1 Brettanomyces bruxellensis: Overview of the genetic and phenotypic

2 diversity of an anthropized yeast

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19 Abstract

20 Human-associated microorganisms are ideal models to study the impact of environmental changes on 21 species evolution and adaptation because of their small genome, short generation time, and their 22 colonization of contrasting and ever-changing ecological niches. The yeast Brettanomyces bruxellensis 23 is a good example of organism facing anthropogenic-driven selective pressures. It is associated with 24 fermentation processes in which it can be considered either as a spoiler (e.g. winemaking, bioethanol 25 production) or as a beneficial microorganism (e.g. production of specific beers, kombucha). Besides its 26 industrial interests, noteworthy parallels and dichotomies with Saccharomyces cerevisiae propelled 27 B. bruxellensis as a valuable complementary yeast model. In this review, we emphasize that the broad genetic and phenotypic diversity of this species is only beginning to be uncovered. Population genomic 28 29 studies have revealed the co-existence of auto- and allotriploidization events with different 30 evolutionary outcomes. The different diploid, autotriploid and allotriploid subpopulations are 31 associated with specific fermented processes, suggesting independent adaptation events to 32 anthropized environments. Phenotypically, B. bruxellensis is renowned for its ability to metabolize a 33 wide variety of carbon and nitrogen sources, which may explain its ability to colonize already fermented environments showing low-nutrient contents. Several traits of interest could be related to 34 35 adaptation to human activities (e.g. nitrate metabolization in bioethanol production, resistance to 36 sulphite treatments in winemaking). However, phenotypic traits are insufficiently studied in view of 37 the great genomic diversity of the species. Future work will have to take into account strains of varied 38 substrates, geographical origins as well as displaying different ploidy levels to improve our 39 understanding of an anthropized yeast's phenotypic landscape.

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41 Keywords: polyploidy, hybridization, adaptation, fermentation, beer, wine

42 1. Introduction

43 Yeasts are eukaryotic species encountered in most, if not all, earth biomes (Starmer & Lachance, 2011). 44 Yeasts are heterotrophic, they are described as primary decomposers of organic matter, and are 45 particularly associated with the early colonization of nutrient-rich substrates. The ability of some yeast 46 species to efficiently perform alcoholic fermentation, *i.e.* to convert sugar into ethanol, made it 47 possible to forge close ties with human beings, leading to a tight co-evolution between yeast and 48 human (Starmer & Lachance, 2011). Their simple life cycle, their small genomes (10 to 20Mbp) which 49 are genetically diverse and their multifaceted metabolisms have propelled yeasts as valuable models 50 to study evolutionary genetics and ecology (Gladieux et al., 2014; Hittinger et al., 2015). Besides the 51 famous yeast model organism Saccharomyces cerevisiae, the genus Brettanomyces (of which Dekkera 52 is a synonym) has received a lot of attention recently, due to its association with various food processes 53 and to its unusual genomic composition. This genus belongs to the Pichiaceae family, which is a part 54 of the Saccharomycotina subphylum (Kufferath & Van Laer, 1921; Riley et al., 2016). It is composed of 55 six species (B. nanus, B. naardenensis, B. bruxellensis, B. anomalus, B. custersianus and 56 B. acidodurans), with Allodekkera sacchari being the closest non-Brettanomyces species known to date 57 (Jutakanoke et al., 2017; Péter et al., 2017) (Figure 1A). Recently, high quality genomes were obtained 58 for five Brettanomyces species, revealing distant species separated in two clades with B. nanus and 59 B. naardenensis on one hand, and B. bruxellensis, B. anomalus and B. custersianus on the other hand 60 (Roach & Borneman, 2020). The calculation of average nucleotide identity along the genomes between 61 each species pairs, ranging between 60.6% and 77.1%, revealed that Brettanomyces species are 62 relatively distant of each other: as a comparison, the most distant species within Saccharomyces genus 63 show 79.9% nucleotide identity (S. cerevisiae and S. eubayanus) and 75.2% within Metschnikowia 64 genus (M. hawaiiana and M. orientalis), two yeast genus frequently associated with fermented foods 65 (Lachance, Lee, & Hsiang, 2020; Roach & Borneman, 2020). The five Brettanomyces species whose genome is fully sequenced exhibit gene family expansions related to fermentation, such as glucosidase 66 67 enzymes involved in starch or galactose metabolism, as well as in nitrogen assimilation. In addition,

twelve horizontal gene transfer (HGT) events were detected within *Brettanomyces* genus, and may
explain the ability of *B. bruxellensis* and *B. anomalus* to utilize sucrose (Roach & Borneman, 2020).
Gene expansions and HGTs are well described markers of domesticated subpopulations of *S. cerevisiae*(Gallone et al., 2018; Giannakou, Cotterrell, & Delneri, 2020; Legras et al., 2018; Peter et al., 2018). The
identification of interesting parallels with the evolution of *S. cerevisiae* supported *Brettanomyces* as a
valuable yeast model to study adaptation to fermentative environments.

74 Due to its positive or negative role in different industrial applications, B. bruxellensis is the species that 75 has received the most attention. It was first isolated by N. Hjelte Claussen in 1904 as being responsible 76 for the special flavor of British beers, and therefore was the first patented microorganism (Claussen, 77 1904; Steensels et al., 2015). In brewery, B. bruxellensis plays a major role for some special beer types 78 such as the Lambics from Belgium, Flanders Red and Brown ales, or the 'coolship ales' from the USA 79 (Bokulich, Bamforth, & Mills, 2012; Claussen, 1904; De Roos & De Vuyst, 2019). It contributes to the 80 peculiar flavour of spontaneous fermented beers, bringing additional complexity to their aromatic 81 bouquet. In addition, over the past decades, the growing popularity of craft beers and the rise of 82 microbreweries led to an increased interest among brewers who use B. bruxellensis alone or in co-83 culture with other species. For example, B. bruxellensis has been described as a good candidate for 84 beers with a spicy phenolic note (Holt, Mukherjee, Lievens, Verstrepen, & Thevelein, 2018). 85 Brettanomyces bruxellensis' scientific literature with a focus on brewery is substantial (Capece, 86 Romaniello, Siesto, & Romano, 2018; De Roos & De Vuyst, 2019; Lentz, 2018; Serra Colomer, Funch, & 87 Forster, 2019; Steensels et al., 2015). Brettanomyces bruxellensis is also involved in the elaboration of 88 other spontaneous fermented beverages such as kombucha, kefir, etc. in which its role is mostly 89 considered as positive (Lynch, Wilkinson, Daenen, & Arendt, 2021; Tran et al., 2020).

Despite its positive contribution to particular beer flavours and traditional beverages, *B. bruxellensis* is
also recognised as a major spoiler for top-selling ale and lager beers due to sensory or turbidity defects
(Shimotsu et al., 2015). The same account for wine, where *B. bruxellensis* negative contribution is also

93 widely described, amplified by its resistance to sulphite, one of the common control method historically used (Monica Agnolucci, Tirelli, Cocolin, & Toffanin, 2017; Avramova et al., 2019; Blomqvist 94 95 & Passoth, 2015; Malfeito-Ferreira, 2018; Schifferdecker, Dashko, Ishchuk, & Piškur, 2014; Suárez, 96 Suárez-Lepe, Morata, & Calderón, 2007). Its presence in wine is associated with the production of 97 volatile molecules (called volatile phenols), associated to unpleasant aromas described as barnyard, 98 horse sweat or burnt plastic (Chatonnet, Dubourdie, Boidron, & Pons, 1992; Kheir, Salameh, 99 Strehaiano, Brandam, & Lteif, 2013). The 'Brett' taint negatively affects up to 25-30% of red wines in 100 the world (Alston, Arvik, Hart, & Lapsley, 2021; Gerbaux, Jeudy, & Monamy, 2000; A. Romano, Perello, 101 Revel, & Lonvaud-Funel, 2008). Brettanomyces bruxellensis is able to penetrate the micropores of the 102 barrels and therefore reused barrels – a frequent practice in oenology – are a recurrent cause of 103 contamination (Cartwright, Glawe, & Edwards, 2018; Fabrizio et al., 2015). In bioethanol production, 104 this species is considered as the main yeast spoiler as it can reduce the ethanol yield and cause large 105 economic losses (Basílio et al., 2008; Bassi, Meneguello, Paraluppi, Sanches, & Ceccato-Antonini, 2018; 106 Blomqvist & Passoth, 2015; A.T. de Souza Liberal et al., 2007; Radecka et al., 2015; Seo, Park, Jung, Ryu, 107 & Kim, 2020). Besides its beneficial-spoiler duality, another oddity of B. bruxellensis is its ability to act 108 as a first fermenter (even starter) yeast in some processes (e.g. craft beer, kombucha, bioethanol) or 109 as a fermentation 'finisher' (e.g. wine, Lambic beers, etc.), the actual fermentation usually being 110 carried out by S. cerevisiae. This starter-finisher versatility suggests multiple fermentative adaptations 111 that may have arisen from the complex structure of *B. bruxellensis* genome that includes large 112 chromosomal rearrangements, hybridization events, as well as ploidy level variation (Gounot et al., 2020). In particular, a remarkable characteristic of B. bruxellensis species resides in the rare 113 114 coexistence of diploid and steady triploid isolates (Curtin, Kennedy, & Henschke, 2012; Piškur et al., 115 2012).

In this review, we first focus on the genetic and genomic composition of *B. bruxellensis* revealed by population genomic surveys. This genetic diversity is reflected at the phenotypic level, with contrasting behaviours regarding metabolic and life-history traits that have ecological significances. We describe the impact of such diversity on central metabolism characteristics and technological traits, and we
discuss how future researches including both genomic and phenotypic approaches may shed lights on
the evolutionary history of a human-associated yeast.

122 2. Genetic and genomic diversity of *Brettanomyces bruxellensis*

123 2.1. Population structure of Brettanomyces bruxellensis

124 A wide variety of molecular tools (e.g. AFLP, RAPD) were developed to assess the genetic diversity of 125 B. bruxellensis species (reviewed in (Renouf, Lonvaud-Funel, & Coulon, 2007)). Most of these studies 126 focused on the intraspecific diversity of small cohorts: between 10 to 100 isolates mainly from the 127 same process (Agnolucci et al., 2009; Conterno, Lucy Joseph, Arvik, Henick-Kling, & Bisson, 2006; 128 Curtin, Bellon, Henschke, Godden, & de Barros Lopes, 2007; Miot-Sertier & Lonvaud-Funel, 2007). 129 Thus, an important step has been taken with the genotyping of more than 1,000 worldwide strains 130 using 12 microsatellite markers (Avramova, Cibrario, et al., 2018). This study provided deep insights 131 into the genetic diversity and ploidy level in several subpopulations of different ecological origin. The 132 population structure was mainly explained by the ploidy level (~47% of the variance), followed by the 133 geographical origin (~5% of the variance) and the fermented product from which the strain had been 134 isolated (~6% of the variance). However, when considering non-wine isolates, the geographical origin 135 explains ~55% of the total variance, indicating that wine isolates are highly disseminated across the 136 world compared to strains isolated from other substrates. Isolates from different origins (such as beer, 137 kombucha, tequila and bioethanol isolates) were mostly clustered into one or two genetic groups, 138 suggesting different adaptation processes to various anthropic environments (Avramova, Cibrario, et 139 al., 2018). This large population study allowed the identification of different genetic subpopulations 140 within B. bruxellensis, which were subsequently validated and refined by whole genome sequencing 141 approaches (Eberlein, Abou Saada, Friedrich, Albertin, & Schacherer, 2021; Gounot et al., 2020; Serra 142 Colomer, Chailyan, et al., 2020) (Supplemental Table 1). At least six subgroups can now be considered, 143 either diploid (two groups) or triploid (four groups) (Figure 1B). Among diploid groups, one 144 subpopulation is composed mainly of strains isolated from wine, and is named the wine 3 group (known as G2N3, CBS2499-like or Wine 2n in various publications, Supplemental Table 1), the other is 145 146 associated with kombucha, beer and wine isolates, and we named it the kombucha group (known as 147 G2N1, L14165-like, Farmhouse). Regarding the triploid subpopulations, the beer group contains strains 148 from beer and wine (G3N1, AWRI1608-like, Lambic), the two other groups are strongly associated with 149 winemaking and are referred as wine 1 (or G3N2, AWRI1499-like, Wine 3n) and wine 2 groups (or 150 G3N3, L0308-like). The last group, named teq/EtOH group, contains most isolates from bioethanol and 151 tequila process (CBS5212-like, Tequila). Recent genomic data suggested that the teq/EtOH group was 152 not monophyletic, yet more in-depth analyses are necessary to refine precisely the actual number of 153 teq/EtOH groups. Recently still, genome sequencing revealed an autotetraploid strain (Figure 1B), 154 genetically close to a small subpopulation already observed but described as diploid (G2N2, KOM1449-155 like). More isolates are needed to delineate this subpopulation and its ecological and genomic features. 156 The vast majority (~80%) of wine strains are found in three groups (wine 1 and wine 2 triploid groups, 157 wine 3 diploid group, Figure 1B), yet some wine isolates can be found occasionally in other groups, 158 with variations depending on the wine producing region (Avramova, Cibrario, et al., 2018; Cibrario et 159 al., 2019). Beer isolates are also distributed in different groups, although to a lesser extent (two main 160 groups). Regarding the other isolation niches (such as kombucha, tequila, bioethanol), additional works 161 and more strains are needed to determine whether they fall within one specific subpopulation, or if 162 they are more disseminated as for winemaking. Dissemination via equipment could play an important 163 role in exchanges within and between ecological niches: craft brewers frequently age beer in wood 164 barrel that previously contained red or white wines (Sanna & Pretti, 2015) and similar practice is 165 common for tequila's ageing (Aguilar-Méndez et al., 2017). Brettanomyces bruxellensis' population 166 structure seems to be well-defined, but so far, only anthropic isolates of the species have been 167 described, with no wild strains identified so far.

168 2.2. Genomic landscape of Brettanomyces bruxellensis

169 Within the Saccharomycotina subphylum, B. bruxellensis diverged from the model species S. cerevisiae 170 between 200 and 300 MY ago, before the whole-genome duplication (WGD) event that appeared in 171 the Saccharomyces lineage 100 MY ago (Fisher, Buskirk, Vignogna, Marad, & Lang, 2018; Guo et al., 172 2016; Wolfe & Shields, 1997). WGD outcomes (e.g. gene duplication, promoter rewiring) were 173 suggested to be involved in the acquisition of the make-accumulate-consume (MAC) strategy in the 174 Saccharomyces genus (Thomson et al., 2005). Thus, it seems that both species, S. cerevisiae and 175 B. bruxellensis, have independently acquired the MAC ability (Rozpędowska et al., 2011). The first 176 partial genome sequence of B. bruxellensis strain CBS 2499 showed around 50% of nucleotide identity 177 with S. cerevisiae (Woolfit, Rozpędowska, Piškur, & Wolfe, 2007), and more recent studies identified 178 that at least 3,300 orthologous gene families were conserved across both clades (out of 5000 for 179 B. bruxellensis) (Cheng et al., 2017).

180 Over the past decade, several *de novo* assemblies have been published with increasing quality (Table 181 1), in particular thanks to the combination of long-read sequencing (e.g. Oxford Nanopore sequencing) 182 with short-read sequencing (Fournier et al., 2017). Such high quality assemblies were used as reference 183 genomes for population genomic surveys (Eberlein et al., 2021; Gounot et al., 2020; Serra Colomer, 184 Chailyan, et al., 2020). These population studies revealed that the pangenome is composed of 5,409 185 ORFs (open reading frames) with 5,106 core and 303 accessory ORFs within the species. Although no 186 significant functional enrichment was found for the set of accessory genes, some of them were shown 187 to be involved in drug and sugar transports (Gounot et al., 2020). Many core genes involved in carbon 188 and nitrogen uptake have been identified, consistent with the ability of B. bruxellensis to metabolise a 189 wide range of complex nutriments (*e.g.* xylose, lactose, cellobiose and nitrate).

In *B. bruxellensis*, the nucleotide diversity estimated by the average number of pairwise nucleotide differences, Pi, is high ($\pi = 1.2 \times 10^{-2}$) compared to *S. cerevisiae* ($\pi = 3 \times 10^{-3}$) (Gounot et al., 2020). In addition, roughly 50% of the available strains of *B. bruxellensis* (exclusively isolated from anthropic niches) are triploids (Avramova, Cibrario, et al., 2018). Polyploidy in industrial yeasts is known to confer 194 robustness and environmental stress resistance (Albertin & Marullo, 2012; Querol & Bond, 2009; 195 Steensels, Gallone, & Verstrepen, 2021). Interestingly, while triploidy appears to be predominant in 196 the available strains, an uploidy is rarer in B. bruxellensis than in S. cerevisiae with 5.6% and 19.1% of 197 aneuploidy isolates, respectively (Gounot et al., 2020; Peter et al., 2018). Genomic studies have shown 198 that the triploid genomes have different genomic architectures arising from intra- and interspecific 199 hybridization events (Borneman, Zeppel, Chambers, & Curtin, 2014; Eberlein et al., 2021; Gounot et 200 al., 2020). In a recent study, the polyploid genomes were reconstructed using different phasing 201 strategies, revealing that each polyploid subpopulation had a unique history (Abou Saada, Tsouris, 202 Eberlein, Friedrich, & Schacherer, 2021). The different triploid genomes (at least four) are composed 203 of a core diploid *B. bruxellensis* genome and an additional haploid one. These additional genomes are 204 either genetically closely related to the diploid one (with a genetic divergence lower than 1%) or 205 genetically divergent (greater than 3%), indicating auto- as well as allopolyploidization events. 206 Interestingly, the three allopolyploidization events have occurred independently with a specific and 207 unique donor for each of the polyploid subpopulations (Table 1). The closest Brettanomyces sister 208 species, B. anomalus, shows >23% of genetic dissimilarity with the diploid B. bruxellensis' genome, 209 excluding the known Brettanomyces sister species as possible donors. Large-scale population genomic 210 surveys with a long-read sequencing strategy will help refine precisely the evolutionary history of each 211 subgenome, and any intertwined relationships.

212 In addition, B. bruxellensis genomes show different levels of Loss Of Heterozygosity (LOH). LOH events 213 are a source of genomic rearrangements and can contribute to the rapid onset of phenotypic diversity 214 (Dutta, Dutreux, & Schacherer, 2021; Sampaio et al., 2020; Smukowski Heil et al., 2017). LOH has 215 gained attention for its frequent association with fitness, adaptation, polyploid stabilization, or even 216 pathogenesis in yeasts but also in other organisms such as the oomycete Phytophthora capsica, hybrids 217 of the cultivated rice Oryza or the Cobitis fish species (Beekman & Ene, 2020; Forche et al., 2011; Janko 218 et al., 2021; Lamour et al., 2012; Morales & Dujon, 2012; Todd, Wikoff, Forche, & Selmecki, 2019; R. 219 R.-C. Wang, Li, & Chatterton, 1999). Compared to S. cerevisiae where LOH represents 50% of the 220 genome, B. bruxellensis presents a low level of LOH, which is variable across subpopulations (Gounot 221 et al., 2020; Peter et al., 2018). In the diploid isolates (wine 3 and kombucha groups), the LOH regions 222 represent 13% of the entire genome. In the triploid subpopulations, different scenarios were observed. 223 For subpopulations that have undergone an allopolyploidization event, a higher proportion of the 224 genome is under LOH in the beer and wine 1 groups (Figure 1B) with 26.6% and 22.3%, respectively 225 (Eberlein et al., 2021). However, this fraction mainly concerns the acquired haploid genome. By 226 contrast, the genomes of the teq/EtOH group are less impacted by LOH events (10.5%), which mainly 227 involve the core diploid genome from *B. bruxellensis*. For the autotriploid population, LOH was also 228 highlighted and conserved across six sequenced strains, resulting in the presence of only two 229 haplotypes while three were expected (Eberlein et al., 2021). The conservation of a given haplotype 230 over the others may indicate specific selection pressures on the alleles present in such LOH regions. 231 Future studies will have to focus on the gene content of these LOH regions to identify possible genetic 232 signatures of adaptation to anthropized environments.

233 Regarding the Copy Number Variation (CNV), genes affected by CNV harbour functions related to drug 234 transporters, nitrogen assimilation or ethanol production (Borneman et al., 2014; Sam Crauwels et al., 235 2014; C. D. Curtin, Borneman, Chambers, & Pretorius, 2012; Gounot et al., 2020). Ploidy level and CNV 236 could play an important role in the adaptation to the ecological niche, where multiple copies of a 237 particular gene or gene family can be beneficial in the new environment. For example, in S. cerevisiae 238 yeast, beer isolates have more increased copies of genes involved in maltose uptake and breakdown 239 are amplified (Gallone et al., 2016; Gladieux et al., 2014). In the pathogenic C. albicans, CNV are 240 associated to a significant fitness benefit to antifungal drugs (Todd et al., 2019). Within allotriploid 241 isolates, the diploid core genome was more prone to duplication events than the acquired haploid 242 genome (Gounot et al., 2020). Further studies will be needed to determine if CNVs are actually 243 associated with increased adaptation to specific ecological niche, as suggested for nitrate assimilation 244 in bioethanol production process (Galafassi, Capusoni, Moktaduzzaman, & Compagno, 2013). Indeed, 245 genes involved in the nitrogen pathway have been independently lost in several diploid isolates within 246 different subpopulations, indicating differential selective pressure (Gounot et al., 2020). Genomic 247 rearrangements affecting genes associated with traits of ecological interests have also been reported. 248 For example, genes coding beta-glucosidase activity are lost in beer isolates but conserved in wine 249 isolates (Sam Crauwels et al., 2017). This enzymatic activity could be useful to consume efficiently 250 peculiar carbon sources found in wines aged in oak barrels, due to the liberation of specific wood 251 polysaccharides. These variations were related to different aroma production, indicating technological 252 interest besides ecological significance (Serra Colomer, Funch, Solodovnikova, Hobley, & Förster, 253 2020). Variations were also observed for maltose-related genes, although it was not possible to 254 associate a phenotype to a specific genotype (S. Crauwels et al., 2015; Serra Colomer, Chailyan, et al., 255 2020). Concerning Horizontal Gene Transfer (HGT) in *B. bruxellensis*, three events from bacteria were 256 recently highlighted, of which one encompassing an invertase-coding gene (from Asaia bacteria). This 257 enzymatic activity is speculated to have conferred the ability to hydrolyse sucrose (Roach & Borneman, 258 2020). Horizontal gene transfers are drivers of adaptive evolution in eukaryotes and may have 259 contributed to adaptation to high-sugar environments (Gladieux et al., 2014; Schönknecht, Weber, & 260 Lercher, 2014). The prevalence of these HGTs in the different subpopulations has yet to be described, 261 and it will be interesting to assess their possible involvement in niches' adaptation.

262 Besides the nuclear genome, genetic diversity has also been described for B. bruxellensis' 263 mitochondrial genome. The mitogenome is large and variable in size (between 75Kb to 100kb), 264 compact with introns and intergenic sequences (Eberlein et al., 2021; Procházka, Poláková, Piškur, & 265 Sulo, 2010). By contrast to S. cerevisiae, the mitochondrial genome contains NADH dehydrogenase 266 subunit genes, which allow the recycling of NAD in presence of oxygen during the fermentation process (Procházka et al., 2010). Across the different genetic subpopulations, the synteny of the mitogenome 267 268 is well conserved with the exception of the teq/EtOH group for which a large inversion event and 269 increased size (up to 100 kb) due to high intron content in the COB and COX1 genes were evidenced 270 (Eberlein et al., 2021). The intron content is known to be variable within Saccharomyces genus, 271 especially for COX1 and COB genes and was shown to be a marker of hybridization events (especially

272 COX1 introns) (De Chiara et al., 2020; Prasai, Robinson, Scott, Tatchell, & Harrison, 2017). In terms of 273 nucleotide diversity, the genetic subgroups are highly homogeneous. The teq/EtOH group is more 274 distant, with a genetic variation of 2% to 3% with the reference mitogenome. In Ascomycota species, 275 the mitochondria inheritance is biparental, implying initial heteroplasmy in case of hybridization 276 events. The outcome of the different mitotypes can be variable: (i) one or the other might be retained 277 stochastically, (ii) one or the other might be retained due to selective pressures (e.g. to purge nucleo-278 cytoplasmic incompatibility or because of higher fitness of one mitotype), (iii) a chimeric mitotype can 279 emerge from the two parental ones due to recombination events (Albertin et al., 2013; De Chiara et 280 al., 2020; Lee et al., 2008). Here, the well-conserved mitotypes across the populations of diploid and 281 polyploidy isolates argue in favour of the selection hypothesis without exchange. By contrast, the 282 teq/EtOH group might have acquired a chimeric mitochondrial DNA, or the mitotype from the donor 283 of the acquired haploid genome. Further studies will be needed to understand the possible impact of 284 these mitochondrial genomes on the phenotypic diversity.

285 The exploration of the diversity of B. bruxellensis genomes has just started. Population genomic 286 surveys unveil a complex genome architecture, with a strong involvement of polyploidy and 287 hybridization events and mechanisms generating intra-specific variation (e.g. CNVs, LOH). LOH events 288 appear to have radically shaped the genomic landscape of *B. bruxellensis* polyploids. This phenomenon 289 is widely observed in polyploid yeasts and is an essential source of interindividual variation in 290 predominantly asexual species (Peter et al., 2018; Steensels et al., 2021). Subsequent large-scale 291 whole-genome sequencing will help to identify and understand the forces that shape the evolution of 292 B. bruxellensis genomes, especially in the context of ecological divergence and industrial adaptation. 293 However, genomic approaches alone will not be sufficient to formally demonstrate the relationship 294 between the genomic and the phenotypic diversification of the species. Largescale phenotyping 295 approaches are therefore necessary to clarify the link between genetic diversity and adaptation to 296 anthropic fermentation environments.

297 3. Phenotypic diversity of *Brettanomyces bruxellensis*

Although molecular tools bring priceless information to understand the origin of the species, their formation and diversification in ever-changing environments, the physiological abilities and metabolic features of the organisms can also be used to seek a deeper comprehension of their niche space use.

301 <u>3.1. Intraspecific diversity of central metabolism and nutrient requirements</u>

302 The nutritional requirements of *B. bruxellensis* are less described than those of model species such as 303 S. cerevisiae. Nevertheless, B. bruxellensis exhibits atypical characteristics related to the metabolism 304 of carbon, oxygen, nitrogen and other nutrients, that may explain its ability to colonise harsh 305 environments described as 'apocalyptic' (Smith & Divol, 2016). The main phenotypic characteristics of 306 B. bruxellensis have already been reviewed (Blomqvist & Passoth, 2015; de Barros Pita et al., 2019; 307 Schifferdecker et al., 2014; Serra Colomer et al., 2019; Smith & Divol, 2016; Steensels et al., 2015). 308 However, phenotypic traits have been little studied in the light of genetic diversification. 309 Brettanomyces bruxellensis is characterized by growth variability which is partly related to ecological origin and/or to the genetic group (da Silva et al., 2019; Louw, du Toit, Alexandre, & Divol, 2016). As 310 311 an example, bioethanol isolates grow faster compared to wine isolates on seven carbon sources 312 (sucrose, cellobiose, maltose, lactose, glucose, fructose and galactose) (da Silva et al., 2019) and the 313 variability of growth was highlighted for other genetic groups as well (Figure 2).

314 Generally, central regulatory mechanisms like Glucose Catabolite Repression GCR (da Silva et al., 2019; 315 Leite et al., 2012) and Nitrogen Catabolite Repression NCR (Cajueiro, Parente, Leite, de Morais Junior, 316 & de Barros Pita, 2017; de Barros Pita, Leite, de Souza Liberal, Simões, & de Morais, 2011; de Barros 317 Pita & Tiukova, 2013; Galafassi, Capusoni, et al., 2013) seem to be less strict in B. bruxellensis compared 318 to S. cerevisiae (i.e. non-glucose and non-ammonium sources can be metabolized even in presence of 319 high glucose/ammonium concentration). Diversity was observed in GCR, with B. bruxellensis' 320 bioethanol isolates being less susceptible to GCR than wine isolates (Blomqvist, Eberhard, Schnürer, & 321 Passoth, 2010; da Silva et al., 2019). There is no data available to our knowledge for isolates from other substrates, yet this feature needs more research as it may have significant ecological and technological
 implications: the simultaneous use of different carbon and nitrogen sources could increase the
 efficiency of absorption in low-nutrient media and be associated with higher fitness in specific
 environments.

326 The most striking characteristic of *B. bruxellensis* is its ability to use a wide range of carbon sources as 327 shown by large-scale phenotypic analyses (Cibrario, Miot-Sertier, et al., 2020; Conterno et al., 2006; 328 Crauwels et al., 2015; da Silva et al., 2019; Galafassi et al., 2011; Smith & Divol, 2018). However, the 329 carbon utilisation varies among isolates: a survey on the assimilation of 190 different carbon sources 330 on seven strains clearly showed a variability between isolates, that could be related to different 331 processes (Crauwels et al., 2015). By contrast to wine isolates, most beer strains were not able to 332 consume galactose, some β -disaccharides (cellobiose and gentiobiose) or some β -substituted 333 monosaccharides (arbutin and β -methyl-D-glucoside) (Crauwels et al., 2015; Serra Colomer, Funch, 334 Solodovnikova, Hobley, & Förster, 2020). This observation could be explained by a variation of beta-335 glucosidase and alpha-glucosidase activity between the genetic groups (Serra Colomer, Funch, et al., 336 2020). Different environmental selective pressures could be responsible for this feature (Serra 337 Colomer, Funch, et al., 2020). However, further work involving more than seven isolates is needed, 338 including strains representative of all described subpopulations to properly assess the relationship 339 between carbon assimilation and process.

Like *S. cerevisiae*, *B. bruxellensis* is able to ferment sugars into ethanol even in the presence of oxygen, a phenomenon called the Crabtree effect, which supports the 'Make-Accumulate-Consume' (MAC) strategy (Rhind et al., 2011; Smith & Divol, 2016). The evolutionary and metabolic significances of the Crabtree effect remain highly discussed, although a simplistic viewpoint suggests that metabolic shifts (from respiration to fermentation and back again) are energetically costly and time-consuming (Pfeiffer & Morley, 2014). Furthermore, *B. bruxellensis* is an acetogenic yeast, which produces large amounts of acetic acid in addition to ethanol under aerobic conditions. This production varies a lot

347 between strains (up to 10-fold) (Freer, 2002; Freer, Dien, & Matsuda, 2003) and could have ecological 348 implication since acetic acid release in the environments is described as a useful feature to outcompete 349 other microorganisms (Rozpędowska et al., 2011) or to lure/deter flies involved in yeast dissemination 350 (Dzialo, Park, Steensels, Lievens, & Verstrepen, 2017). Regarding oxygen impact, conflicting results 351 have been highlighted, with some studies connecting oxygen input to increased or decreased growth 352 (Aguilar Uscanga, Délia, & Strehaiano, 2003; da Silva et al., 2019; Smith & Divol, 2018). The 353 conservation of the NADH dehydrogenase subunit genes in the mitogenome suggests specific oxygen's 354 need during *B. bruxellensis*' fermentation (Procházka et al., 2010). The oxygen requirement is barely 355 deciphered in *B. bruxellensis* and should be the subject of deeper studies in the near future, particularly 356 to determine its possible impact on niche colonization.

357 In addition to peculiarities regarding carbon and oxygen metabolism, B. bruxellensis has atypical 358 nitrogen and vitamins requirements, which may explain its ability to colonize certain niches. In general, 359 B. bruxellensis seems to have higher nitrogen needs than S. cerevisiae (Leite et al., 2012), and 360 B. bruxellensis is able to grow on nitrate as sole nitrogen sources (Borneman et al., 2014; Conterno et 361 al., 2006). This could be advantageous in wine or in bioethanol environment where nitrate is present 362 and not consumed by the first *S. cerevisiae* fermenter (Cajueiro et al., 2017; de Barros Pita et al., 2011). 363 Pena-Moreno et al. even reported that the presence of nitrate boosted ethanol production and growth 364 for some bioethanol strains (Morales-de la Peña, Welti-Chanes, & Martín-Belloso, 2019). Nitrate 365 consumption capacity varies depending on the ecological origin of isolation (Borneman et al., 2014; 366 Crauwels et al., 2015; da Silva et al., 2019). The nitrate assimilation cluster (NIT cluster) was found to 367 be probably involved in the observed phenotypic variation: it encompasses genes encoding nitrate 368 transporter, nitrate reductase, nitrite reductase and two related transcription factors. It has evolved 369 differently between strains and ecological groups. While NIT cluster was duplicated in a wine diploid 370 isolate capable of assimilating nitrate (belonging to the wine 3 subgroup) and it was partially deleted 371 or subjected to gene conversion in beer isolates unable to assimilate nitrate (belonging to the beer 372 subpopulation) (Borneman et al., 2014; Gounot et al., 2020). In a wine triploid isolate able to assimilate 373 nitrate (from the wine 1 group), three haplotypes are present. To sum up, selective pressures in 374 different ecological niches may have allowed different evolutionary trajectories, although researches 375 involving more representative strains are needed to have the full picture. Regarding vitamins 376 requirements, B. bruxellensis was initially defined as an auxo-autotroph (Peynaud and Domercq, 1956) 377 but recent results indicate that biotin is the only vitamin which strongly affects the biotic capacity of 378 B. bruxellensis in the long term (von Cosmos & Edwards, 2016). Thiamine requirements appear to 379 depend on the strain and on the presence of ethanol in the growth medium (von Cosmos & Edwards, 380 2016).

381 <u>3.2. Traits of technological interest</u>

382 Another notable characteristic of *B. bruxellensis* species is its ability to convert hydroxycinnamic acids 383 (HCAs) into volatile phenols. HCA metabolism may have an important impact from an ecological 384 viewpoint: HCA have antimicrobial properties, and the ability to convert HAC into less toxic compounds 385 could promote yeast growth (Richard, Viljanen, & Penttilä, 2015). Besides, HAC are important dietary 386 antioxidants for flies. However, flies are not able to detect directly HCA and use ethyl phenols as an 387 indirect indicator of their presence (Dweck, Ebrahim, Farhan, Hansson, & Stensmyr, 2015). Flies 388 interaction with yeasts have consequences on their dissemination and the possibility to colonize new 389 environments, and volatile phenols could be a key factor mediating yeast-insect interactions (Stefanini, 390 2018). Besides their ecological importance, volatile phenols are considered as off-flavours in oenology 391 (for review (Suárez et al., 2007; Wedral, Shewfelt, & Frank, 2010)). The term 'volatile phenols' generally 392 includes 4-ethylphenol (4-EP), 4-ethylguaiacol (4-EG) and 4-ethylcatechol (4-EC) and their vinyl forms, 393 4-vinylphenol (4-VP), 4-vinylguaiacol (4-VG), 4-vinylcatechol (4-VC). These are produced through the 394 conversion of hydroxycinnamic acids (HCAs): p-coumaric acid, ferulic acid and caffeic acid respectively. 395 The production of volatile phenols by *B. bruxellensis* strains is variable and was extensively described 396 in oenological conditions (M. Agnolucci et al., 2009; Monica Agnolucci et al., 2010; Conterno et al., 397 2006; Di Toro et al., 2015; Hixson et al., 2012; Madsen et al., 2017; D. Romano et al., 2017; Vigentini 398 et al., 2008; Zepeda-Mendoza et al., 2018). Most studies involved end-point analyses, which makes it 399 difficult to distinguish between the intrinsic capacities of the strains and their modulation by external 400 parameters. Furthermore, the respective importance of the different factors governing such variability 401 is poorly described: a few authors showed that volatile phenol production was both strain-dependent 402 and wine matrix-dependent (Chandra, Madeira, Coutinho, Albergaria, & Malfeito-Ferreira, 2016; 403 Cibrario, Miot-Sertier, et al., 2020; Dias, Pereira-da-Silva, Tavares, Malfeito-Ferreira, & Loureiro, 2003; 404 Zhu, Zhang, & Lu, 2012). The niche of isolation could also play a role and brewing isolates have a more 405 efficient metabolism toward ferulic acid (leading to 4-EG) than p-coumaric acid compared to wine 406 isolates (Lentz & Harris, 2015). Some wine and soft drink isolates possess a duplication of the Vinyl 407 Phenol Reductase gene, which is absent in beer isolates (Crauwels et al., 2017). However, while all 408 these studies confirmed the existence of intraspecific variation, their impact on the production of 409 volatile phenols and their possible ecological significance remains to be properly assessed.

410 Brettanomyces bruxellensis show important intra-specific variability regarding the production of other 411 aroma-active molecules such as esters, fatty acids, terpenes, phenolic compounds, N-heterocycle, (see 412 Brettanomyces aroma wheel, (Lucy Joseph, Albino, & Bisson, 2017; Serra Colomer, Funch, et al., 2020; 413 Tyrawa, Preiss, Armstrong, & van der Merwe, 2019)). Although some environmental factors may 414 impact ester production (e.g. ethanol and p-coumaric acid concentrations (Conterno, Aprea, 415 Franceschi, Viola, & Vrhovsek, 2013; Lucy Joseph, Kumar, Su, & Bisson, 2007)), esterase activity is also 416 strain-dependent (Holt et al., 2018; Spaepen & Verachtert, 1982; Steensels et al., 2015; Verstrepen et 417 al., 2003). The intraspecific variation in the production of esters is mirrored at the aromatic level and 418 impacts fruitiness, which is particularly important for Lambic beer style (Van Oevelen, Spaepen, 419 Timmermans, & Verachtert, 1977). The activity of some alpha-glucosidase and beta-glucosidase 420 enzymes may be involved in the release of aromatic compounds like terpenes (Crauwels et al., 2014; 421 Daenen et al., 2007; Serra Colomer, Funch, et al., 2020; Vervoort et al., 2016). N-heterocycles can be 422 produced by B. bruxellensis in beverages (mostly in wine or beer) (for review see (Snowdon, Bowyer, 423 Grbin, & Bowyer, 2006)). These molecules are responsible for the 'mousy' off-flavor, which causes 424 rejection by the consumers. The metabolic pathway is not fully understood, but the amino-acid 425 content and the oxygen availability in fermented beverages are key parameters for their production 426 (Grbin & Henschke, 2000; Grbin, Herderich, Markides, Lee, & Henschke, 2007). The state of art is 427 somewhat inconsistent, which may be explained by the difficulty to properly measure such compounds 428 and their seemingly random occurrence in fermented beverages. From an ecological aspect, all these 429 aromatic compounds could play key roles in natural environments, including signalling and 430 communication with other organisms, and/or attractants for animals, particularly insects (Dzialo et al., 431 2017).

432 Brettanomyces bruxellensis shows an incredible ability to persist over long time in some industrial 433 environments (Cibrario, Miot-Sertier, et al., 2020). Such capacity of persistence could be linked to the 434 various physiological states of the cells, beside the classical free-living (planktonic) state. Indeed, 435 B. bruxellensis is able to form biofilm, pseudo-mycelium, chlamydospore-like structure and VBNC 436 (Viable But Non Culturable) cells. While many aspects remains unknown or contradictory, a 437 physiological change between these forms could be induced by stress conditions related to the 438 presence of some chemical compounds and/or to nutrient limitation (Uscanga, Delia, & Strehaiano, 439 2000). Intraspecific diversity was observed in the ability to enter or exit the VBNC state (Capozzi et al., 440 2016; Longin et al., 2016) as well as to form pseudo-hyphae (Martyniak, Bolton, Kuksin, Shahin, & Chan, 441 2017) or biofilm (Lucy Joseph et al., 2007). Recently, two concomitant studies (Dimopoulou, Renault, 442 et al., 2019; Lebleux et al., 2020) have suggested that the ability to produce biofilms depends on the 443 genetic groups. Although only a limited number of strains were tested, the beer group showed 444 robustness regarding biofilm formation in glucose-rich or glucose-limited media. For other genetic 445 groups, biofilm's production was more dependent on environmental characteristics, suggesting 446 different regulatory mechanisms (Dimopoulou, Renault, et al., 2019). These differences were also 447 partly mirrored by the biochemical and physicochemical properties of the surface of the strains 448 (Dimopoulou, Renault, et al., 2019). Finally, whether B. bruxellensis is actually able to sporulate and to 449 undergo a sexual cycle remains a mystery. Early description of ascospore-like forms by van der Walt

450 (Van der Walt, 1964) prompted the definition of a teleomorphic stage (ie the sexual reproductive stage 451 of a fungal species in mycology). The teleomorph was named Dekkera bruxellensis (B. bruxellensis 452 being the anamorph counterpart, that reproduces asexually). Since then, ascospores formation was 453 scarcely described (A.T. de Souza Liberal et al., 2007), and most authors consider B. bruxellensis as 454 lacking an effective sexual cycle (Hellborg & Piškur, 2009). In particular, the existence of a meiosis 455 should be associated with triploid instability, which is not evidenced at the genomic level. The impact 456 of polyploidy and hybridization on the ability to switch from one form to another (planktonic, sessile, 457 biofilm, pseudo-mycelium) remains to be elucidated. From an ecological viewpoint, the possibility to 458 adopt various lifestyles and switch from one to another cellular morphologies may facilitate niches 459 adaptation and drastic environment changes, as it the case for dimorphic fungi whose yeast-hyphae 460 switch is recognized as an essential adaptation for host colonization and pathogenicity (Boyce & 461 Andrianopoulos, 2015).

462 As one of the main worldwide wine spoilers, winemakers try to prevent and/or control Brettanomyces 463 contamination through several methods such as the use of filtration, application of ozone, high 464 pressure, ultrasound, ultraviolet irradiation, pulsed electric fields, chitosan or the addition of sulphite 465 (Supplemental Table 2). So far, most studies evaluating the efficiency of these different applications have included a very small subset of strains (Supplemental Table 2) with questionable 466 467 representativeness, especially for wine isolates that are found into different genetic groups. As a result, 468 conflicting conclusions have been recorded: for example, chitosan treatments have sometimes been 469 described as very effective (Bağder Elmacı et al., 2015) or moderately effective against B. bruxellensis 470 (Petrova, Cartwright, & Edwards, 2016). This incongruity has been partly resolved, a strain-dependent 471 sensitivity to chitosan was demonstrated (Paulin et al., 2020). In future work, it could be interesting to 472 define a standardized method to evaluate the efficiency of anti-microbial treatments against 473 B. bruxellensis including a panel of isolates representative of the genetic diversity of the species.

474 In winemaking, the most common treatment is the addition of sulphur dioxide (Barata et al., 2008). 475 High intraspecific diversity in sulphite tolerance was repeatedly demonstrated in several studies 476 (Avramova, Cibrario, et al., 2018; Dimopoulou, Hatzikamari, Masneuf-Pomarede, & Albertin, 2019; 477 Galafassi, Toscano, Vigentini, Piškur, & Compagno, 2013). On a cohort of 100 isolates from 478 winemaking, three phenotypic groups were defined: sensitive (slowed growth), tolerant (delayed 479 growth) and resistant (no impact on growth) (Vigentini, Lucy Joseph, Picozzi, Foschino, & Bisson, 2013). 480 A recent study was able to connect sulphur sensitivity to the genetic groups, with two triploid groups 481 (wine 1 and wine 2) containing most tolerant/resistant isolates (Avramova, Vallet-Courbin, Maupeu, 482 Masneuf-Pomarède, & Albertin, 2018). Competition experiments between sensitive/tolerant isolates 483 under increasing concentration of sulphite showed specific adaptation of isolates from wine 1 484 allotriploid group to high SO₂ environments (Avramova et al., 2019). Different SSU1 haplotypes (SSU1 485 encoding sulphite efflux pump) related to variable SO₂ tolerance were characterized (Valdetara et al., 486 2020; C Varela, Bartel, Roach, Borneman, & Curtin, 2019; Cristian Varela, Bartel, Onetto, & Borneman, 487 2020). It revealed that gene dosage effect (the number of SSU1 haplotype) as well as the SSU1 488 regulation could be at least partially involved in SO_2 tolerance (Varela et al., 2019). In a recent 489 experiment, Bartel et al conducted a laboratory experimental evolution in presence of sulfur dioxide 490 concentrations, and evidenced adaptive evolution in different genetic backgrounds targeting partly 491 SSU1 (Bartel et al., 2021). A genotyping study showed that the two genetic groups (wine 1 and wine 2) 492 containing the most tolerant/resistant isolates of *B. bruxellensis* were scarcely isolated before 1990 493 and that their proportion had increased steadily since then, possibly with the increase of sulphur use 494 in oenology (Cibrario et al., 2019). Sulphur tolerance/resistance in B. bruxellensis could thus be the 495 result of anthropization and adaptation to winemaking environments as for wine strains of 496 S. cerevisiae (Gallone et al., 2016; García-Ríos & Guillamón, 2019; Kaewkod, Bovonsombut, & 497 Tragoolpua, 2019). The fact that sulphite tolerant/resistant phenotypes are present in two different 498 genetic cluster (one autotriploids, one allotriploid) may indicate independent acquisition and possibly

different adaptive mechanisms. How much and how the change in ploidy contributes to theresistant/tolerant phenotype has to be resolved in future studies.

501 In conclusion, although a high number of surveys investigated various B. bruxellensis traits with 502 fundamental and applied interest, many of these published studies used a small subset of strains 503 usually not representative of the genetic diversity of the species (Figure 3, Supplemental Table 3). 504 Brettanomyces bruxellensis literature is scattered with incongruences that could be directly related to 505 intraspecific diversity and the lack of representability of the isolates tested. It highlights the necessity, 506 for future research, to properly take into consideration strains from different ploidy levels, with varied 507 hybridization backgrounds (auto- and allotriploids), isolated from various substrates and geographical 508 origins and distributed within the different genetic populations described.

509 4. Discussion and perspectives

510 <u>4.1. Brettanomyces bruxellensis, the fermentation finisher</u>

511 Brettanomyces bruxellensis has attracted increasing attention recently due to its involvement in 512 industrial processes and its unusual genomic composition. Saccharomyces cerevisiae is one of the most 513 intensively studied eukaryotic models, at the fundamental level in molecular, cellular and ecology 514 biology, but also from an applied point of view as it is widely used as a fermentation starter for several 515 human processes (Boone, 2014; Chambers & Pretorius, 2010; Goddard & Greig, 2015; Peter & 516 Schacherer, 2016). Interestingly, while B. bruxellensis species is associated with similar anthropic 517 processes (e.g. oenology, brewery), it is particularly recognized for its competence to colonize already 518 fermented environments (such as wine or beer rather than grape must or wort) (Schifferdecker et al., 519 2014). Brettanomyces bruxellensis is thus a perfect model of fermentation finisher, a complementary 520 counterpoint to S. cerevisiae model of fermentation starter, sharing the same industrial niches, but not 521 with the same temporality. Interestingly, no isolates of B. bruxellensis were identified outside human 522 related processes so far. Several reasons may explain the non-detection of wild isolates yet. First, 523 B. bruxellensis is a slow-growing yeast species, so it may be easily outcompeted by other yeast species

whenever culturable methods are used (Agnolucci et al., 2017). Another possibility is that 524 525 B. bruxellensis could be part of biofilm communities in natural environments – albeit at low-abundance 526 and/or in Viable But Not Cultivable (VBNC) state preventing its isolation. Indeed, 40-80% of cells on 527 Earth live and persist in multispecies communities (bacteria, archaea, eukaryotes, etc.) forming 528 biofilms, e.q. aggregated structures frequently enclosed into matrixes of extracellular polymeric 529 substances (Flemming et al., 2016; Flemming & Wuertz, 2019). Growth in polymicrobial biofilms is 530 relatively protected from environmental variations, and could improve survival in hostile environments 531 (Flemming & Wuertz, 2019). The identification of low-abundance or VBNC species within complex 532 communities remains a challenge, prompting the use of metagenomics, culturomics or reverse 533 genomics approaches (Martellacci et al., 2019; Ryu et al., 2021). Brettanomyces bruxellensis has 534 abilities to form VBNC or to be involved in microbial consortia like kombucha's SCOBY (Symbiotic 535 Community of Bacteria and Yeast) (Savary et al., 2021). These characteristics make it plausible the 536 hypothesis of natural biofilms as one of their wild environments, although no definite evidences are 537 described so far. Besides biofilms, natural fermentations are another putative example of ecosystems 538 that could foster the growth of wild B. bruxellensis. Many insects, mammals or birds store foods (fruits, 539 grains, meat, etc.) for times of less-plentiful sustenance. Food storage is prone to microbial growth or 540 spoilage, and natural fermentations occurred long before humans, and long before human-directed 541 fermentations (Carrigan et al., 2015; Post & Urban, 1993; Ruxton, Wilkinson, Schaefer, & Sherratt, 542 2014; Wiens et al., 2008). These naturally-occurring fermentations are poorly described, but they 543 probably display similar characteristics to B. bruxellensis' anthropic environments: presence of ethanol 544 and organic acids, wide variety of ever-evolving sugar, nitrogen and other nutrients contents, oxygen 545 availability, succession of complex microbial communities, etc. In such natural fermentations, 546 B. bruxellensis could play second fiddle and colonize the environments after more efficiently-growing 547 and fermenting microorganisms. In natural environments also, the role of wild-fermentation finisher 548 would be congruent with B. bruxellensis' scavenging abilities (Smith & Divol, 2016). However, this 549 hypothesis remains purely speculative: the literature is scarce regarding the microbial communities

550 associated with food caching or hoarding, and so are the available isolates from these niches (Herrera, 551 Kramer, & Reichman, 1997; Post & Urban, 1993). Obtaining and studying natural isolates would be an 552 ideal option, but even in the absence of data on their wild counterparts, future works both at the 553 genomic and phenotypic levels will help outlining the characteristics of B. bruxellensis' natural 554 reservoirs. In particular, study of the central metabolism and the molecular mechanisms (HGT, LOH, 555 CNV, etc) driving their evolution will help to determine which metabolic functions are conserved, lost 556 or gained and their possible involvement into adaptations to anthropic environments. For example, 557 determining whether maltose metabolization or beta-glucosidase activity were acquired before 558 B. bruxellensis' anthropic associations may give clues concerning its possible natural niches (i.e. crops-559 or wood-related). Future works should explore more thoroughly all these aspects (central metabolism, 560 VBNC and biofilm abilities, etc.) to gain more insights into a fermentation-related microorganism and 561 to improve our possibilities of controlling the species in various processes.

562 *4.2. Exploring the relationships between polyploidy/hybridization and adaptation to anthropized*

563 <u>environments</u>

564 Genomic analyses unveiled a genetic diversity of B. bruxellensis related to specific substrates. Diploid 565 isolates coexist with triploid ones of hybrid origins, and several subpopulations of diploid and triploid 566 individuals are described. Some of these groups are related to specific substrate origins (wine, beer, 567 kombucha, tequila) and display adaptive traits related to their ecological niche (e.g. sulphite 568 tolerance/resistance in winemaking, ability to metabolize nitrate in bioethanol production, maltose 569 utilization in beer process). In industrial processes, where stress is omnipresent, polyploidy and 570 aneuploidy are recurrent events in domesticated populations of S. cerevisiae (Peter et al., 2018; Querol 571 & Bond, 2009; Steensels et al., 2021). In *B. bruxellensis*, the level of aneuploidy (roughly 5%) is 572 surprisingly low considering: 1- the proportion of aneuploidy in other yeast species (19% in 573 S. cerevisiae, 15% and 33% in the pathogenic yeasts Cryptococcus neoformans and Candida albicans, 574 respectively (Gounot et al., 2020; Peter et al., 2018; Rhodes et al., 2017; Scopel, Hose, Bensasson, & 575 Gasch, 2021; Selmecki, Forche, & Berman, 2006)); and 2- the proportion of triploids in B. bruxellensis

576 (>50%) which are known to evolve quickly toward aneuploidy and diploidy in Saccharomyces species 577 (Avramova, Cibrario, et al., 2018; Gerstein, McBride, & Otto, 2008; Todd, Forche, & Selmecki, 2017). 578 The phylogeny and evolutionary history of *B. bruxellensis* species are particularly complex to 579 reconstruct because of the intertwined events of polyploidization and hybridization (Linder, Moret, & 580 Nakhleh, 2003). New approaches will be needed to unravel its complex genetic architecture and to 581 elucidate the precise relationship between genomic evolution and the actual adaptation to 582 anthropized environments. The evolution of many species (plant, animal, microorganism) is strongly 583 shaped by human activities. When anthropogenic-driven transformation is purposefully associated 584 with improved attributes, the species are labelled as domesticated, as in S. cerevisiae for which several 585 independent domestication events were evidenced (Gallone et al., 2018; Giannakou et al., 2020; Steensels, Gallone, Voordeckers, & Verstrepen, 2019). In the case of B. bruxellensis, the situation 586 587 seems to be more complicated: the fact that some beer isolates are used by brewers for their improved 588 ability to metabolize specific sugars may correspond to domestication. Conversely, adaptation to 589 winemaking environments through acquisition of sulphite resistance is probably an unintentional 590 consequence of anthropogenic influence. Thus, for wine groups, the term 'domestication' does not 591 apply to what seems to be an adaptive evolution at the expense of humans. A few works already 592 provided evidence of various beneficial or detrimental traits associated with the different anthropized 593 environments colonized by B. bruxellensis. The underlying molecular mechanisms remain to be 594 explored more thoroughly, in particular the role played by LOH, HGT, CNV and other mechanisms in 595 the evolution and adaptation of the species. How polyploidization and hybridization actually impacted 596 the evolutionary routes of B. bruxellensis is still an open question. Future directions should take 597 advantage of this model yeast to examine closely the influence of anthropogenic activities on the 598 species and their genomic, phenotypic and adaptive consequences, whether positive or negative for 599 humans.

The description of both auto- and allotriploid groups raises the question of their origin. The recent genomic approaches, and particularly phasing methods, allowed the precise description of the

602 genomic content of these triploids that harbour a core diploid genome added with an additional 603 haploid one of various intra- and inter-specific origins (Abou Saada et al., 2021). Triploid formation in 604 B. bruxellensis happened at least four times, suggesting the successive occurrence of a not-so-rare 605 event of polyploid formation, followed by a not-so-rare establishment mechanism (selection or 606 random genetic drift). Regarding the possible mechanisms of polyploid formation, accidental miss-607 repartition of chromosomes during mitosis can lead to unbalanced number of chromosomes in 608 daughter cells (Todd et al., 2017; Wertheimer, Stone, & Berman, 2016). However, this pathway would 609 account only for the formation of autotriploids (not allotriploids), furthermore without heterozygosity 610 increase, which is described in B. bruxellensis wine 2 autotriploid group. In addition, the presence of a 611 complete haploid set of chromosomes tends to invalidate the hypothesis of mitosis mishaps, which 612 would more frequently lead to an uploids rather than polyploids. Autopolyploidy can also occur via 613 endoreduplication – replication of the whole nuclear genome in absence of mitosis – a phenomenon 614 frequently described in plants (Harari, Ram, Rappoport, Hadany, & Kupiec, 2018; Sugimoto-Shirasu & 615 Roberts, 2003). However, endored uplication accounts only for even ploidy levels, not for odd (triploid) 616 ones, and is not associated with increased in heterozygosity levels. The production of unreduced 617 gametes, followed by intra- or interspecific hybridization, is another route of polyploid formation 618 frequently described in plants and animals (Otto & Whitton, 2000). The absence of evidence of sexual 619 cycle in B. bruxellensis makes this mechanism less likely. Protoplast (spheroplast) fusion could be an 620 interesting hypothesis: protoplast formation could occur after cell wall digestion in insects' guts and 621 subsequent protoplasts fusion could allow intra- and inter-specific hybridization of non-sexual species 622 (Steensels et al., 2014). To date, protoplast fusion is the most likely hypothesis of auto- and allotriploid 623 formation, yet the literature lacks of formal evidence and future genomic and ecological analyses may 624 shed lights on the possible routes of polyploid formation in B. bruxellensis. In addition to 625 polyploidization/hybridization mechanism, the prominence of *B. bruxellensis'* triploids indicates that 626 triploid formation was followed by the successful establishment of these lineages, through either 627 neutral genetic drift or natural/artificial selection. It seems highly unlikely that random genetic drift

628 could account alone for the presence of triploid lineages in *B. bruxellensis*: firstly, polyploidy is related 629 to energetic and resource costs that, far from being neutral, should lead to its counter-selection 630 (Comai, 2005; Neiman, Kay, & Krist, 2013). Secondly, at least four auto- and allotriploid events have 631 led to the independent establishment of triploid lineages, a high number for a purportedly stochastic 632 phenomenon. Thirdly, some of these triploid lineages show (or are suspected to show) higher fitness 633 in their environments of predilection, such as sulphite resistance for the wine 1 and wine 2 groups, the 634 possible metabolization of maltose and other complex sugars for the beer group, or increased growth 635 and the ability to metabolize nitrate for teq/EtOH group (Avramova, Vallet-Courbin, et al., 2018; S. 636 Crauwels et al., 2015; Galafassi, Capusoni, et al., 2013; Serra Colomer, Chailyan, et al., 2020). All these 637 elements suggest that polyploidization in *B. bruxellensis* is not neutral from an evolutionary viewpoint, 638 although more genomic and phenotypic studies are needed to deepen our understanding of the 639 evolutionary fates of these polyploids compared to their diploid counterparts.

Finally, the large genetic differences (up to 3%) recorded between subpopulations raise the question of the actual number of species within this clade (Eberlein et al., 2021). No sexual cycle was formally recorded to date and no gene flow between *B. bruxellensis* subpopulations was ever described, suggesting independent evolution within each clade, and speciation in progress. Future large-scale genomic and phenotypic analyses will help determine whether we should still consider *B. bruxellensis* as a single but complex species with diverse subpopulations, or whether we should redefine *B. bruxellensis* as a complex of single species.

647 <u>4.3 Brettanomyces bruxellensis, a yeast model to study the relationship between polyploidy,</u>
 648 <u>hybridization and adaptation to human-related environments</u>

Polyploidy and hybridization, two frequently associated events, have long been described as key evolutionary mechanisms underlying radiation and adaptation in many clades of plants, animals or fungi (Gregory & Mable, 2005; Otto & Whitton, 2000; Todd et al., 2017; Van De Peer, Mizrachi, & Marchal, 2017). In flowering plants, where the impact of polyploidy is the most studied, polyploidy and hybridization are frequently associated with phenotypic diversification, trait innovation, increased 654 fitness, invasiveness abilities, adaptation to harsh environments and domestication (Ainouche & 655 Wendel, 2014; Soltis & Soltis, 2009). Historically less studied in microorganisms, polyploidy and 656 hybridization are now well established as driving evolutionary forces in yeast, Chromalveolata, but also 657 in prokaryotes, including Bacteria and Archaea (Albertin & Marullo, 2012; Marcet-Houben & Gabaldón, 658 2015; Soppa, 2022). Yeast usually enables the development of systems biology approaches, and B. bruxellensis triploids may allow to investigate the fates of genes duplicated by whole genome 659 660 duplication and their impact on phenotypic traits. In addition, the close association between various 661 human processes and different ploidy/hybridization status makes of B. bruxellensis a valuable model 662 to decipher the evolutionary mechanisms involved in the adaptation to anthropized niches. The study 663 of B. bruxellensis' diploid and triploid populations may provide valuable insights into the ecological and 664 evolutionary significance of natural polyploidy.

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1578 Data Accessibility

- 1579 Phenotypic data files (figure 2): Avramova et al. (2018) DOI: 10.3389/fmicb.2018.01260
- 1580 Genomic data (figure 1B): Eberlein et al. (2021) DOI: 10.1101/gr.275380.121

1581 Author contribution

- 1582 JH, CE, JS and WA conceived the original outline of the review, with substantial inputs from all
- authors. All authors screened the literature and selected the subset of publications to be included.
- 1584 JH, CE, JS and WA drafted a first version. MDL helped more specifically drafting the central
- 1585 metabolism section, IMP and CMS the aroma/biofilm/technological part, and PB helped with the
- 1586 aroma section. JH, CE and WA contributed to the figures. All authors extensively revised the
- 1587 manuscript.

1588 Tables and Figures

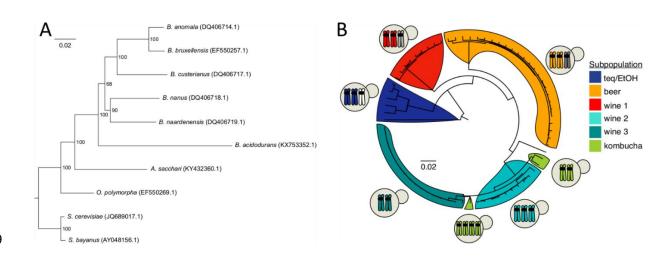
- 1589 Figure 1. Genetic position and population structure of *Brettanomyces bruxellensis*.
- 1590 1A. Neighbor-joining tree of the *Brettanomyces* genus.

1591 The distance tree comprises the six Brettanomyces species, Allodekkera sacchari (the closest sister 1592 species to Brettanomyces clade), Ogataea polymorpha, Saccharomyces cerevisiae and 1593 Saccharomyces bayanus as outgroups. 26S ribosomal RNA gene sequences were used. A global alignment with free end gaps was prepared using Geneious (Prime 2020.2) and the default setting for 1594 1595 multiple alignments. The Neighbor-joining tree was built with the Tamura-Nei Model of genetic 1596 distances. The final tree represents a consensus of 1,000 resampled trees obtained with an extended 1597 majority rules method. The consensus supports of the nodes are given in %. Sequences data was 1598 downloaded from NCBI.

1599 1B. Genetic diversity within *Brettanomyces bruxellensis* species.

Brettanomyces bruxellensis subpopulations are represented by different colors and named from previous reports (Avramova, Cibrario, et al., 2018; Gounot et al., 2020). The tree was built from whole genome Illumina short-read sequencing of 71 *B. bruxellensis* isolates aligned to the reference genome *B. bruxellensis* (Fournier et al., 2017) and 24,313 genetic variants evenly distributed across the genome (Eberlein et al., 2021). The ploidy level of each population was schematized, two chromosomes represent diploid groups, three chromosomes represent triploids ones. Light grey, dark gray and white chromosomes represent the independent haploid acquired genomes from unknown sister species.

- 1607
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1611 Figure 2. Growth parameters for different subpopulations of *B. bruxellensis*.

1612 Genetic groups as described by previous reports (Avramova, Cibrario, et al., 2018; Gounot et al., 2020).

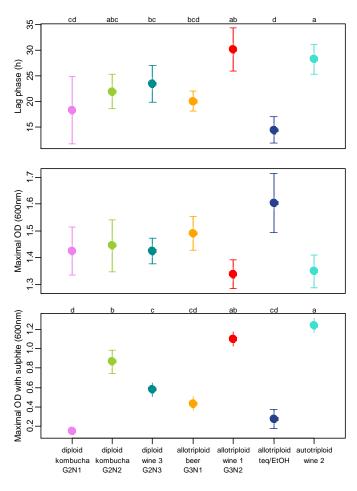
1613 Growth data was taken from Avramova et *al.* (Avramova, Vallet-Courbin, et al., 2018). The growth

1614 parameters correspond to the lag phase (hour), maximum OD (600 nm) without or with sulphite (0.6

1615 mg.L⁻¹ of molecular SO₂). For each genetic group, mean values are represented by a circle, and error

bars correspond to standard error. Top letters represent significance groups as defined by Kruskal-Wallis test when significant (p-value < 0.05). P-values were 0.0054, 0.11 and 5.4 10⁻¹⁰ for lag phase,

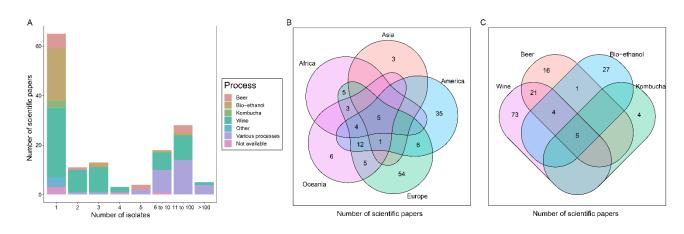
1618 maximum OD without or with sulphite respectively.



- 1620 Figure 3. Representativity and diversity of *Brettanomyces bruxellensis* collections studied in the 1621 literature.
- 1622 3A: Histogram of the number of isolates of *B. bruxellensis* used in the studies referenced in 1623 supplemental Table 3, with their process/substrate of isolation.

1624 3B: Venn diagram of the number of studies including isolates of *B. bruxellensis* from various 1625 geographical origins.

1626 3C: Venn diagram of the number of studies including isolates of *B. bruxellensis* from various process 1627 origins.



1629 Table 1. Whole-genome sequencing of *B. bruxellensis* isolates.

| 1630 ¹ ND stands for 'not determined | ' by the corresponding publication. |
|---|-------------------------------------|
|---|-------------------------------------|

| Publication | Isolates ID (or number of isolates) | Niche; origin of isolation | Country/region of isolation | Ploidy ¹ |
|--|--|---|--|----------------------|
| Woolfit et al. (2007) | CBS 2499 | Wine | France | ND |
| Piškur et al. (2012) | CBS 2499 | Wine | France | Diploid |
| Curtin et al. (2012) | AWRI 1499 | Wine | Australia | Triploid |
| Crauwels et al. (2014) | ST05.12/22 = VIB X9085 | Beer | Belgium | Diploid |
| Valdes et al. (2014) | LAMAP 2480 | Wine | Chile | ND |
| Borneman et al. (2014) | AWRI 1608 | Wine | Australia | Triploid |
| | AWRI 1613 | Wine | Australia | Diploid |
| Crauwels et al. (2015) | ST05.12/26 = MUCL 49865 | Beer | Belgium | Diploid |
| | ST05.12/48 | Beer | Belgium | Diploid |
| | ST05.12/53 | Beer | Belgium | Triploid |
| | ST05.12/59 = CBS 6055 | Dry ginger ale | United States of America | Triploid |
| Olsen et al. (2015), Jiang, et al. (2019) | CBS 11270 | Bioethanol | Sweden | Diploid |
| | CBS 2796 | Wine | Germany | ND |
| Fournier et al. (2017) | 9 strains | Wine, beer, bioethanol | Europe, Oceania, Africa | Diploid, triploid |
| | 53 strains | Wine, beer, bioethanol, soft drink | Europe, Oceania, Africa, America | Diploid, triploid |
| Colomer et al. (2020) | 64 strains | Beer, bioethanol, wine, kombucha, tequila | Europe, Oceania, Africa, America | Diploid, triploid |
| Eberlein et al. (2021) | 71 strains | Beer, bioethanol, wine, kombucha, tequila | Europe, Oceania, Africa, America | Diploid, triploid |