 110 stresses but do not study the motion of suspended particles. 111

In 2014, Grimm et al. [22] published an extensive review of the existing devices that simulatemicrogravity and can be used for various tissue engineering applications. A literature

synthesis on bioreactors and their utilisation in bone tissue engineering can also be found in [23]. Some studies mention the use of a RCCS bioreactor and provide details about their experimental procedures, but they do not give any mechanical analysis; for example, the experimental conditions are: no longitudinal flow, low rotational speed (10 r.p.m.) in the study of Morabito et al. [24] and no longitudinal flow, rotational speeds in the range 12 to 22 r.p.m. in the study of Lei et al. [25].

120 Other experimental studies demonstrate that the concept of rotating wall bioreactor associated with longitudinal perfusion is pertinent for decellularisation and recellularisation of tissue 121 engineered tubular constructs. A double-chamber tracheal bioreactor is described in [26]. 122 123 More recently, this device has been re-designed by Lee et al. [27] to improve the perfusion cell seeding protocol in order to re-epithelialise de-epithelialised tracheal scaffolds. A tracheal 124 rotation along its longitudinal axis is allowed from 0 to 30 rpm, with flow rates range from 125 1.5 to 12 ml/min. This favours circulation and mixing of micronutrients and provides control 126 of the cell deposition patterns on the scaffold. Nayakawde et al. [7] also perform 127 128 recellularisation of acellular esophagus matrix in a perfusion-rotation bioreactor (Harvard Apparatus) with very slow flow rate (3 ml/min) and rotation (0.5 r.p.m.). Urbani et al. [28] 129 130 use an Applikon bioreactor connected to a reservoir medium to create dynamic cell culture 131 conditions on their esophagus scaffolds. No rotation is possible with this device and the medium flow rate is 5 ml/min. The flow loop is ensured by peristaltic pumps in [7, 27, 28] 132 and by a home-made motion unit in [26]. 133

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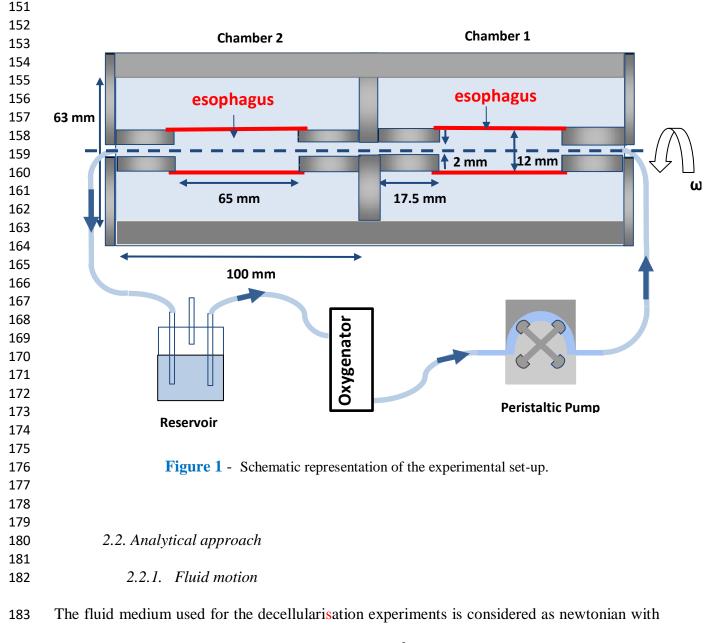
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2. Materials and methods

2.1.Description of the perfusion bioreactor and closed flow loop

A schematic representation of the RCCMax-dual esophagus bioreactor and of the flow bench is reported in **Figure 1**. The bioreactor consists of two successive cylinder chambers that rotate horizontally at the same constant angular speed. Some direct motor drive is used to

rotate the cylinders. In each chamber there are scaffold holders on which a tubular scaffold 143 can be mounted and tied with a non-absorbable 2/0 USP suture. The scaffold thus rotates at 144 the same angular velocity as the chamber wall. The chambers are connected to a media 145 reservoir bottle, an oxygenator and a 4-rollers peristaltic pump (Watson Marlow 314D). One 146 r.p.m. on the peristaltic pump provides a 0.5 ml/min flow rate through the tubing. Silicon 147 tubing has a wall tube thickness 1.6 mm and internal diameter 1.6 mm. The oxygenator uses 148 silicone membrane diffusion of gases. The reservoir is open to atmospheric pressure. The 149 circulating medium contains Sodium Azide, Sodium Deoxycholate and DNaseI. 150



184 a viscosity $\eta_f = 1$ mPas and a density $\rho_f = 1015$ kg/m³. For the moment the esophagus wall is

assumed non-deformable and non-porous and its thickness (a few millimeters) is not taken into account. R_1 denotes the esophagus radius ($R_1 = 6$ mm) and R_2 the chamber radius ($R_2 =$ 31.5 mm). Both cylinders (esophagus and chamber) are supposed "infinitely" long with an axial symmetry.

In each chamber of the bioreactor two distinct parts will be considered: **Part A** will refer to the perfusion inside the esophagus and **Part B** will refer to the medium enclosed between the esophagus and the chamber wall. The scaffold is attached to some part of the chamber; consequently the esophagus wall rotates at the same angular velocity as the chamber wall.

In **Part B** there is no fluid circulation (no longitudinal fluid velocity). The fluid rotates as a rigid body with an angular velocity ω throughout the domain (Couette flow). In classical cylindrical coordinates (O, r, θ , z) this would yield: no radial velocity and an azimuthal velocity U_{θ} equal to ω r, r being the radial coordinate (R₁ < r < R₂). In this environment, shear stresses are null.

In **Part A** for a given value of the pump flow rate (Q) quantities of interest do not depend on time. The flow is driven by the combination of two factors: a constant axial pressure gradient (along Oz) and the rotation of the dual chamber. Velocity continuity prevails at the wall, due to the no-slip boundary conditions. This results in an exact superposition of an axial parabolic velocity profile U_z and an azimuthal solid-body rotation U_{θ} depending only on the radial coordinate r as:

$$U_z(r) = 2 U_{mean} \left(1 - \frac{r^2}{R_1^2}\right), \text{ and } U_\theta(r) = \omega r$$
 (1)

where U_{mean} is the mean axial velocity associated with the axial pressure gradient $\left(-\frac{\partial P^*}{\partial z}\right)$ according to:

207
$$U_{mean} = \frac{Q}{\pi R_1^2} = \frac{\left(-\frac{\partial P^*}{\partial z}\right)R_1^2}{8\eta_f}$$
(2)

This type of flow is known as the rotating Hagen-Poiseuille flow or spiral-Poiseuille flow [29]. It is characterized by two non-dimensional control parameters: the streamwise Reynolds number:

$$R_{ez} = \frac{\rho_f \ U_{mean} \ (2 R_1)}{\eta_f} \tag{3}$$

and the azimuthal (or rotational) Reynolds number:

213
$$R_{e\omega} = \frac{\rho_{f(\omega R_1)(2R_1)}}{\eta_f} \tag{4}$$

In such a flow, tangential shear stresses in the azimuthal direction are null since:

215
$$\tau_{r\theta} = \eta_f r \, \frac{\partial}{\partial r} \left(\frac{U_{\theta}}{r} \right) \tag{5},$$

and tangential shear stresses in the axial direction may be calculated as:

217
$$\tau_{rz} = \eta_f \frac{\partial U_z}{\partial r} = -\frac{r}{2} \left(-\frac{\partial P^*}{\partial z}\right)$$
(6).

218 They are maximal at the wall of the esophagus $(r = R_1)$.

It is important to mention that both in **Part A** and **B**, the radial projection of Navier-Stokes equations can be simplified as:

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$$\frac{\partial P^*}{\partial r} = \rho_f \frac{U_{\theta}^2}{r} = \rho_f \frac{\omega^2 r^2}{r} = \rho_f \omega^2 r \tag{7},$$

thus demonstrating that a positive radial pressure gradient exists in each domain.

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2.2.2. Suspended particle motion

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In order to be able to describe the motion of a particle relative to the rotating fluid a rotating frame (O, x, y, z) is considered (**Figure 2**). Conversely (O, X, Y, Z) is a ground-based frame (fixed). Since the esophagus cylinder and the chamber cylinder are concentric, the (OZ) and (Oz) axis are the same. Unit vectors associated with (OXY) are denoted $\mathbf{e}_{\mathbf{X}}$ and $\mathbf{e}_{\mathbf{Y}}$, and unit vectors associated with (Oxy) are denoted $\mathbf{e}_{\mathbf{x}}$ and $\mathbf{e}_{\mathbf{y}}$. The (Oz) and (OZ) unit vectors are denoted $\mathbf{e}_{\mathbf{z}}$, so that the frames are direct. The frame (O, x, y, z) rotates counter-clockwise about the (Oz) axis with a constant angular velocity ω . The rotating vector is thus: $\mathbf{\Omega} = \omega \, \mathbf{e}_{\mathbf{z}}$.

We consider a non-deformable spherical particle with radius a and density ρ_p (slightly higher

than the fluid density ρ_f). The mass of the particle is thus:

 $m_P = \rho_P V_P$, where V_p is the particle volume ($V_P = \frac{4}{3}\pi a^3$).

Since the particle is positively buoyant, it experiences sedimentation while the chamber and the fluid are rotating.

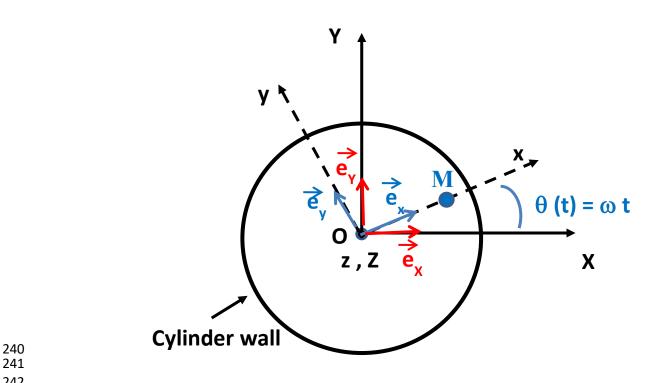


Figure 2 – Ground-based and rotating cylindrical frames: definition of the notations. The gravitational acceleration g is perpendicular to the rotation axis (Oz); it is directed down the (OY) axis.

The particle position (OM), velocity (v) and acceleration (a) in the rotating frame are respectively:

249
$$\boldsymbol{OM} = \begin{vmatrix} x \\ y \\ z \end{vmatrix}$$
, $\mathbf{v} = \begin{vmatrix} \dot{x} \\ \dot{y} \\ \dot{z} \end{vmatrix}$, $\boldsymbol{a} = \begin{vmatrix} \ddot{x} \\ \ddot{y} \\ \ddot{z} \end{vmatrix}$, where the dot denotes time derivative

of a quantity. Accordingly the entrainment velocity is obtained as:

251
$$\boldsymbol{\Omega} \wedge \boldsymbol{OM} = \begin{vmatrix} 0 \\ 0 \\ \omega \end{vmatrix} \begin{vmatrix} x \\ y \\ z \end{vmatrix} \begin{vmatrix} -\omega y \\ \omega x \\ 0 \end{vmatrix}$$
(8)

253

The entrainment acceleration is :

$$\boldsymbol{\Omega} \wedge (\boldsymbol{\Omega} \wedge \boldsymbol{OM}) = \begin{vmatrix} 0 \\ 0 \\ \omega \end{vmatrix} \begin{pmatrix} -\omega y \\ \omega x \\ 0 \end{vmatrix} = \begin{vmatrix} -\omega^2 x \\ -\omega^2 y \\ 0 \end{vmatrix}$$
(9),

254 And the Coriolis acceleration is:

255
$$\mathbf{2} \,\mathbf{\Omega} \wedge \mathbf{v} = \begin{vmatrix} \mathbf{0} & \mathbf{\dot{x}} \\ \mathbf{0} & \mathbf{\dot{y}} \\ 2 \,\omega & \begin{vmatrix} \dot{x} \\ \dot{y} \\ \dot{z} \end{vmatrix} \begin{vmatrix} -2 \,\omega \dot{y} \\ 2 \,\omega \dot{x} \\ 0 \end{vmatrix}$$
(10)

256

In **Part B** the particle experiences simultaneously gravitational, Archimedes, centrifugal,
Coriolis, and drag forces.

Introducing a buoyancy corrected mass, $m_b = (\rho_p - \rho_f) V_p$, the buoyancy corrected weight of the particle is: $m_b g$, where we have to consider the projection of the gravitational acceleration g in the rotating frame:

262
$$\boldsymbol{g} = \begin{vmatrix} -g\sin(\omega t) \\ -g\cos(\omega t) \\ 0 \end{vmatrix}$$
(11)

263 Since the particle is small and the velocities are moderate, an appropriate estimation of the 264 viscous drag may be obtained using Stokes approximation:

265
$$\mathbf{D} = -\mathbf{k} \mathbf{v}$$
, where the coefficient k is given by : $6\pi \eta_f a$ (12).

Since the fluid is in solid body rotation the pressure gradient acting on $(\rho_f V_p)$ opposes the the centripetal force on $(\rho_p V_p)$ and the resulting force will be written as:

$$\begin{array}{c}
-m_b \,\omega^2 x \\
-m_b \,\omega^2 \, y \\
0
\end{array} \tag{13}$$

Gathering all, in **Part B**, the motion of the particle in the rotating frame is governed by thefollowing differential equations:

271
$$m_P \ddot{x} = -k\dot{x} + m_b\omega^2 x + 2m_p\omega\dot{y} - m_b g \sin(\omega t)$$
(14)

272
$$m_P \ddot{y} = -k\dot{y} + m_b\omega^2 y - 2m_p\omega\dot{x} - m_b g\cos(\omega t)$$
(15)

Equations (14-15) indicate that particle motion may be affected by: density difference
between fluid and particle vessel rotation rate, fluid viscosity and particle radius.

In **Part A**, due to the fluid perfusion a longitudinal motion of the particle exists. The equation of motion for the relative particle displacement is:

$$m_P \ddot{z} = -k\dot{z} \tag{16}$$

Additionally the Poiseuille flow shear rate (radial variation of the longitudinal velocity) can induce a torque on the particle associated with a lift force and a radial migration [30]. This shear rate can be derived from Equ.(1) and (2) as follows:

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$$G = \frac{\partial U_z}{\partial r} = -\frac{4 Q r}{\pi R_1^4}$$
(17).

A Reynolds number based upon the particle radius and the averaged velocity gradient, G_{mean}, can be defined as:

285 $R_{eG} = \frac{\rho_{f \ G \ mean} \ a^2}{\eta_f}$ (18), 286 where $G_{mean} = -\frac{2 \ Q}{\pi R_1^3} .$

3. Results

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3.1.Reynolds numbers, entry lengths and pressure losses in the bioreactor

The effects of changes in operating conditions including rotation rates and fluid perfusion rates, are investigated. The chosen values are in agreement with the literature survey that is summarised in the "Introduction" Section. Slightly upper-range values are also selected in order to determine whether they would be acceptable or not for this type of tissue engineering applications.

The mean axial velocity in the esophagus, U_{mean} , is obtained from Equ. (2) with a diameter of the lumen $2R_1 = 12$ mm. The mean axial velocity in the lumen of the scaffold holder (diameter d = 2 mm) is denoted u_{mean} , and calculated as : $u_{mean} = 4Q/\pi d^2$. The streamwise Reynolds number in the esophagus and the azimuthal Reynolds number are deduced from Equ. (3) and (4). An estimation of the flow entrance length is provided by the classical formula:

$$L_e \approx 0.05 \, R_{ez} \, (2R_1) \tag{19}$$

Viscous pressure losses due to the singularities at the entrance and at the exit of the chamber

304 are respectively given by:
$$\Delta P_f^{ent.} = k_1 \frac{1}{2} \rho_f u_{mean}^2$$
, with $k_1 = \left(1 - \left(\frac{d}{2R_1}\right)^2\right)^2$ (20)

305 and :
$$\Delta P_f^{exit.} = k_2 \frac{1}{2} \rho_f u_{mean}^2$$
, with $k_2 = 0.5 \left(1 - \left(\frac{d}{2 R_1} \right)^2 \right)$ (21).

Since the flow rates are quite moderate, Equ. (22) provides a suitable evaluation of the pressure losses along the silicone tubing ($l_{tube} = 0.5 \text{ m}$, $d_{tube} = 1.6 \text{ mm}$):

308
$$\Delta P_f^{tube} = \frac{64}{R_e^{tube}} \frac{1}{2} \rho_f \ u_{tube}^2 \frac{l_{tube}}{d_{tube}}, \quad where \ R_e^{tube} = \frac{\rho_f \ u_{tube} \ d_{tube}}{\eta_f}$$
(22).

The numerical values of all these quantities are gathered in Table1.

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Flow rates and corresp	onding velocit	ties	
Q (ml/min)	12,50	25,00	50,00
U _{mean} (mm/s)	1,84	3,68	7,37
u _{mean} (mm/s)	66,3	133	265

Reynolds numbers and entry	lengths in the	esophagus	
R_{ez} (Equ.(3))	22,43	44,87	89,74
L _e (Equ.(19)) (mm)	13,5	26,9	53,8
Le (% of the total esophagus length)	21	41	83

Pressure losses at the entrance	and exit of th	e chamber	
k ₁ (Equ. (20))			0,9452
k ₂ (Equ. (21))			0,4861
ΔP_{f} - Entrance (Pa) (Equ. (20))	2,11	8,44	33,74
$\Delta P_f - Exit$ (Pa) (Equ. (21))	1,085	4,34	17,35

Pressure losses alo	ong the tubing		
u _{tube} (mm/s)	104	207	414
Reynolds-tubing (Equ. (22))	168,25	336,49	673,15
Pressure loss -tubing (Pa) (Equ. (22))	647,5	1 295	2 590,6

Azimuthal Reynolds number (Equ. (4))
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ω (r.p.m.)	15	30
$\omega R_1 (mm/s)$	9,42	18,85
Azimuthal Reynolds number	114,8	229,6

 Table 1:
 Characteristic hydrodynamic data .

322 The data reported in Table 1 indicate that: 323 the Reynolds numbers are sufficiently small for the flow to be considered laminar 324 depending upon the operating conditions, the azimuthal velocity may be slightly 325 higher than the longitudinal velocity 326 327 pressure losses along the tubing are much more important than pressure losses at the _ entrance and at the exit of the chamber 328 in order to get a fully developed flow in the esophagus, one has to keep the flow at low 329 level. Otherwise the entrance length may represent a too important proportion of the 330 total esophagus length. 331 332 3.2. Analytical approach 333 334 3.2.1. Streamlines in Spiral-Poiseuille flow (without particle) 335 336 Equations for the velocity field inside the esophagus (Part A of the flow domain) are given in 337 Section 2.2.1 (Equations (1) and (2)). Reporting Equ.(2) in Equ.(1), the longitudinal velocity 338 339 turns out to be: $U_z(r) = \frac{1}{4\eta_f} \left(-\frac{\partial P^*}{\partial z} \right) \left(R_1^2 - r^2 \right)$ (23)340 Fluid particles pathlines are determined by: 341 $\begin{cases} dz = U_z(r) \, dt \\ d\theta = \omega \, dt \end{cases}$ (24). 342

343 Integrating and eliminating the variable t (time) one gets the streamline equation associated

344 with the initial conditions z = 0 and $\theta = 0$ at t = 0:

$$z = U_z(r) \frac{\theta}{\omega}$$
(25).

Equation (25) describes an helix curve whose constant radius is r and whose pitch is :

$$h(r) = U_z(r) \frac{2\pi}{\omega}$$
(26).

348 This result may be illustrated with specified values of r, Q and ω .

349 For example: r is chosen as $R_1 / 2$ (that is 3 mm), Q = 25 ml/min, and $\omega = 15$ r.p.m.

350 With these numerical data,

351 $U_{mean} = 3,68 \text{ mm/s}; U_z (R_1/2) = 3 U_{mean} / 2 = 5,52 \text{ mm/s}; h (R_1/2) = 22,1 \text{ mm}.$

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3.2.2. Motion of a spherical particle suspended in the fluid

355 *Order of magnitude of Coriolis force on the particle.*

Let us suppose that a cell can be represented by a spherical particle with diameter 2a = 15microns and density $\rho_p = 1070 \text{ kg/m}^3$ (the cell volume V_p will thus be 1767 μ m³ and its mass $m_p = 1, 89 \ 10^{-12} \text{ kg}$). We consider first the sedimentation equilibrium velocity, u_p , for such a particle suspended in a non-rotating fluid. In these conditions the particle experiences drag and Archimedes forces against gravity. All these forces are directed along (OY) (vertical). The velocity u_p is given by the well-known Stokes formula:

363
$$u_p = \frac{2 g \left(\rho_p - \rho_f\right)}{9} a^2 \frac{1}{\eta_f}$$
(27)

With our numerical data this yields: $u_p = 6.74 \ \mu m/s$, which is 3 orders of magnitude smaller than the fluid velocities shown in Table 1.

366 Similarly a Reynolds number based on the particle diameter 2a and terminal velocity u_p can

367 be evaluated as: $R_e^p = \frac{\rho_f \ 2a \ u_p}{\eta_f}$ (28)

368 Its value is: $R_e^p = 0.0001$ (five or six orders of magnitude smaller than the fluid 369 Reynolds).

370 Coming back to the rotating fluid and rotating frame (Oxyz) (Figure 2), the sedimentation 371 velocity u_p is the particle velocity relative to the rotating frame, and is thus involved in the 372 evaluation of Coriolis acceleration, for which the norm can be expressed as: 2 ωu_p .

For $\omega = 15$ r.p.m., Coriolis acceleration scales as: 2,12 10⁻⁵ m/s². This has to be compared to the centrifugal acceleration $\omega^2 r$. If the $\omega^2 r$ term is evaluated at a radial distance r = 3 mm (inside the esophagus) its value is 7,4 10⁻³ m/s²; if it is evaluated at r = 2 cm (between the esophagus and the chamber wall), its value is 49,3 10⁻³ m/s². It may thus be concluded that the ratio of Coriolis acceleration to centrifugal acceleration is very small (of order 10⁻³), and that Coriolis force may probably be neglected in Equ. (14-15).

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Importance of the lift effect on the particle in Poiseuille flow

If the shear Reynolds number, R_{eG} , is computed from Equ. (18) in the case Q = 25 ml/min and for a 7.5 micron particle radius, one obtains $G_{mean} = 1.23$ s⁻¹ and $R_{eG} = 7.01 \ 10^{-5}$. This result has to be compared to the longitudinal (R_{ez}) or azimuthal Reynolds ($R_{e\omega}$) numbers presented in Table 1 showing that the lift effect on the particle in Part A of the device is a minor effect.

387 Consequently we do not consider it.

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390

389 *Longitudinal motion of the particle inside the esophagus*

391 The longitudinal relative particle velocity, $v_z(t)$, is easily deduced from Equ. (16) since

 $392 \qquad v_z(t) = \dot{z} \quad .$

393 Equ. (16) becomes :

394

$$m_P \frac{dv_z(t)}{dt} = -k v_z(t)$$
(29),

indicating that inertial effects are balanced by the frictional force exerted on the sphere by 395 396 the fluid. The mathematical solution for such an equation involves an exponential term decreasing with time: exp(-kt/m_p). Since $m_p = 1$, 89 10^{-12} kg, and k (defined in Equ. (12) as 397 $6\pi \eta_f a$) equals 1.41 10⁻⁷ Pa.s.m, the ratio k/m_p = 7.48 10⁴ s⁻¹. The exp(-kt/m_p) term is thus 398 essentially transient and will decay very quickly. It can be ignored and the absolute 399 longitudinal velocity of the particle (in the laboratory frame) can be assumed to be roughly 400 the same as the fluid velocity. This result is consistent with the fact that the particle radius is 401 much smaller than the esophagus radius (a / $R_1 = 7.5 \ 10^{-6} \ m / 6 \ 10^{-3} \ m \approx 10^{-3}$). The particle 402 may be considered as a "tracer" and follows the fluid with the same speed as the local 403 Poiseuille velocity [31]. The longitudinal position of the particle increases linearly with 404 time: 405

406

$$z(r,t) = U_z(r) t \tag{30}$$

407 A key point for tissue engineering applications is the residence time of a suspended cell in 408 the bioreactor. Based on U_{mean} velocity, this residence time can be evaluated as: $\Delta t =$ 409 esophagus length / U_{mean} . Since the esophagus length is roughly equal to 65 mm, for $U_{mean} =$ 410 3,68 mm/s, a 17.7 s residence time is found.

411

413

412 *Rotating motion of the particle*

414 Multiplying Equ. (15) by the complex number i ($i^2 = -1$) and adding Equ. (14), this 415 coupled system can be transformed in one equation in the complex domain as follows:

416
$$m_p \ddot{s} + (k + i \, 2m_p \omega) \dot{s} - m_b \omega^2 s = -m_b g \, i \, e^{-i\omega t}$$
, where $s = x + iy$ (31).

417 This equation is consistent with the work of Kessler et al. [32].

418 Equ. (31) may be re-written as:

419
$$\ddot{s} + \left(\frac{k}{m_p} + 2i\omega\right)\dot{s} - \frac{m_b}{m_p}\omega^2 s = -\frac{m_b}{m_p}g\,i\,e^{-i\omega t} \tag{32}.$$

420 Solving Equ. (32) requires two steps:

421 * Solving the associated homogeneous equation:

422
$$\ddot{s} + \left(\frac{k}{m_p} + 2\,i\,\omega\right)\dot{s} - \frac{m_b}{m_p}\omega^2 s = 0 \tag{33}.$$

423 Convenient solutions for Equ. (33), $s_h(t)$, are search as : $s_h(t) = exp(\sigma t)$, with σ satisfying 424 the condition:

425
$$\sigma^2 + \left(\frac{k}{m_p} + 2i\omega\right)\sigma - \frac{m_b}{m_p}\omega^2 = 0 \qquad (34).$$

426 Equ. (34) has two complex σ solutions that can be developed in leading orders of the small 427 quantity ($\omega m_p/k$):

428
$$2 \sigma_1 = -\left(\frac{k}{m_p} + 2i\omega\right) + \frac{k}{m_p} \left[1 + 2\frac{m_b}{m_p} \frac{m_p^2 \omega^2}{k^2} + i \left(\frac{2\omega m_p}{k} - 4\frac{m_b}{m_p} \frac{\omega^3 m_p^3}{k^3}\right)\right]$$
(35)

429 and

430
$$2 \sigma_2 = -\left(\frac{k}{m_p} + 2i\omega\right) - \frac{k}{m_p} \left[1 + 2\frac{m_b}{m_p} \frac{m_p^2 \omega^2}{k^2} + i \left(\frac{2\omega m_p}{k} - 4\frac{m_b}{m_p} \frac{\omega^3 m_p^3}{k^3}\right)\right]$$
(36).

431 Neglecting the term of order $\left(\frac{\omega^3 m_p^3}{k^3}\right)$ in Equ. (35) and (36), one obtains:

432
$$\sigma_1 \approx \frac{m_b \,\omega^2}{k}$$
 and $\sigma_2 \approx -\frac{k}{m_p} - \frac{m_b \,\omega^2}{k} - 2 \,i \,\omega$ (37).

433 This yields:

434
$$s_h(t) = C_1 e^{\frac{t}{\tau}} + C_2 e^{-\frac{\kappa}{mp}t} e^{-\frac{t}{\tau}} e^{-2i\omega t}$$
(38),

435 where $\tau = k / m_b \omega^2$ has the physical meaning of a centrifugal time, and a numerical value 436 of 5.9 10⁵ s (obtained with k = 1, 41 10⁻⁷ Pa.s.m, $\omega = 1.57 \text{ s}^{-1}$, $m_b = 9$, 72 10⁻¹⁴ kg).

437 C_1 and C_2 are integration constants.

438 As previously explained the $exp(-kt/m_p)$ term will decay very quickly. Consequently all 439 the C₂ term can be ignored and $s_h(t)$ may be approximated as:

440
$$s_h(t) \approx C_1 e^{\frac{t}{\tau}} \approx C_1 \left(1 + \frac{t}{\tau}\right)$$
(39).

441

442 * Searching a particular solution, $s_p(t)$, of the complete equation in the form $\beta \exp(-i\omega t)$.

443 One easily obtains:

444
$$s_p(t) = \beta e^{-i\omega t} \quad with \quad \beta = \frac{m_b g}{\omega k \left[1 - \frac{(m_b - m_p) i \omega}{k}\right]}$$
(40).

445 Observing that the term $\frac{m_b g}{k}$ is exactly the sedimentation velocity u_p defined in Equ. 446 (27), and that the term $(m_p-m_p) \omega / k$ is of order 10⁻⁵, the solution $s_p(t)$ can be reduced to:

447
$$s_p(t) = \frac{u_p}{\omega} \left(\cos(\omega t) - i \sin(\omega t) \right)$$
(41).

448 Finally $s(t) = s_h(t) + s_p(t)$. The integration constant C_1 is determined by the initial condition: 449 $s(0) = s_0 = C_1 + u_p / \omega$. So that:

450
$$s(t) = \left(s_0 - \frac{u_p}{\omega}\right) e^{\frac{t}{\tau}} + \frac{u_p}{\omega} e^{-i\omega t}$$
(42).

451 Coming back to the real and imaginary part of s(t) = x(t) + i y(t), the rotating trajectory of 452 the particle is described by equations (43) and (44):

453
$$x(t) = \left(x_0 - \frac{u_p}{\omega}\right) e^{\frac{t}{\tau}} + \frac{u_p}{\omega} \cos\left(\omega t\right)$$
(43).

454
$$y(t) = y_0 e^{\frac{t}{\tau}} - \frac{u_p}{\omega} \sin(\omega t)$$
(44).

455 We thus confirm the solution proposed by Kessler et al. [32].

456 It is interesting to note that the terms associated with Coriolis force may be directly 457 neglected in Equ. (14-15), so that the equations to solve become decoupled:

458
$$m_P \ddot{x} + k\dot{x} - m_b \omega^2 x = -m_b g \sin(\omega t)$$
(45)

 $m_P \ddot{y} + k\dot{y} - m_b \omega^2 y = -m_b g \cos(\omega t)$ (46).

460 Solving separately Equ. (45) and (46) leads to:

461
$$x(t) = \left(x_0 - \frac{u_p}{\omega}\right) e^{\frac{t}{\tau}} + \frac{u_p}{\omega} \cos\left(\omega t\right) + \frac{u_p}{\omega} \frac{(m_p + m_b)\omega}{k} \quad \sin(\omega t) \tag{47}.$$

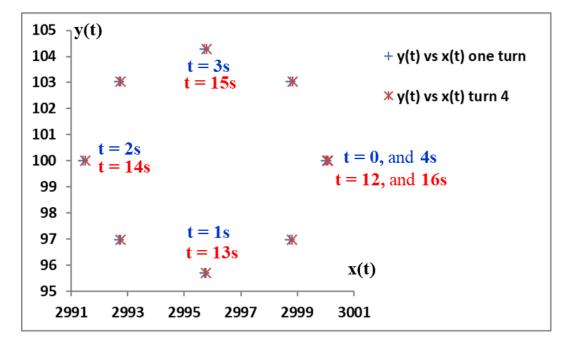
462
$$y(t) = y_0 e^{\frac{t}{\tau}} - \frac{u_p}{\omega} \sin(\omega t) + \frac{u_p}{\omega} \frac{(m_p + m_b)\omega}{k} \cos(\omega t)$$
(48).

463

464 The quantity $(m_p + m_b) \omega / k$ being of order 10⁻⁵, the associated terms can be dropped and 465 one finds again expression (43) as a solution for x(t) and expression (44) as a solution for 466 y(t).

An illustration of the trajectory described by Equ. (43) and (44) is shown in Figure 3, with 467 $x_0 = 3000 \ \mu m$, and $y_0 = 100 \ \mu m$ as an initial position for the particle, $u_p = 6.74 \ \mu m/s$, $\tau =$ 468 5.9 10^5 s and $\omega = 15$ r.p.m. = $\pi/2$ s⁻¹ (≈ 1.57 s⁻¹). The particle follows a periodic nearly 469 circular path in the clockwise direction, associated with a very slow centrifugal drift towards 470 the esophagus wall. Since $\omega = \pi/2$ s⁻¹, one turn is achieved each multiple of t = 4s. According 471 472 to the estimation of its residence time in the esophagus (about 17.7s) the particle can execute 473 only four complete turns before leaving the esophagus. The particle paths in the first turn and 4th turn are represented in **Figure 3**. 474

- 475
- 476



477 478

Figure 3 – Particle path in the rotating frame, as described by Equ. (43) and (44). The initial position for the particle is chosen as: $x_0 = 3000 \ \mu\text{m}$, and $y_0 = 100 \ \mu\text{m}$. Other numerical values are: u_p = 6.74 μ m/s, $\tau = 5.9 \ 10^5$ s and $\omega = 15$ r.p.m. Units for x(t) and y(t) are microns.

Inside the esophagus (**Part A**) this nearly circular motion is combined with the longitudinal
motion described by Equ. (30). This generates spiral trajectories within the esophagus.

Equ. (43) and (44) show that the centrifugal drift of the particle is governed by the 486 487 centrifugal time τ , and that the radius of the orbit is proportional to the sedimentation velocity u_p and inversely proportional to the rotation rate ω . The centrifugal shift is more and 488 more negligible when τ increases. This may occur if the suspending medium viscosity η_f or 489 the particle radius a increases. Conversely if the difference between the particle and 490 suspending medium density increases or if ω increases, τ will decrease and the centrifugal 491 shift will be more important. Increasing ω or η_f will also reduce the radius of the orbit 492 whereas increasing the density difference $(\rho_p - \rho_f)$ and the particle radius a will increase u_p , 493 494 and thus the circular path radius. A synopsis of the influence of the governing physical quantities on the particle motion described by Equ. (43) and (44) is presented in Table 2. 495

Parameter	Effect on the	Effect on the	Effect on the	Effect on the
increase	viscous drag	sedimentation	centrifugal time	orbit radius
	coefficient k	velocity u _p	τ	(u_p / ω)
$\eta_{f~\uparrow}$	Increase	Decrease	Increase	Decrease
ωţ			Decrease	Decrease
$(\rho_p - \rho_f)$		Increase	Decrease	Increase
a _↑	Increase	Increase	Increase	Increase

497

 Table 2. Influence of the main parameters of the problem on the quantities describing the particle

498 motion.

499

500 The particle motion X(t) and Y(t) in the ground-based frame may be easily obtained from 501 equ. (43) and (44):

502
$$X(t) = \frac{u_p}{\omega} + \left(x_0 - \frac{u_p}{\omega}\right) e^{\frac{t}{\tau}} \cos(\omega t) - y_0 e^{\frac{t}{\tau}} \sin(\omega t)$$
(49).

503
$$Y(t) = \left(x_0 - \frac{u_p}{\omega}\right) e^{\frac{t}{\tau}} \sin(\omega t) + y_0 e^{\frac{t}{\tau}} \cos(\omega t)$$
(50).

504 Since
$$(X(t) - \frac{u_p}{\omega})^2 + Y^2(t) = \left[\left(x_0 - \frac{u_p}{\omega} \right)^2 + y_0^2 \right] e^{\frac{2t}{\tau}}$$
 (51),

505 one can recognize a circle with an increasing radius and a stationary center. The rotation 506 along this circle is counter-clockwise. The physical parameters influencing the particle 507 trajectory remain the quantity (u_p/ω) and the centrifugal time τ .

508

509 **4 Discussion**

510 511

The particles trajectories predicted by Equ. (43) and (44) as well as Equ. (49)-(51) are in excellent agreement with the experimental results of Pollack et al. [16] and Wolf and Schwarz [33]. Pollack et al. [16] observed that in the rotating frame of reference, microcarriers with density greater than the surrounding medium followed a circular motion relative to the culture medium combined with a migration towards the outer wall of the reactor. In the rotating frame, the direction of the gravitational force changes cyclically and 518 over a complete revolution of the chamber the particles experience an average gravitational 519 force about zero. Rotating bioreactors are thus said to simulate microgravity environment. In 520 their experiments polystyrene beads with a density of 1050 kg/m³ and 0.5 mm diameter 521 radius were suspended in distilled water at 23°C. The bioreactor was rotated at 18 rpm. Their 522 results confirm that the microcarriers sedimentation velocity does not depend on ω , and is 523 the same as in free fall conditions.

Experiments by Wolf and Schwarz [33] examined parameters (gravitational strength, fluid 524 rotation rate, particle sedimentation rate, and particle initial position) within the useful range 525 526 for tissue cultures in NASA rotating wall culture bioreactors. They observed that the rotating 527 fluid effectively counters sedimentation. Biological tissue was simulated by nearly spherical pieces of sponge suspended in water, with typical sizes of a few centimeters. The device 528 used was the NASA Slow Turning Lateral Vessel (STLV). Results from this group 529 demonstrate that the speed of the particle motion through the rotating fluid medium is the 530 531 same as its terminal sedimentation rate through a stationary fluid (for identical gravitational conditions). They also demonstrate that the diameter of the nearly circular path is reduced 532 for the lower sedimentation rate and that it is increased for augmented gravitational 533 534 acceleration. They show that increasing the angular rotation rate from 8.64 r.pm. to 17.7 r.p.m. induces a reduction of the diameter of the particle path. 535

In tissue engineering applications, the size of the suspended particles may change during the culture due to cell proliferation and/or recruitment of additional cells into an aggregate, causing an increase in sedimentation velocity by the square of the radius. To counteract the increase in sedimentation velocity the speed of rotation may be augmented.

However from an experimental point of view, a low shear environment has to be maintained during cell cultivation (especially in recellularisation experiments). From a theoretical point of view, the mathematical descriptions presented in this paper are valid when the spheres and the rotation rate are sufficiently small so that viscosity dominates and the Reynolds numbers remain small. As explained in Section 3.2.2, for a 25ml/min perfusion flow and for a 7.5 micron particle radius, a representative value of the shear rate is $G_{mean} = 1.23 \text{ s}^{-1}$, corresponding to 1.23 mPa shear stress. For the sake of comparison values reported by Grimm et al. [22] are of order 180-320 mPa for 50 µm spherical beads, and 500 mPa for 3D aggregates of BHK-21 cells, in a Rotating Wall Vessel (RWV).

In order to minimize mechanical damage to cultured cells optimal setting of the peristaltic 549 550 pump is required: choice of the tubing, low pump motor speed, minimized occlusion by the roller heads. Complete filling of the chamber and solid body rotation of the culture medium 551 552 should also be achieved. The fluid thus rotates at the same angular velocity as the chamber 553 walls and thereby creates a laminar flow with minimal shear force. Complete filling of both Part A and Part B of our device also minimizes the influence of the deformability and 554 porosity of the esophagus wall, that are not taken into account in the present theoretical 555 analysis. However Varley et al. [19] experimentally captured the flow velocity vectors in a 556 RWV bioreactor for cell culture under different speeds of rotation and different filling rates 557 558 (60%, 85%, 100%) and they concluded that 85% fill volume is an optimum condition as regards cell oxygenation and proliferation. The presence of both fluid and air within the 559 chamber could increase the surface area for gas exchange. These authors do not address the 560 561 question of pressure and air compressibility.

One important limitation of the present study remains the entry length in the esophagus (given in **Table 1**). If Q = 25 ml/min, the entry length represents 41% of the esophagus total length thus limiting the validity of the theoretical analysis to the remaining 59%. The length of the tissue construct is thus important in such type of devices: the longer it will be, the lower will be the relative importance of entry and exit flow perturbations. A numerical study of the flow inside the RCCSmax bioreactor would allow to describe more precisely the esophagus entry and outlet area (possible flow stagnation or cell accumulation).

569 **5** Conclusion

570	The RCCS Synthecon bioreactor appears to be a convenient device for cell culture and
571	esophagus tissue engineering since it allows controlled mechanical stimulation, through the
572	combination of flow perfusion and rotation. Cells or particles are constantly maintained in
573	suspension in the media, which insures that nutrient, oxygen, and waste transfer will not be
574	limited by diffusion as they are in static culture systems. Forces that might damage cells are
575	minimized in this device and a low shear stress environment is created provided that the
576	perfusion rate and the rotation speed remain moderate (ω < 20 rpm and Q < 30 ml/min).
577	
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