Genetics of common cerebral small vessel disease

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Abstract Cerebral small vessel disease (cSVD) is a leading cause of ischaemic and haemorrhagic stroke and a major contributor to dementia. Covert cSVD, which is detectable with brain MRI but not manifest as clinical stroke, is highly prevalent in the general population with increasing age. Advances in technologies and collaborative work have led to substantial progress in the identification of common genetic variants that are associated with cSVD-related stroke (ischaemic and haemorrhagic) and MRI-defined covert cSVD. In this Review, we provide an overview of collaborative studies - mostly genome-wide association studies (GWAS) that have identified >50 independent genetic loci associated with the risk of cSVD. We describe how these associations have provided novel insight into the biological mechanisms involved in cSVD, revealed patterns of shared genetic variation across cSVD traits, and shed new light on the continuum between rare, monogenic and common, multifactorial cSVD. We consider how GWAS summary statistics have been leveraged for Mendelian randomization studies to explore causal pathways in cSVD and provide genetic evidence for drug effects, and how the combination of findings from GWAS with gene expression resources and drug target databases has enabled identification of putative causal genes and provided proof-of-concept for drug repositioning potential. We also discuss opportunities for polygenic risk prediction, multiancestry approaches and integration with other omics data.

[H1] Introduction

As average lifespans have increased, stroke, cognitive impairment and dementia have become the most common age-related sources of disability and dependence and have a huge societal burden. One in three people who are healthy at 65 years of age will develop stroke, dementia or both during their remaining lifetime¹. Cerebral small vessel disease (cSVD), which encompasses a group of pathological processes that affect small penetrating vessels (arterioles, capillaries and venules) of the brain^{2,3}, makes a major contribution to the occurrence of stroke and dementia. cSVD is a leading cause of ischaemic and haemorrhagic stroke, accounting for up to one third of strokes worldwide⁴⁻⁶. It is also the main pathological substrate of the vascular contribution to cognitive decline and dementia⁷ - the vascular component of dementia is now recognized as a major driver of the pathophysiology^{8,9}.

Most often, vascular brain injury is covert (not associated with clinical stroke) and caused by underlying cSVD¹⁰⁻¹². Covert cSVD is highly prevalent in elderly people³ and encompasses several entities that are defined by brain MRI abnormalities¹³. The most studied of these abnormalities are white matter hyperintensities (WMHs) of presumed vascular origin (sometimes referred to as leukoaraiosis)¹⁴, lacunes of presumed vascular origin, and cerebral microbleeds¹⁵. In the past 5 to 10 years, novel markers of covert cSVD have emerged that require more elaborate imaging acquisition and/or processing; these markers included perivascular spaces^{16,17}, microinfarcts^{18,19} and subtle changes of white matter microstructure detectable with diffusion tensor imaging (DTI)²⁰⁻²². Covert cSVD is a powerful determinant of stroke risk, unfavourable stroke outcome, cognitive decline and dementia^{14,23,24}, and therefore represents a major target for the prevention of these conditions and promotion of healthier brain ageing.

The development of cSVD is influenced by a complex mix of genetic and environmental risk factors, among which age and hypertension are currently deemed the most important⁴. However, the cascade of events and molecular pathways that lead to the alterations in the structure and function of small brain vessels that characterize cSVD are largely unknown. Molecular biomarkers for clinical use have not been identified and, besides risk factor (especially blood pressure) management, no mechanism-based treatments are available to prevent cSVD progression and complications. Determining the genetic underpinnings of common, multifactorial cSVD promises to reveal molecular mechanisms

that underlie cSVD and thereby accelerate the discovery of novel biomarkers, drug targets or drug repositioning opportunities^{25,26}. Exploring the genomics of common cSVD also provides tools to indirectly examine the effects of known or novel drugs and test causal relationships of putative risk factors with cSVD. Furthermore, better knowledge of the genetic contribution to cSVD could help with identification and stratification of individuals who are at high risk of cSVD and could benefit from tailored preventive interventions (**Fig. 1**).

In the past 10 years, large, international collaborative work based on high-throughput genotyping has driven major progress in the identification of common genetic risk variants that underlie cSVD. In this Review, we provide a detailed overview of the current knowledge on genetic variants associated with common cSVD, encompassing cSVD-related stroke (ischaemic and haemorrhagic) and MRI-defined covert cSVD. We will not discuss single-gene disorders that cause cSVD, which have been reviewed in detail elsewhere²⁷⁻²⁹. We first focus on the discovery of genetic variants associated with cSVD-related stroke and MRI-defined covert cSVD before considering the shared genetic variation between cSVD phenotypes and vascular risk factors, and exploring the continuum between monogenic and multifactorial forms of cSVD. Finally, we discuss the rapidly accumulating Mendelian randomization studies of cSVD and reflect on the clinical and therapeutic implications of common cSVD genomics research.

[H1] Discovery of genetic risk loci

In this section, we summarize the discovery of genetic risk loci for common cSVD, focusing on robust results derived from large datasets and state-of-the-art methodology, mostly genome-wide association studies (GWAS, **Box 1**). We also highlight pilot studies of exome chip and next-generation sequencing approaches to explore rare genetic risk variants for cSVD.

[H2] cSVD-related stroke

cSVD-related stroke encompasses several distinct but correlated entities: small vessel stroke (SVS; also called lacunar stroke), which results from small subcortical brain infarcts with or without associated moderate to severe confluent leukoaraiosis³⁰; lobar intracerebral haemorrhage (ICH), which originates at the cortex or cortico-subcortical junction and is commonly associated with cerebral amyloid angiopathy; and non-lobar ICH, which originates in deep structures of the cerebral hemispheres, the brainstem and the cerebellum, and is typically associated with hypertensive vasculopathy³¹. Characterization of the genetic determinants of cSVD-related stroke has been challenging owing to the clinical heterogeneity of the condition and the small size of well-characterized datasets.

Work by large international consortia, such as the International Stroke Genetics Consortium (ISGC), has led to substantial progress in the discovery of genes that underlie cSVD-related stroke. To date, GWAS have identified two risk loci for the occurrence of ICH (one for lobar ICH and one for non-lobar ICH), two risk loci associated with ICH volume (one for lobar ICH and one for non-lobar ICH)³¹⁻³³ and seven risk loci for SVS³⁴⁻³⁸ at the genome wide significance level ($P < 5 \times 10^{-8}$). The majority of these loci have been identified in populations of European ancestry (**Table 1**).

The discovery of risk loci for SVS has been substantially improved not only by an increase in sample size but also by MRI confirmation of SVS, which was shown to be more reliable than standard phenotyping³⁴. MRI-confirmed SVS is defined as a clinical lacunar syndrome with an anatomically compatible infarct on MRI (subcortical and \leq 15 mm in diameter)³⁹, either as a region of high intensity on diffusion-weighted imaging (acute) or as a region of low intensity on fluid-attenuated inversion recovery (FLAIR) or T1 imaging (non-acute)¹³, and the absence of causes of stroke other than cSVD³⁴.

Genetic discoveries were further improved by the introduction of multivariate analyses based on the multi-trait analysis of genome-wide association summary statistics (MTAG)⁴⁰; this approach involves joint analysis of multiple (genetically correlated) traits, which increases statistical power to detect genetic associations for each trait (**Box 1**). With the use of this method, two additional risk loci were revealed for ICH³³ in a joint analysis with SVS, and seven additional risk loci for SVD in a joint analysis with WMH³⁴ (**Table 1**). Most of the identified risk loci for cSVD-related stroke require definitive confirmation in independent follow-up samples, even though rigorous methodology was used. Particular caution is warranted for the additional loci derived from MTAG, as this approach relies strongly on the assumption that the associated loci act on both traits⁴⁰, so additional sensitivity analyses are required to test the relative contribution of the included traits.

When univariate and multivariate findings are combined, and the fact that three loci are shared between ICH and SVS (at chr1q22, chr2q33 and chr13q34) is taken into account, a

total of 17 independent risk loci for cSVD-related stroke have been identified to date. At nearly all of these loci, the lead risk variants are common variants (minor allele frequency \geq 5%), except for one low-frequency variant (minor allele frequency 3%) at chr22q13 associated with haematoma volume of lobar ICH³².

With the possible exception of risk loci in or near genes that are known to cause Mendelian forms of cSVD (for example, the *COL4A1–COL4A2* and *HTRA1* loci), which are discussed in detail below, the biology that underlies the genetic associations with cSVDrelated stroke is still uncertain and requires further investigation. Indeed, although risk loci are often labelled with the name of the gene that is nearest to the lead risk variant, the gene through which risk variants exert their effects could be more distant and cannot be identified with certainty without extensive functional explorations. One such functional exploration is the combination of GWAS findings with those from gene expression quantitative loci eQTL studies (**Box 1**) in transcriptome-wide association studies (TWAS; **Box 1**), which can provide important information about putative causal genes. Use of this approach has suggested that the gene closest to the GWAS top hit is often not the causal gene⁴¹.

A combination of transcriptome-wide association studies⁴² and colocalization analyses (**Box 1**)⁴³ has been used to gain insight into specific genes associated with SVS. These studies rely on existing gene expression databases in brain and vascular tissues that were not specifically designed for the study of cSVD⁴⁴. Nevertheless, they provide important information that enables loci identified in GWAS (some of which encompass a large number of genes) to be refined to a limited set of putative causal genes that can be prioritized for functional follow-up. This approach has indicated that the expression level of several genes is associated with SVS: *SLC25A44* at chr1q22, *ULK4* at chr3p22 and *ICA1L, FAM117B, CARF* and *NBEAL1* at chr2q33³⁴.

SLC25A44 encodes a mitochondrial solute transporter of branched-chain amino acids³⁴. Interestingly, another locus associated with SVS also contains the solute carrier gene *SLC39A13* — this gene encodes a zinc transport transmembrane protein, mutation of which causes spondylocheirodysplastic Ehlers-Danlos syndrome, an inherited connective tissue disorder with rare vascular complications⁴⁵. Solute carriers are known to play a major role in cerebrospinal fluid secretion and transport of various substances at the blood–cerebrospinal fluid barrier⁴⁶ and the blood–brain barrier⁴⁷. Their expression is enriched in human blood– brain barrier tissue and they are thought to have a role in xenobiotic transport^{48,49}. Solute carriers could, therefore, be relevant in cSVD because dysfunction of the blood–brain barrier is thought to be an important feature of the condition and to play a deleterious role by promoting inflammation, inhibiting myelin formation and repair processes, and contributing to stiffening vessel walls¹².

ULK4 encodes a serine–threonine protein kinase³⁴ that has a role in neurogenesis in mice⁵⁰ and deficiency of which results in hypomyelination⁵¹. In *UKL4* mutant mice, major transcription factors involved in oligodendrogenesis are downregulated and myelination is reduced by 50%, demonstrating that *ULK4* is an important regulator of myelination⁵¹. Neuropathological and experimental studies suggest that myelin injury is an important and early feature of cSVD^{52,53}. At the chr2q33 locus, TWAS and colocalization analyses suggested that expression levels of both *ICA1L* and *NBEAL1* are associated with cSVD, in brain tissue for *ICA1L* and vascular tissue for *NBEAL1*⁴⁴. *ICA1L* encodes islet cell autoantigen 1 like and mutations in this gene cause juvenile amyotrophic lateral sclerosis⁵⁴. *NBEAL1* encodes neurobeachin-like 1 protein, which regulates cholesterol metabolism by modulating expression of low-density lipoprotein-receptor expression⁵⁵. Further studies are needed to explore the potential functional links of these genes with cSVD.

We have deliberately chosen not to discuss candidate gene association studies (**Box 1**) owing to methodological flaws in the majority of these studies. Nevertheless, two risk loci for cSVD-related stroke that were identified by GWAS had already been described in large candidate gene association studies. These were the association of the *APOE* ϵ 2 and ϵ 4 alleles (at chr19q13) with an increased risk of ICH⁵⁶ and the association of the *COL4A1–COL4A2* locus (at chr13q34) with ICH^{57,58} and SVS⁵⁸.

[H2] MRI markers of covert cSVD

Large collaborative projects conducted by the Cohorts for Heart and Aging Research in Genomic Epidemiology (CHARGE) consortium and the ISGC in combination with the large UK Biobank brain imaging dataset have led to substantial progress in the discovery of genes associated with MRI markers of covert cSVD. Genetic associations with WMHs are the most extensively studied. Some studies have also been done to explore the genetic underpinnings of MRI-defined brain infarcts, lacunes, cerebral microbleeds and DTI markers of white matter integrity, such as fractional anisotropy and mean diffusivity. These DTI markers are less specific than the aforementioned, more traditional MRI markers of cSVD but can reveal alterations at a young age, and some evidence suggests that they precede the occurrence of WMHs in cSVD⁵⁹. Overall, GWAS of quantitative MRI markers of cSVD traits (WMHs and DTI metrics) that largely rely on automated measurements have been better powered than similarly sized GWAS of binary traits (brain infarcts, lacunes and cerebral microbleeds) that are assessed with semi-quantitative visual rating.

To date, GWAS have identified a total of 44 independent genetic risk loci for MRI markers of covert cSVD at the genome wide significance level, in 35 regions (multiple independent signals have been identified at some loci). Twenty-nine genomic regions are associated with larger WMH volume^{21,22,44,60-63}; two independent signals were identified in four of these regions and three independent signals were identified in one region, leading to 34 independent associations. Two loci, at NOS3 (chr7q36) and TSPAN14 (chr10q23) were specifically associated with periventricular WMH volume (not with overall or deep WMH volume) at genome-wide significance (Table 2). Seven genomic regions are associated with reduced fractional anisotropy, and two independent signals were identified in one of these regions, leading to eight independent loci²¹; six loci are associated with higher mean diffusivity²¹, one with the risk of cerebral microbleeds⁶⁴ and two with the risk of brain infarcts⁶⁵ (Table 2). Again, associations were observed predominantly in populations of European ancestry and all lead single-nucleotide polymorphisms (SNPs) were common variants. According to gold standards, replication of these associations in independent samples of adequate size is required for definitive confirmation, but maximizing the size of discovery samples has so far been prioritized.

The biology underlying genetic associations with MRI markers of covert cSVD remains largely elusive. However, TWAS combined with colocalization analyses have provided preliminary evidence that expression of many genes is associated with WMH volume: *CALCRL* at chr2q32; *ICA1L, CARF, NBEAL1* and *KRT8P15* at chr2q33; *DEGS2* and *CCDC88C* at chr14q32; *DCAKD, NMT1, NT5C3B* and *STAT5B* at chr17q21; and *TRIM47, TRIM65, WBP2 and RP11-552F3.9* at chr17q25^{21,44}.

CALCRL encodes a component of the calcitonin gene-related peptide receptor (CGRP), and low levels of the *CALCRL* transcript in arterial and nerve tissue and high levels in blood were associated with larger WMH volume. At the chr2q33 locus, three of the identified genes⁴⁴ are the same as genes identified in the SVS (*ICA1L, CARF* and *NBEAL1*)³⁴. *DEGS2* encodes a bifunctional enzyme involved in the biosynthesis and metabolism of sphingolipids, which have been associated with white matter integrity⁶⁶ and stroke outcome ⁶⁷, and a common missense variant in *DEGS2* is associated with cognition in schizophrenia⁶⁸. *CCDC88C* encodes a coiled-coil domain-containing protein that acts as a negative regulator of the Wnt signalling pathway, mutations of which are known to cause autosomal recessive hydrocephalus⁶⁹. The canonical Wnt–β-catenin pathway plays an important role in regulating blood–brain barrier integrity and function⁷⁰, is involved in response to vascular lesions or ischaemic events in vascular cells⁷¹, and seems to be involved in oligodendrogenesis and myelination⁷², all of which are factors in cSVD. More work is needed to fully understand the functional roles of these genes in cSVD.

The chr17q21 region is intriguing, as it lies within a region of high linkage disequilibrium that spans ~1.8 megabases and contains a 900 kb inversion polymorphism that encompasses MAPT (which encodes microtubule associated protein tau) and other genes, including CRHR1 and KANSL173. This inversion polymorphism has been associated with brain disorders⁷⁴⁻⁷⁹, cognitive⁸⁰ and behavioural⁸¹⁻⁸³ phenotypes and brain MRI markers⁸⁴⁻⁸⁶. The lead WMH risk variants at chr17q21 are located upstream of the inversion polymorphism, in an intron of NMT1 and near DCAKD, NT5C3B and STAT5B, whereas the lead mean diffusivity risk variant in this region lies in an intron of MAPT. Interestingly TWAS and colocalization analyses revealed transcriptome-wide associations of WMH volume not only with NMT1, DCAKD, NT5C3B and STAT5B but also with MAPT, CRHR1 and KANSL1^{21,44}, highlighting the distant cis-effect conferred by an SNP-eQTL pair. DCAKD encodes dephospho-CoA kinase domain containing protein and has a putative role in neurodevelopment⁸⁷. *NMT1* encodes N-myristoyltransferase 1, which is thought to affect astrocyte function⁶¹ and is involved in early embryogenesis⁸⁷. eQTL analyses have indicated that DCAKD and NMT1 are also associated with fractional anisotropy and mean diffusivity in multiple white matter tracts⁸⁷. Chromosomal rearrangements are common in this dynamic region^{88,89}, so the study of structural variants could provide insight into the risk architecture of this pleotropic and complex genetic locus.

The chr17q25 locus is the most significant risk locus for MRI markers of covert cSVD, specifically for WMH volume, and its association has been replicated in multiple independent European and Japanese samples⁹⁰⁻⁹². In this gene-rich region (40 genes within 500 kb of the lead variant), *TRIM47*, *TRIM65*, *WBP2* and *RP11-552F3*.9 are the only significantly associated genes in TWAS and colocalization studies^{21,44}, which makes them prime candidates for causal genes (**Box 1**). *TRIM47* and *TRIM65* encode E3 protein ligases, which are crucial regulatory proteins in multiple signalling pathways. *WBP2* is involved in the aforementioned Wnt– β -catenin signalling pathway. Extensive functional studies are warranted to identify the causal gene or genes at this locus. Use of exome chip data⁹³ has also revealed an association of two low-frequency missense variants in *MRPL38*, which is located at chr17q25.1, with WMH burden⁹³, but this association requires confirmation.

Finally, data from a study published in 2020 provide important insight into the lifetime effects of genetic risk factors for cSVD⁴⁴. Risk variants associated with extensive WMH volume in old age (in aggregate and individually, most prominently a variant in *VCAN*) were already associated with subtle changes in white matter microstructure detectable with diffusion-weighted imaging in adults in their twenties. This finding suggests that biological pathways contributing to WMHs in old age already have an impact on brain microstructure at a very young age⁴⁴. The changes could reflect a very early stage of cSVD or could indicate pleiotropy between genes associated with cSVD and those that influence white matter maturation, which peaks in early adulthood.

[H2] Biological insights

More work is required to precisely decipher the molecular pathways that underlie the observed genetic associations with cSVD, but the existing data provide some preliminary indications. However, several biological processes are implicated by genetic associations, including the structure and function of the extracellular matrix (ECM), myelination and membrane transport (**Table 3**). In addition, some genes associated with cSVD are also associated with other brain disorders, such as Alzheimer disease and psychiatric disorders, suggesting either mechanistic overlap or genetic pleiotropy.

[H3] Molecular pathways

A considerable number of genetic associations point to an important role for genes that are involved in the structure and function of the extracellular matrix (ECM; **Table 3**), including: genes that can harbour common variants that predispose to cSVD or rare mutations that cause monogenic cSVD (COL4A2 and HTRA1); genes that TWAS and colocalization analyses suggest are causally implicated in cSVD (EFEMP1, ADAMTSL4, VCAN); and genes that are in the immediate vicinity of loci associated with cSVD and covert cSVD (GPR126, LOX, SH3PXD2A, NID2, FBN2 and HBEGF). The roles of these genes in the ECM indicate overlap with mechanisms of monogenic SVD, even for genes that are not known to harbour mutations that cause monogenic cSVD. For example, in cerebral autosomal recessive arteriopathy with subcortical infarcts and leukoencephalopathy (CARASIL; a form of monogenic cSVD caused by HTRA1 mutations), accumulation of versican core protein, encoded by VCAN, has been observed in the thickened arterial wall⁹⁴. Similarly, application of heparin-binding EGF-like growth factor, which is encoded by HBEGF, in mouse a model of CADASIL (the most common monogenic cSVD caused by NOTCH3 mutations) rescued reduced myogenic responses of pial and parenchymal arteries through functional upregulation of K_v1 channels at the plasma membrane of arterial smooth muscle cells⁹⁵. Thus, in line with converging evidence from monogenic SVD, perturbations of the ECM also seem to play a central role in common cSVD pathophysiology⁹⁶.

Specifically, perturbations of the cerebrovascular matrisome have been proposed as a convergent pathological pathway in monogenic cSVD and preliminary indirect evidence suggests that this also applies to common cSVD⁹⁶. The term matrisome refers to the ensemble of proteins that make up the ECM together with proteins that are associated with the ECM^{96,97}, which seem to be expressed in an organ-specific pattern. Preliminary characterization of the matrisome of pial arteries and brain microvessels indicates the presence of several proteins encoded by genes associated with cSVD (*COL4A2, HTRA1, ADAMTSL4, NID2, FBN2* at pial arteries and *COL4A2, VCAN, NID2* at microvessels), and others by genes (*EFEMP1* and *LOX*) that are involved in the cerebrovascular matrisome⁹⁶.

Risk loci for common cSVD also indicate involvement of genes that are known to be involved in myelination: *ULK4*, *VCAN* and *ADGRG6* (**Table 3**). As described above, *ULK4* is an important regulator of myelination⁵¹. Mutations of *adgrg6* in zebrafish and mice lead to

Schwann cell myelination dysfunction in the PNS^{98,99} and VCAN encodes versican core protein, which can form complexes that inhibit oligodendrocyte maturation and affect myelination¹⁰⁰. Combined TWAS and colocalization analyses have also identified significant associations of *ULK4* and *VCAN* expression with cSVD in relevant tissues^{51,100}, indicating causal involvement of these genes. Interestingly, in zebrafish, mutations of *adgrg6* lead to a failure to down-regulate *versican*^{101,102}, indicating that *ADGRG6* might be involved via downstream effects on *VCAN* expression.

Several cSVD lead risk variants also involve genes that encode membrane transport proteins, including ion channel proteins (*KCNK2*, encoding a potassium channel expressed most prominently in fetal brain) and the solute carrier proteins (*SLC25A44*, *SLC39A13*) discussed above^{34,44} (**Table 3**). Interestingly, in a genetic model of cSVD (CADASIL), potassium channelopathy-like defects were shown to underlie early-stage cerebrovascular dysfunction, although these did not directly involve *KCNK2*⁹⁵. Further research is needed to explore the possible involvement of these genes in cSVD.

Analysis of brain-specific, single-cell expression data in mice has revealed that genes within loci associated with WMHs are enriched in endothelial cells⁴⁴. Interestingly, endothelial cell dysfunction has been described in the spontaneously hypertensive stroke-prone rat model of cSVD, — vascular tight junctions were compromised, and endothelial dysfunction impaired oligodendrocyte maturation and, consequently, myelination, and increased microglial activation¹⁰³. Nominally significant enrichment of genes within WMH risk loci has also been observed in vascular leptomeningeal cells, oligodendrocytes, oligodendrocyte precursors and ependymal astrocytes⁴⁴, suggesting that these cell types are also involved in cSVD pathophysiology.

[H3] Associations with other brain disorders

Several risk loci associated with covert cSVD are near genes that have a role in the pathogenesis of Alzheimer disease (AD) or psychiatric disorders, which can be associated with features of cSVD. For AD, these loci include *APOE*, *ECHDC3*, *HAAO*, *MAPT* and *SH3PXD2A*. The *APOE* ɛ4 allele is the most important genetic risk factor for AD¹⁰⁴. *ECHDC3* has been associated with AD in multi-ancestry GWAS and its expression is altered in the brains of people with AD compared with controls without AD^{105} . *HAAO* is involved in the kynurenine pathway, which has been implicated in the pathogenesis of neurodegenerative disorders, including AD^{106} . *MAPT* encodes microtubule associated protein tau, which is a key protein in AD pathogenesis¹⁰⁷. *SH3PXD2A* is involved in neurotoxicity of amyloid- β , which is widely accepted as the pathological protein that drives AD^{108} . However, whether these associations reflect pleiotropy, whereby some genetic risk loci independently influence cSVD and AD, or whether they point to shared mechanisms or mediating effects between both diseases cannot be inferred from these data^{23,44}.

Some loci identified in TWAS analyses as being associated with cSVD have also been associated with psychiatric disorders²¹. Most of them (*PLEKHM1*, *CRHR1–IT1*, *DND1P1* and *KANSL1–AS1*) are located in the chr17q21 region described above and have been associated with depressive symptoms and neuroticism¹⁰⁹. Others (*BTN3A2¹¹⁰*, *MICA¹¹¹* and *ZNF165¹¹¹*) have been associated with schizophrenia. These genes were mainly associated with fractional anisotropy and mean diffusivity²¹, suggesting that DTI markers could capture alterations in brain networks that are involved in the genesis of psychiatric disorders. How these genes may be involved in cSVD requires further investigation.

[H1] Shared genetic variation

Use of linkage disequilibrium score regression (**Box 1**) has revealed significant genetic correlation (reflecting shared genetic determinants at the genome-wide level) between WMH volume and all stroke, ischaemic stroke, SVS, and lower general cognitive function⁴⁴. Strong evidence also indicates significant shared genetic risk factors between WMH, fractional anisotropy and mean diffusivity²¹.

Considerable overlap also exists between the genome-wide significant risk loci associated with different cSVD-related phenotypes (**Fig. 2A**). From a total of 52 independent genome-wide significant risk loci for cSVD phenotypes, 34% were associated with at least two distinct cSVD traits at the genome-wide significance level. Some genomic regions are particularly pleiotropic: the chr16q24 locus at *ZCCHC14* is associated with WMH^{21,44}, fractional anisotropy²¹ and SVS^{36,37,112}; the chr19q13 locus at *APOE* is associated with WMHs^{21,44} ICH³¹ and cerebral microbleeds⁶⁴; the chr2q33 locus at *ICA1L*, *CARF* and *NBEAL1* is

a risk locus for fractional anisotropy, WMH^{21,44,61} and ICH³³; and the chr5q14 locus at VCAN is associated with WMHs, fractional anisotropy and mean diffusivity²¹.

We systematically explored the overlap between published genome-wide significant risk loci for cSVD (brain infarcts, cerebral microbleeds, fractional anisotropy, mean diffusivity, ICH and SVS) and variants associated with established vascular risk factors (systolic blood pressure (SBP), diastolic blood pressure (DBP), pulse pressure¹¹³, type 2 diabetes mellitus¹¹⁴, low-density lipoprotein [LDL] cholesterol, high-density lipoprotein [HDL] cholesterol, triglycerides¹¹⁵, BMI¹¹⁴ and lifetime smoking index)¹¹⁶. Briefly, variants located in close proximity (±250 kb) to the lead cSVD risk variants and variants in moderate to high linkage disequilibrium ($r^2 > 0.5$) with these lead SNPs were explored for significant associations with the vascular risk factors, while accounting for the number of independent cSVD risk variants and vascular risk factors tested (Fig. 2B). Of the 29 WMH risk loci, 18 (62%) were significantly associated with at least one other vascular risk factor^{21,44}, especially blood pressure traits (59%), most often at genome-wide significance. A much smaller proportion (25–29%) of overlap was observed for fractional anisotropy and mean diffusivity (Fig. 2B), a finding that can at least partly be attributed to the smaller sample size available for these traits. The cSVD risk locus with the most prominent 'vascular pleiotropy' was at chr2q33 (ICA1L, CARF and NBEAL1) and was significantly associated with smoking, BMI, type 2 diabetes mellitus, lipid levels and blood pressure (Fig. 2B).

Importantly, the relationship of pleiotropic loci with cSVD and vascular risk factors is not necessarily driven by the same causal variant and does not imply mediation of the genetic association with cSVD by the vascular risk factors. Moreover, 25 of 52 cSVD risk loci are not shared with any vascular risk factor, suggesting novel mechanistic pathways. These loci are involved in organization of the ECM (*HTRA1, NID2, VCAN, LOX, FBN2, GPR126*), myelination (VCAN, GPR126), membrane transport (*KCNK2*), and loci that are known to be target genes for microRNA-mediated silencing (*DENND1B, KCNK2, ZDHHC20, ZCCHC14, FOXQ1, NID2, VCAN*)¹¹⁷.

Of note, brain MRI features of cSVD with or without clinical symptoms are not entirely specific for underlying cSVD. For example, in rare instances, lacunes or SVS could be caused by emboli that originate from undetected cardiac sources or parent artery atheroma. GWAS have identified no overlap of genome-wide significant risk loci for SVS with cardioembolic

stroke, large artery stroke or atrial fibrillation³⁶, but significant genetic correlation was observed between large artery stroke and SVS, suggesting that some genetic contribution to these phenotypes is shared¹¹⁸. Further research is needed to differentiate causally distinct subtypes of lacunes and SVS, and use of more descriptive terminology that does not imply aetiology has been recommended; for example, 'recent small subcortical infarcts' to refer to neuroimaging evidence of recent infarction in the territory of one perforating arteriole¹³. Composite brain imaging phenotypes that combine lacunes and recent small subcortical infarcts for cSVD^{30,119}.

[H1] Continuum with monogenic cSVD

Mounting evidence suggests a continuum between the genetic causes of monogenic cSVD and of common cSVD²⁷. In particular, genetic variants that affect the *HTRA1* and *COL4A1– COL4A2* loci have been associated with monogenic and common forms of cSVD^{120,121}.

Mutations in *HTRA1* cause CARASIL, a rare autosomal recessive form of cSVD that is caused by loss of function of *HTRA1* and has been reported mainly in the Japanese population⁹⁴, and *HTRA1*-autosomal dominant cSVD, caused by dominant negative mutations that lead to decreased serine protease HTRA1 activity¹²². Mutations in the *COL4A1* and *COL4A2* genes lead to impaired deposition of collagen in vessel walls and can cause collagen 4A1/A2 microangiopathy, an autosomal dominant cSVD that was initially thought to primarily cause ICH. However, the spectrum of phenotypes caused by *COL4A1* and *COL4A2* mutations seems to be very broad, including specific entities such as hereditary angiopathy, nephropathy, aneurysms and cramps (HANAC), pontine autosomal dominant microangiopathy and leukoencephalopathy (PADMAL), and multi-infarct dementia of the Swedish type^{27,123,124}.

Common variants in or near *HTRA1* and the *COL4A1–COL4A2* locus have been associated at the genome-wide significance level with WMH burden (*COL4A1–*COL4A2)^{21,44,60,61}, with ICH in multi-trait analyses with SVS (*COL4A1–COL4A2*)³³ and with SVS in multivariate analyses accounting for WMH (*COL4A1–COL4A2* and *HTRA1*) (**Tables 1 and 2**)³⁴. In addition, well-powered candidate gene studies had previously identified associations of common variants at the *COL4A1–COL4A2* locus with ICH^{57,58}, SVS⁵⁸ and WMH burden¹²⁵,

and of a common variant near *HTRA1* (also an eQTL of *HTRA1*) with SVS and a composite MRI-defined extreme cSVD phenotype with extensive WMH burden and/or presence of lacunes¹¹⁹. In the largest published GWAS for stroke, which involved >67,000 patients, common variants at the *HTRA1* and *COL4A1–COL4A2* loci were also associated with any stroke^{36,126}, probably reflecting an underlying contribution of cSVD.

Another locus that causes monogenic cSVD and is associated with common cSVD is the *SH3PXD2A–STN1* locus at chr10q24. Mutations in *STN1* cause cerebroretinal microangiopathy known as Coats-plus syndrome¹²⁷. Common variants at the *SH3PXD2A– STN1* locus have been associated at the genome-wide significance level with WMH burden, mean diffusivity, and SVS in a multitrait GWAS with WMH burden (**Tables 1 and 2**).

Published GWAS of cSVD phenotypes have not revealed any genome-wide significant associations with common variants in or near NOTCH3, mutation of which causes cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy (CADASIL), the most prevalent monogenic form of cSVD²⁷. However, a population-based candidate gene studyidentified a nominally significant association of common variants in or near the NOTCH3 locus with WMH volume and WMH progression in participants with hypertension¹²⁸, and a candidate whole-exome sequencing study demonstrated a significant association between the burden of protein-modifying rare or low-frequency variants in NOTCH3 and extreme cSVD in people aged \geq 65 years¹¹⁹. In addition, cysteine-altering rare variants in NOTCH3 that are typical in CADASIL are much more common in community exome biobanks (1 in 300) and in elderly people in the community (1 in 300-500)^{119,129-131} than expected on the basis of the reported prevalence of CADASIL (~4 in 100,000^{120,121}), especially variants in EGFr domains 7-34 of NOTCH3, which are associated with milder CADASIL phenotypes than mutations in EGFr domains 1–6, which cause severe CADASIL phenotypes¹³⁰. Interestingly, in over 200,000 participants of the population-based UK Biobank, these 'asymptomatic' cysteine-altering NOTCH3 variants were associated with an increased risk of stroke and vascular dementia, increased WMH volume and an increased prevalence of lacunes and cerebral microbleeds¹³¹, accounting for an increased burden of apparently 'sporadic' stroke and dementia¹³¹.

Another important locus that has been associated with both monogenic and multifactoral cSVD is *FOXF2–FOXC1*. Common variants near *FOXF2* have been associated with

all stroke, with a strong indication that the signal was driven by underlying cSVD¹³², and with SVS at genome-wide significance in a multitrait analysis with WMH³⁴. *FOXF2* is located near *FOXC1*, mutations or copy number variations in which cause Axenfeld–Rieger syndrome, a rare monogenic ophthalmological condition co-occurring with cerebral lesions seen in cSVD. The WMH burden is nearly tenfold greater among patients with segmental deletions that encompass both *FOXC1* and *FOXF2* than in patients with deletions of *FOXC1* only¹³², suggesting involvement of *FOXF2* in cSVD pathogenesis. Further supporting this conclusion, deletion of *Foxf2* in adult mice leads to cerebral infarction, reactive gliosis and microhaemorrhage, and cerebral vessels of mutant *foxf2b^{-/-}* zebrafish have decreased smooth muscle cell and pericyte coverage, suggesting that the mutation leads to defects in differentiation of cerebral vascular mural cells¹³². Interestingly, although *FOXF2* does not directly encode an ECM protein, its protein product, forkhead box protein F2 (FOXF2) is also involved in vascular stability¹³²⁻¹³⁵ and blood–brain barrier formation¹³⁶. In the context of cancer, FOXF2 modulates the degradation of the ECM by activating metalloproteinase inhibitor 3 (TIMP3)¹³⁷, which has an important role in CADASIL pathophysiology⁹⁶.

[H1] Mendelian randomization studies

Mendelian randomization is an instrumental variable analysis that can be used to investigate the likelihood that an exposure contributes to the causal pathway of a specified outcome (**Box 2**)¹³⁸. Numerous Mendelian randomization studies have been done to explore the causal relationships of putative risk factors and biomarkers with cSVD, and the list is continuously growing (**Fig. 3**). We acknowledge that the following summary of Mendelian randomization studies might soon be outdated, given the rapid growth of this body of literature. Moreover, we have not systematically reported how potential biases in estimating the causal inference have been addressed, as methods used differ across studies and a comprehensive description of these is beyond the scope of this Review.

Mendelian randomization has been used in a number of studies to explore the causal relationship between vascular and lifestyle risk factors and cSVD phenotypes. Although these factors are established risk factors for other common types of ischaemic stroke, especially large artery stroke, their relationship to cSVD-related stroke and MRI-defined covert cSVD has been controversial. The most undisputed association, which has been confirmed by Mendelian randomization approaches^{44,139}, is the strong relationship between high blood pressure and most cSVD phenotypes. Importantly, genetically predicted systolic and diastolic blood pressure were associated with WMH volume even in participants without clinically defined hypertension (systolic blood pressure \geq 140 mmHg, diastolic blood pressure \geq 90 mmHg or antihypertensive drug intake), highlighting that higher blood pressure is likely to be causally associated with covert cSVD even at blood pressures below the thresholds that typically define hypertension⁴⁴.

cSVD phenotypes also seem to be associated with genetically determined lipid levels, but these associations are more complex. Mendelian randomization studies have shown that genetically predicted higher levels of HDL-cholesterol are associated with a lower risk of SVS^{36,140,141} and, in some studies, lower WMH burden¹⁴¹. However, genetically predicted higher levels of triglycerides were associated with a higher risk of SVS and cerebral microbleeds^{64,140,141}. Genetically determined high levels of LDL-cholesterol have been associated with an increased risk of SVS and a lower risk of ICH in some studies^{140,142} but not all^{34,141,143}. Single studies have identified significant associations of genetically predicted higher levels of lipoprotein (a)¹⁴⁴ and apolipoprotein A-l¹⁴⁰ with a lower risk of SVS and higher levels of apolipoprotein B with an increased risk of SVS¹⁴⁰.

Mendelian randomization studies have also been done to explore the relationship between type 2 diabetes mellitus and cSVD. Several studies of partly overlapping cohorts of patients with SVS have shown associations between genetically predicted type 2 diabetes mellitus and SVS^{34,36,145-147} and no significant association of diabetes with ICH^{145,147}. The largest of three studies^{44,145,147} showed a significant association of genetically predicted type 2 diabetes mellitus with larger WMH volume, although residual pleiotropy was observed after removal of outlier variants. In the UK Biobank, genetically predicted type 2 diabetes mellitus has been associated with lower mean fractional anisotropy^{145,147}.

Mendelian randomization studies have also identified at least one significant association of several other factors with cSVD, including other vascular risk factors^{36,44,64,65,125,145-149}, lifestyle factors such as diet^{36,44,150-154}, comorbidities^{36,44,155,156} and some circulating biomarkers¹⁵⁷⁻¹⁶¹ (**Fig. 3**). In most of these Mendelian randomization studies, twosample Mendelian randomization has been used (**Box 2**), and where several studies have been published on the same exposure–outcome relationship, they were often based on the same or overlapping datasets. Discrepancies in results between studies are mostly related to

variation in the power of the summary statistics used for the outcome (for example, use of results from the earlier METASTROKE GWAS or the more recent MEGASTROKE SVS GWAS), in the definition of genetic instruments, or in the extent to which residual pleiotropy or reverse causation was addressed.

[H1] Clinical and therapeutic implications

Clinical interpretation of the aforementioned genetic risk loci for cSVD requires caution, as most of them need independent replication and/or functional validation in future studies. Transitioning from discovery of genetic risk loci to robust identification of affected genes and pathways that underlie cSVD pathophysiology is one of the major challenges ahead. This process is likely to require various complementary approaches, such as next-generation sequencing, multiancestry fine-mapping, systems genetics, multiomics, single-cell genomics, bioinformatics approaches and, importantly, experimental follow-up. Such experimental follow-up could range from high-throughput assays that provide functional readouts for putative regulatory elements and variants, such as Massively Parallel Reporter Assays (MPRA)¹⁶², to elaborate, customized experiments in various model organisms, such as zebrafish or mice¹³². Below, we consider the potential of common cSVD genomics for eventual clinical and therapeutic application.

Although still in its infancy, bioinformatics and experimental follow-up of loci identified in GWAS can inform drug development, with the potential to accelerate drug discovery through the identification of novel drug targets, indirect measurement of drug effects using genetic instruments, and by providing evidence for drug repositioning (**Fig. 1**)^{26,163}. Strong bioinformatics and preliminary experimental evidence support the involvement of several genes in the pathophysiology of cSVD that are currently being followed up experimentally to confirm their involvement and, when relevant, explore their potential as drug targets for cSVD. Moreover, increasingly rich molecular and bioinformatics resources are being used to generate additional evidence on the cSVD risk loci identified to discover further candidates for experimental follow-up.

With the increasing popularity of Mendelian randomization, there is also growing interest in the use of genetic instruments to mimic the effect of drugs of interest²⁵. In this approach, genetic instruments from genetic regions involved in the production of a drug

target are prioritized when constructing instruments for a given exposure (for example *ACE*, which encodes a target for ACE inhibitors which lower blood pressure, would be prioritized when constructing instruments for high blood pressure)¹⁶⁴. It has been estimated that genetic support of drug effects through such Mendelian randomization approaches could double the success rate of clinical trials²⁵. Mendelian randomization can also be used as an indication for preferential effects of certain drug classes on a given phenotype, keeping in mind methodological limitations and caveats and the need for validation in a trial setting. For instance, genetic proxies for calcium channel blockers — that is, in genetic instruments that mimic the effects of calcium channel blockers — but not β -blockers were associated with a lower risk of SVS and WMH¹³⁹, though some evidence indicated an association with diverticulosis¹⁶⁴. Similarly, genetic proxies for cholesterylester transfer protein (CETP) inhibitors (which raise levels of HDL) were shown to be associated with a lower risk of SVS and lobar)¹⁴¹. Confirmation of these findings in a clinical trial setting is required.

Mendelian randomization can also be used to explore pathways of therapeutic relevance. For example, Mendelian randomization studies have shown that a predisposition to β -cell dysfunction was associated with SVS and ICH, which might have implications for use of anti-diabetic treatments to target these mechanisms¹⁴⁵. A Mendelian randomization approach based on data from the MEGASTROKE GWAS identified a significant association between a weighted genetic risk score for venous thromboembolism and all ischaemic stroke subtypes except SVS, despite similar or larger power across subtypes, suggesting that thrombotic processes play a less important role in SVS. This insight has potential implications for therapeutic research on antithrombotic treatment in cSVD^{36,165}.

Drug target enrichment analyses can be used to seek evidence for drug repositioning opportunities. For instance, this approach has been applied to the largest WMH GWAS to quantify the enrichment of the 39 associated genes that emerged from the TWAS– colocalization analysis. Use of curated drug-target lists classified on the basis of the International Classification of Diseases 10 (ICD10)⁴⁴ showed that of the 39 genes, four (*MAPT*, *CRHR1, CALCRL* and *NOTCH4*) were registered as targets of approved drugs in the DrugBank database and the Therapeutic Target Database. *MAPT* is a drug target under investigation for neurodegenerative disorders and TWAS suggested that larger WMH volume is associated

with upregulated *MAPT* expression. CGRP, the product of *CALCRL*, is targeted by monoclonal antibodies in the treatment of migraine⁴⁴.

Findings from GWAS also have the potential to enable risk stratification and risk prediction of cSVD and its major complications (stroke and dementia)¹⁶⁶. In epidemiological studies, MRI markers of covert cSVD have repeatedly been associated with an increased risk of developing stroke (ischaemic and haemorrhagic) and dementia, including AD²³. Extensive WMH burden has also been shown to predict amyloid- β positivity after the age of 65 years, although the causal relationship between cSVD and AD was uncertain¹⁶⁷. Mendelian randomization has provided evidence for an association of larger genetically determined WMH volume with an increased risk of ischaemic stroke, intracerebral haemorrhage and Alzheimer type dementia, supporting causality⁴⁴. Covert cSVD, therefore, represents a major opportunity to detect individuals who are at risk of developing major neurological events and could benefit from targeted preventive interventions. However, risk stratification in people with cSVD is lacking, as is accurate, quantitative estimates of the ability of the full covert cSVD spectrum to predict the risk of stroke and dementia. This estimate needs to account for several methodological challenges, such as time-varying exposure, competing risk of death, and modifying factors such as coexisting neurodegenerative disease and vascular comorbidity. Integration of cSVD genetic risk data into risk stratification and risk prediction algorithms is becoming more and more relevant, as increasingly powerful genetic instruments are available that at least partly address the aforementioned challenges.

Recent work has highlighted the potential of polygenic risk scores (PRS) for prediction of common diseases^{168,169}. PRS aggregate small effects of independent genetic variants associated with a disease (at varying significance thresholds) into a single score, which reflects the cumulative genetic risk of a disease¹⁷⁰. For some common diseases, use of PRS has identified individuals with a risk equivalent to that for people with a monogenic mutation that causes the diseases; for example, PRS showed that 8% of the population with the largest number of risk alleles for coronary artery disease had a threefold higher risk of developing this condition than the remainder of the population¹⁷¹. A novel approach called metaGRS combines several PRS into the same model and enables greater risk discrimination, including for stroke¹⁷². UK biobank participants (from the general population) who had metaGRS scores in the top 0.25% for stroke and vascular risk factors also had a threefold higher risk of

developing ischaemic stroke during follow-up than the rest of the population¹⁷². Similar approaches could be efficient ways to model cSVD risk and could guide prevention approaches by identifying individuals who are at the highest risk of developing specific cSVD complications and could benefit from lifestyle modifications in a precision medicine setting¹⁷³ or could be enrolled in clinical trials to assess preventive interventions. The added value of genetic risk variants in the prediction of stroke or dementia above and beyond covert cSVD MRI markers still needs to be demonstrated, but genetic variants have the advantage of being measurable at increasingly low cost and throughout a lifetime, including before visible covert cSVD develops, so could enable very early detection of high-risk populations.

[H1] Conclusions and perspectives

In summary, through large international collaborative efforts, major progress has been made in deciphering the genetic underpinnings of cSVD. Fifty-two independent genetic risk loci for various cSVD-related phenotypes have been identified by combining large population-based and hospital-based cohorts with cutting-edge analytical approaches. Moreover, association statistics from published GWAS have been leveraged for a wide array of secondary analyses, such as assessment of shared genetic variation across cSVD phenotypes and exploration of causal pathways using Mendelian randomization. Although more research is required to derive clinical and therapeutic applications, these findings already provide extensive novel insight into the biological pathways that underlie cSVD, the roles of putative risk factors, the clinical significance of cSVD across the adult lifespan, and the potential implications for therapy. They also highlight the complexity of the cSVD spectrum by revealing that partly distinct genetic profiles underlie the different phenotypic expressions of the disease, and that a continuum exists between common variants associated with common cSVD and genes that harbour causal mutations for rare monogenic cSVD or other genes involved in monogenic cSVD pathophysiology.

Additional progress is to be expected in the near future as a result of multiple developments. First, to enhance genomic discovery, more cSVD genomic studies are needed that encompass exploration of emerging MRI markers of covert cSVD, such as dilated perivascular spaces and novel diffusion-based metrics. These markers also provide an opportunity to capture early mechanisms, as they can be detected and quantified much

earlier in life than traditional MRI phenotypes of cSVD. Preliminary results discussed above support such a lifespan approach. Second, the availability of next-generation sequencing (whole-exome and whole-genome sequencing) of increasingly large samples provides opportunities to discover relevant genetic variation other than single nucleotide variants, such as structural variants or short tandem repeats, and to maximize the fine-mapping potential of GWAS loci to identify causal variants. Third, cSVD genomics have been explored predominantly in populations of European ancestry to date, so expanding analyses to other ethnic groups, some of which have a considerably higher prevalence of cSVD than Europeans (for example, populations of African and East-Asian ancestry)¹⁷⁴⁻¹⁷⁶, will be crucial to establish the generalizability of associations and maximize the potential for fine-mapping and risk prediction¹⁷⁷. Finally, combining cSVD genomics with other molecular approaches (such as epigenomics, transcriptomics, proteomics and metabolomics) will be essential to capture the complex interplay of factors beyond DNA sequence that lead to disease¹⁷⁸. These combined approaches are currently being explored in the CHARGE and ISGC consortia¹⁷⁹, as well as in several funded initiatives, including COSTREAM, MarkVCID, Discovery or SHIVA.

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Author contributions

C.B. researched data for the article. All authors made substantial contributions to discussion of the content, wrote the article and edited and/or reviewed the manuscript before submission.

Competing interests

The authors declare no competing interests.

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Review criteria

For our literature review, we searched PubMed for papers published between January 2007 and March 2021. We focused on this period because we chose to focus on genome-wide association studies (GWAS), which were not available at earlier timepoints in the field of cerebral small vessel disease (cSVD). We considered only genetic associations with common cSVD phenotypes that reached genome-wide significance ($P < 5 \times 10^{-8}$). When several genome-wide significant associations on the same locus were reported in several GWAS, we present the lead single-nucleotide polymorphism (SNP) and association statistics of the GWAS performed on the largest sample size (largest number of cases for binary traits) but still report references of the other publications. Where several genome-wide significant associations with different SNPs have been identified in the same locus, we report only those that correspond to independent signals (linkage disequilibrium $r^2 < 0.1$); for correlated SNPs $(r^2 \ge 0.1)$, we selected the SNP with the lowest P value (if reported in the same study) or that from the GWAS performed on the largest sample size (if reported in two different studies). Results of candidate gene association studies were included if the studies were large (n > 500), robust methodology was used and the findings complement or support GWAS findings. Only peer-reviewed papers in English were considered. For Mendelian randomization studies, we searched the literature for publications that relate to cSVD phenotypes and include Mendelian randomization in the title or abstract. We also systematically screened all published GWAS of cSVD-related phenotypes for Mendelian randomization analyses.

Related links

COSTREAM <u>http://www.costream.eu/</u> MarkVCID <u>https://markvcid.partners.org/</u> Discovery <u>https://discoverystudy.org/</u> SHIVA <u>https://rhu-shiva.com/</u>

Key points

- Fifty-two independent genetic loci have been associated with cerebral small vessel disease (cSVD) at the genome-wide significance level, including loci associated with cSVD-related stroke and loci associated with covert, MRI-defined cSVD.
- In silico functional explorations of the observed genetic associations point to a major role of blood pressure-related pathways and mechanisms independent of vascular risk factors, such as extracellular matrix structure and function.
- Transcriptome-wide association studies have provided evidence for associations between one or several genes at a cSVD risk locus and the corresponding cSVD traits, enabling prioritization of putative causal genes for functional follow-up.
- Mendelian randomization studies have been conducted to investigate the causal link between various factors and cSVD-related phenotypes; a dedicated systematic review and meta-analysis is required to confirm some causal relationships.
- Preliminary results provide proof-of-concept that cSVD genomics can inform therapeutic strategies by providing genetic evidence for drug effects, indicating pathways with therapeutic relevance and revealing potential for drug repositioning.
- Use of high-throughput molecular approaches, such as epigenomics, transcriptomics, proteomics and metabolomics, will enable integration of genetic associations with functional data to decipher the biological roles of genetic risk loci in cSVD.

Table 1 | Risk loci associated with cerebral small vessel disease-related stroke at the

genome-wide significance level

Lead variant	Chromosome position	Risk allele	RAF	Nearest gene(s)	Population	Phenotype	Main ref ^a	Other ref ^a	
ICH									
rs2984613	1q22	С	0.68	SLC25A44 PMF1 BGLAP	EUR	Non-lobar ICH	31	33	
rs11655160	17p12 ^b	G	0.76	PIRT (intergenic)	EUR	Haematoma volume of non-lobar ICH	32	NA	
rs5117	19q13	С	NA	APOE	EUR	Lobar ICH	33	NA	
rs9614326	22q13 ^b	А	0.97	PARVB	EUR	Haematoma volume of lobar ICH	32	NA	
ICH accounting	g for SVS, multiva	riate and	ılysis						
rs72932727°	2q33	G	0.87	ICA1L WDR12 CARF NBEAL1	EUR	Non-lobar ICH accounting for SVS ^d	33	NA	
rs9515201	13q34	A	0.33	COL4A1 COL4A2	EUR	Non-lobar ICH accounting for SVS ^d	33	NA	
SVS					•				
rs72934535°	2q33	Т	0.89	ICA1L FAM117B CARF NBEAL1	EUR	SVS ^{e,f}	34	NA	
rs4621303	3p22	Т	0.83	ULK4	TRANS	SVS ^{e,f}	34	NA	
rs10029218	4q26 ^b	А	0.12	CAMK2D	EUR	SVS ^{e,g}	35	NA	
rs2293576	11p11	G	0.67	SPI1 SLC39A13 PSMC3 RAPSN	EUR, TRANS	SVS ^{e,f}	34	NA	
rs10744777	12q24	Т	0.66	SH2B3	EUR	SVS ^g	38	35	
rs12445022	16q24 ^b	А	0.37	ZCCHC14	EUR	SVS ^{e,f}	34	36,37	
rs9958650	18p11 ^b	G	0.10	ZBTB14 EPB41L3	TRANS	SVS ^{e,f}	34	NA	
SVS accounting for WMH burden, multivariate analysis									
rs2984613	1q22	С	0.64	SLC25A44 PMF1 BGLAP	EUR	SVS ^{e,f}	34	NA	
rs2303655	5q23 [♭]	Т	0.81	LOX ZNF474 LOC100505841	EUR	SVS ^{e,f}	34	NA	
rs7766042	6p25 ^b	С	0.11	FOXF2 FOXQ1	EUR	SVS ^{e,f}	34	NA	
rs225744	6q24 ^b	С	0.77	VTA1-GPR126	EUR	SVS ^{e,f}	34	NA	
rs61000833	10q24	Т	0.60	SH3PXD2A STN1	EUR	SVS ^{e,f}	34	NA	
rs79043147	10q26 ^b	Т	0.07	HTRA1	EUR	SVS ^{e,f}	34	NA	
rs11838776	13q34	А	0.29	COL4A1 COL4A2	EUR	SVS ^{e,f}	34	NA	

^aWhen several GWAS reported a genome-wide significant association with the same locus, we present the results of the GWAS performed on the largest sample size (largest number of cases), referred to as "main ref"; other studies reporting a genome-wide significant association with the same locus are listed under "other ref". ^bNot associated with vascular risk

factors. ^crs72932727 (ICH) and rs72934535 (SVS) are in linkage disequilibrium ($r^2 = 0.8317$). ^dMultitrait analysis of non-lobar ICH and SVS. ^eSVS was defined according to Trial of Org 10172 Acute Stroke Treatment (TOAST)¹⁸⁰, which is based on a clinical lacunar syndrome (lacunar infarct ≤15 mm in diameter) and the absence of other causes of stroke, or nonlacunar infarction on CT. ^fSVS was defined as a clinical lacunar syndrome with an anatomically compatible lesion on MRI (subcortical infarct ≤15 mm in diameter). ^gSVS was defined according to Causative Classification of Stroke¹⁸¹, which is based on imaging evidence of a clinically relevant acute infarction (≤20 mm in diameter) and the absence of other causes of stroke. Von Berg et al.³⁵ used an intersect phenotype (an individual must be assigned the same subtype across all subtyping systems). Traylor et al.³⁴ re-analyzed cases of lacunar stroke, identified with the TOAST system, with MRI confirmation. EUR, European; RAF, relative allele frequency; TRANS, transethnic; ICH, intracerebral haemorrhage; SVS, small vessel stroke; WMH, white matter hyperintensity.

Table 2 | Loci associated with MRI markers of covert cerebral small vessel disease at the

genome-wide significance level

Lead variant ^a	Chromosome	Risk	RAF	Nearest	Population	Mai	Other ref ^b
	position	allele		gene(s)		n	
						ref⁵	
Brain infarcts	E ~ 2 2 d	- -	0.21	FRND		65	NA
rs39938°	5q23°		0.21			65	NA
ISI2583048	13q12°	L	0.33	200020	EUK	05	
rc760440	10012	Δ	0.12	4805	ELID	64	NA
Fractional anico	19415	А	0.15	APOE	LOK	0.	
rc76122525	2033	G	0.13	ICATI CARE NBEALT	FUR	21	NA
rs24290167	2433 2025d		0.13	SMADCALL	EUR	21	NA
rc2554300107	2q33		0.27	VCAN	EUR	21	NA
rs/150221	5q14 5q31	C C	0.20	HREGE	EUR	21	NA
rs3129171e	6p22d	Δ	0.20	ZEP57 OR212 OR2HAP	EUR	21	NA
rs37/598/128e	6p22		0.24	VN1R12D	EUR	21	NA
rs112730611	16a24d	т	0.17	SPIRE2 TCE25	FUR	21	NA
rs6062264	20g13	т	0.17	MIR1 1HG	FUR	21	NA
Mean diffusivity	20910		0.20	Min(1) 1110	LON		
rs35544841	5a14 ^d	חו	0.20	VCAN	FUR	21	NA
rs4150221	5q1	C	0.20	HBEGE	FUR	21	NA
rs7772614	6p21 ^d	A	0.20	HIA-B HIA-S	FUR	21	NA
rs1233587	6p22	Т	0.30	7FP57	FUR	21	NA
rs11813268	10a24	Т	0.15	SH3PXD2A, STN1, PDCD11	FUR	21	NA
rs55939347	17a21	ID.	0.22	MAPT, DCAKD, NMT1, NT5C3B, STAT5B	FUR	21	NA
White matter h	vperintensities		0.22		2011		
rs786921	1p22	А	0.60	PKN2	EUR	44	NA
rs2984613	1g22	С	0.65	SLC25A44, PMF1, BGLAP	TRANS	63	NA
rs12120143	1q31 ^d	Т	0.03	DENND1B	EUR	21	NA
rs6540873	1q41 ^d	А	0.61	ΚСΝΚ2	TRANS	44	NA
rs7596872	2p16	А	0.10	EFEMP1	EUR	44	22,63,44,60,21,61
rs73923006	2p21	G	0.81	НААО	EUR/TRANS	44	21,61
rs62172472	2q32	G	0.79	CALCRL ^f	EUR/TRANS	44	21
rs7603972	2q33	А	0.87	ICA1L ^f , CARF ^f , NBEAL1 ^f , KRT8P15 ^f ,	EUR/TRANS	44	21,61,60
rs6797002	3q27	С	0.73	KLHL24 ^f	EUR/TRANS	44	21
rs17205972	5q14 ^d	Т	0.20	VCAN	EUR/TRANS	44	NA
rs2303655°	5q23 ^d	Т	0.78	FBN2	EUR/TRANS	44	21
rs6940540	6q25	G	0.41	PLEKHG1	EUR/TRANS	44	61
rs3215395	7q22 ^d	ID	0.29	ZBN	EUR	21	NA
rs1799983	7q36	Т	0.32	NOS3	EUR	61	NA
rs73184312 ^g	8p23	G	0.72	TNKS, XKR6, SGK223	EUR/TRANS	44	NA
rs11249945 ^g	8p23	А	0.35	TNKS, XKR6, SGK223	EUR/TRANS	44	NA
rs11257311	10p14 ^d	G	0.70	ECHDC3	AFR/TRANS	44	NA
rs1993484	10q23	Т	0.23	TSPAN14	EUR	61	NA
rs10786772 ^h	10q24	G	0.64	SH3PXD2A, STN1, PDCD11	EUR/TRANS	44	63,60
rs71471298 ^h	10q24	Т	0.11	SH3PXD2A, STN1, PDCD11	EUR/TRANS	44	
rs4630220 ^h	10q24	G	0.71	SH3PXD2A, STN1, PDCD11	EUR/TRANS	44	
rs55940034	13q34	G	0.29	COL4A1, COL4A2	EUR/TRANS	44	21,61,60
rs72680374	14q22 ^d	Т	0.63	NID2	EUR	44	NA
rs1285847 ⁱ	14q32	Т	0.55	EVL, DEGS2 ^f , CCDC88C ^f	EUR/TRANS	44	21,60
rs7157599 ⁱ	14q32	С	0.29	EVL, DEGS2 ^f , CCDC88C ^f	EUR/TRANS	44	
rs12443113	15q22	G	0.55	MTFMT, SLC51B, RASL12	EUR	44	21
rs1948948	16q12 ^d	С	0.56	SALL1	EUR/TRANS	44	21
rs12921170	16q24 ^d	А	0.58	SPIRE2, TCF25	EUR/TRANS	44	21,61
rs6587216	17p11	G	0.19	EPN2	EUR	21	NA
rs6503417 ^j	17q21	С	0.63	MAPT, DCAKD ^f , NMT1 ^f , NT5C3B ^f , STAT5B ^f	EUR/TRANS	44	21,61
rs962888 ^j	17q21	G	0.71	MAPT, DCAKD ^f , NMT1 ^f , NT5C3B ^f , STAT5B ^f	EUR	60	
rs34974290	17q25	А	0.19	TRIM47 ^f , TRIM65 ^f , NEURL, WBP2 ^f , RP11–552F3.9 ^f	EUR/TRANS	44	62,61,21,63,60,22

rs429358	19q13	С	0.15	APOE	EUR	21	NA
rs5762197	22q12 ^c	С	0.71	MN1	EUR	44	NA

^aIf several independent single nucleotide polymorphisms have been associated with cerebral small vessel disease at the genome-wide significance level in the same region (linkage disequilibrium, $r^2 > 0.1$), all of them are reported in the table. ^bWhen several GWAS reported a genome-wide significant association with the same locus, we present the results of the GWAS performed on the largest sample size (largest number of cases), referred to as "main ref"; other studies reporting a genome-wide significant association with the same locus are listed under "other ref". $cr^2 = 0.002$. ^dnot associated with vascular risk factors. ^ers3129171 and rs374598428 have been reported as independent²¹. ^fExpression of these genes was associated with MRI-defined covert cerebral small vessel disease in TWAS and colocalization analyses⁴⁴. $gr^2 = 0.073$. ^hall $r^2 < 0.01$. $ir^2 = 0.002$. $jr^2 = 0.013$. ID, insertion–deletion; EUR, European; RAF, relative allele frequency; TRANS, transethnic.

Table 3 | Involvement of genes associated with cerebral small vessel disease in biological processes.

Biological process ^a	Gene	Protein	Involvement of protein in biological process	Ref
Extracellular matrix structure	EFEMP1	Fibulin 3	ECM glycoprotein localized in the basement membrane. Proteolytic target of serine protease HTRA1.	182
and function	LOX	Lysyl oxidase	Copper-dependent enzyme that cross-links collagens and elastin.	96
	SH3PXD2A	SH3 and PX domain- containing protein 2A	Adapter protein involved in ECM degradation via invadopodia and podosome formation	183
	NID2	Nidogen 2	ECM glycoprotein and a major component of basement membranes.	96
	ADAMTSL4	ADAMTS-like protein 4	Involved in cellular adhesion, angiogenesis and patterning of the developing nervous system.	96
	FBN2	Fibrillin 2	Component of connective tissue microfibrils that might be involved in elastic fibre assembly.	65
	VCAN	Versican core protein	Proteoglycan involved in cell adhesion and ECM assembly.	184
	AGRG6	Adhesion G-protein coupled receptor 6	ECM protein produced by astrocytes and involved in pericyte differentiation and blood–brain barrier development and function; activated by type IV collagen and binds to laminin211.	102,185
	HBEGF	Heparin-binding EGF-like growth factor	EGF-like is one of the characteristic domains of ECM proteins.	96
Myelination	ULK4 ^b	Serine-threonine protein kinase ULK4	Regulates a core set of factors that are essential in the development of oligodendrocytes .	51
	VCAN	Versican core protein	Forms complexes that inhibit oligodendrocyte maturation	100
	AGRG6	Adhesion G-protein coupled receptor 6	Involved in Schwann cell myelination in the PNS	98,99
Membrane transport	KCNK2	Potassium channel subfamily K member 2	Enables potassium transport across the cytoplasmic membrane; defects underlie early-stage cerebrovascular dysfunction in CADASIL.	95
	SLC39A13	Solute carrier family 39 member 13	Transmembrane zinc transporter; mutations cause a form of Ehlers–Danlos syndrome, which can cause stroke	186
	SLC25A44 ^b	Solute carrier family 25 member 44	Mitochondrial carrier protein with a role in catabolism of branched-chain amino acids in brown adipose tissue	187

^aSelected biological processes associated with genes that have been associated with common small vessel disease. ^bGenes have been associated with common small vessel disease in transcriptome-wide analysis studies and colocalization analyses, providing stronger evidence for their causal involvement.

Fig. 1 | Potential clinical applications of cerebral small vessel disease genomics.



Knowledge of the genetic determinants of small vessel disease has several implications for drug development and clinical management.





В



Loci reaching genome-wide significance in multivariate analyses based on two cSVD phenotypes are not included in this figure a | Venn diagram that shows the extent to which different cSVD phenotypes share genome-wide significant risk loci. The cSVD phenotypes shown include overt phenotypes (small vessel stroke (SVS) and intracerebral haemorrhage (ICH)) and covert, MRI-defined markers of ccSVD (white matter hyperintensities (WMH), brain infarcts (BI), cerebral microbleeds (CMB), fractional anisotropy (FA) and mean diffusivity (MD)). Where risk loci are shared between phenotypes, the chromosome coordinates and the nearest genes to these co-ordinates are specified in the surrounding boxes. b| Chord diagram that summarizes the association of genome-wide significant risk variants for cSVD traits (top half) with vascular risk factors (bottom half). The width of each of the inner stems reflects the number of traits associated with a given locus (top half) or the number of loci associated with a given vascular risk factor (bottom half). Black arrows indicate genome-wide significant associations. Outer coloured segments in the top half match colours in part a, and dark green represents lacunar stroke loci. ^aLocus overlaps with other cSVD traits — see part a for details.

Fig. 3 | Associations between genetically predicted risk factors and the risk of cSVD.



Blue boxes indicate that at least one Mendelian randomization study has shown a significant association between genetically predicted higher exposure to the risk factor and an increased risk or burden of the cerebral small vessel disease (cSVD). Pink boxes indicate that at least one Mendelian randomization study has shown a significant association between genetically predicted higher exposure to the risk factor and a reduced risk or burden of the cSVD. Numbers indicate the fraction of Mendelian randomization studies conducted that have shown a significant association. Dotted blue boxes indicate consistently non-significant associations. Empty boxes indicate no published studies. ^{**}For lacunes, the association of genetically predicted risk factors with lacunes was tested but pleiotropy was not formally ruled out. ^{*}For FA, association of genetically predicted risk factors tested. ^{II}Not significant when the weighted median or the Mendelian randomization Egger method were used. [‡]Only

nominally significant after removing outlier single-nucleotide polymorphisms. [§]Weak instruments (pleiotropy not tested). ^gBorderline significant.

Box 1 | Methods to explore the genomics of common (multifactorial) diseases or traits

Candidate gene association studies

Candidate gene association studies test associations between genetic variants within a gene or region of interest and a relevant phenotype (disease or trait). The genes of interest are usually selected on the basis of *a priori* hypotheses on their relevance to the phenotype under investigation.

Genome-wide association studies

Genome-wide association studies (GWAS) offer an 'agnostic' approach by testing associations of millions of common genetic variants (minor allele frequency \geq 5%) or low-frequency variants (1% \leq minor allele frequency <5%) with a phenotype of interest at the genome-wide scale. To avoid false positive findings when multiple association tests are performed for millions of variants, a *P* value threshold of $<5 \times 10^{-8}$ is usually used to define genome-wide significance. Most genetic variants studied in GWAS are single-nucleotide polymorphisms (SNPs).

Expression quantitative loci studies

Expression quantitative loci (eQTL) refer to genetic variants associated with mRNA expression levels of a given gene within a given tissue. eQTL studies can be useful for in silico functional exploration of genetic variants identified in GWAS, by linking SNPs to tissue-specific changes in gene expression.

Transcriptome-wide association studies

Transcriptome-wide association studies enable integration of data from GWAS and eQTL studies to derive associations between tissue-specific gene expression levels and a given phenotype.

Colocalization analyses

Colocalization analyses test whether the same causal variant is responsible for the association of a genetic variant with a molecular trait, such as expression levels of a gene in a given tissue, and with a disease of interest.

Linkage disequilibrium score regression

Linkage disequilibrium score regression (LDSR) examines the relationships of linkage disequilibrium score and SNP association test statistics with a phenotype. LDSR is used to estimate SNP-based heritability of a given phenotype considering genome-wide significant SNPs and enables testing the genetic correlation between two phenotypes.

Multi-trait analysis of genome-wide association summary statistics

Multi-trait analysis of genome-wide association summary statistics (MTAG) enables a joint association analysis of multiple traits on the basis of individual trait GWAS summary statistics while accounting for sample overlap. This approach increases statistical power for detection of associations with genetically correlated traits.

Mendelian randomization

Mendelian randomization studies enable use of genetic variants to identify causal associations between specific factors or exposures and a specific trait or disease (see **Box 2**)

Box 2 | Mendelian randomization

Mendelian randomization is an analytical approach in which genetic variants are used as instrumental variables that approximate the effect of a given exposure or factor (X) to seek evidence for a causal association between this exposure and a disease or trait (Y). The method is based on the fact that genetic alleles are assorted randomly during meiosis, meaning that the analysis is less prone to confounding and reverse causation than are observational epidemiological studies. As a result, Mendelian randomization can generate more reliable evidence regarding the association of the exposure with disease and therefore provide indirect evidence for the development of interventions that could benefit health.

A set of genetic variants — referred to as a genetic instrument — can be used as a proxy for a given modifiable exposure if they are robustly associated with the risk of exposure. For example, a set of genetic variants associated with high blood pressure can be used as a proxy for exposure to high blood pressure. The instrumental variable must not be associated with Y independently of X (Figure).

Mendelian randomization can be performed at the individual data level (one-sample Mendelian randomization)¹³³ or at the summary statistics level (two-sample Mendelian randomization)¹³⁴. In two-sample Mendelian randomization, data from two study samples are used to compare the association between the instrument and the exposure with the association between the instrument and the outcome across multiple single-nucleotide polymorphisms to estimate the causal role of the exposure in the outcome. Given that GWAS summary statistics are largely made publicly available, two-sample Mendelian randomization has become extremely popular.

Several notes of caution are required in relation to Mendelian randomization studies. Weak genetic instruments — for example, those that are pleiotropic and/or are directly associated with the outcome — will lead to regression dilution bias towards the null¹⁸⁸, so that the estimated causal effects are underestimations. Construction of instrumental variables from well-powered GWAS helps to generate stronger genetic instruments¹⁸⁹, but this approach also increases the likelihood of adding pleiotropic SNPs. Avoidance of this risk requires application of various methods that avoid pleiotropy¹⁹⁰.

Glossary

Genetic variant

A specific region of the genome that differs between individuals in the population.

Single nucleotide polymorphism

A single nucleotide variation in the DNA sequence.

Alleles

Two or more versions of a polymorphic genetic site; for single nucleotide polymorphisms, alleles correspond to two alternative nucleotides at the given position.

Linkage disequilibrium

The non-random association of alleles at two nearby genetic loci, reflecting haplotypes that descend from a common ancestor.

White matter hyperintensity of presumed vascular origin (WMH)

An abnormality of variable size in the white matter seen on MRI as a hyperintensity on T2weighted images such as fluid-attenuated inversion recovery without cavitation (with a signal different from the cerebrospinal fluid).

Fractional anisotropy

A scalar measure derived from diffusion tensor imaging that quantifies the overall directionality of water diffusion in brain tissue. The measure is greater in organized white matter tracts and lower in disorganized tissues, such as CSF.

Mean diffusivity

A scalar measure obtained from diffusion tensor imaging that quantifies the average mobility of water molecules regardless of its direction within the brain tissue. It is used to study microstructural properties and the structural integrity of brain tissue.

Cerebral microbleed

A small area (usually 2–5 mm in diameter) of blood leakage that appears as a signal void with associated blooming on T2*-weighted MRI or susceptibility-weighted imaging.

Perivascular spaces

Fluid-filled spaces that surround perforating vessels in the brain, with a signal intensity similar to that of cerebrospinal fluid on all MRI sequences (diameter generally smaller than 3 mm).

Lacune of presumed vascular origin

A subcortical, round, fluid-filled cavity (3–15 mm diameter) consistent with a previous acute small subcortical infarct or haemorrhage in the territory of one perforating arteriole.

Pleiotropy

A phenomenon in which genes or genetic variants affect multiple, apparently unrelated, phenotypes.

Inversion polymorphism

A type of DNA structural variant that changes the orientation of a genomic segment.

In this Review, the authors provide an overview of the genetics of common small vessel disease, the insight into causal genes and the biological pathways involved, the overlap with monogenic small vessel disease, and the therapeutic implications.